## 12-12-2001

| Substitute Form PTO -1595   |  | Attorney Docket No. JP920000384US1 |  |  |  |
|---|--|------------------------------------|--|--|--|
| To The Honorable Commissioner of Patents and traden   | 01913316  narks: Please record the attached origin   | al documents or copy thereof.      |  |  |  |
| 1. Name of conveying party(ies):  TATSUO NAKAMOTO  10/26/   | Execution date: October 11, 2001   | 0 C                                |  |  |  |
| Name and address of receiving party(ies):     International Business Machines     Corporation     New Orchard Road     Armonk, New York 10504   | □ Security Agreement □ Other   | □ Merger<br>□ Change of Name       |  |  |  |
| 4. Application number(s) or patent number(s):   | Execution/Fi   | ling/Issue Date                    |  |  |  |
| New Patent Application, execution date of application  ☐ Patent Application Number: 10601496  ☐ Patent Number: Filing Date  |  |                                    |  |  |  |
|   | Issu   | e Date                             |  |  |  |
| <ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> <li>Andrew J. Dillon         BRACEWELL &amp; PATTERSON, L.L.P. Suite 350     </li> </ol>   | 6. Total number of applications and patents involved: One (1)  7. Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ |                                    |  |  |  |
| 7600B Capital of Texas Hwy.<br>Austin, Texas 78731  | 8. Deposit account number:  09-0466  (Attach duplicate copy of this page if paying by deposit account)                       |                                    |  |  |  |
| DO NOT  | USE THIS SPACE   |                                    |  |  |  |
| 9. Statement and signature  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Barbara Bradley  Name of Person Signing  October 26, 2001  Date |  |                                    |  |  |  |
| Total number of pages including cover sheet, attachments, and documents: Three (3)  |  |                                    |  |  |  |
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|   |  |                                    |  |  |  |

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## ASSIGNMENT

Whereas, We,

- (1) TATSUO NAKAMOTO of SAGAMIHARA-SHI, KANAGAWA-KEN, JAPAN and
- (2) SHINGO TSUDA of YOKOHAMA-SHI, KANAGAWA-KEN, JAPAN

have invented certain improvements in

## DISK DRIVE APPARATUS, HARD DISK DRIVE AND ENCLOSURE FOR HARD DISK DRIVE

| and executed, respect | ively, a United | States patent application theref | or on (Date Inventor Signed |
|-----------------------|-----------------|----------------------------------|-----------------------------|
| Declaration) (1)/     |                 | , 2001 and (2)                   | , 2001                      |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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PATENT REEL: 012349 FRAME: 0217

## IBM DOCKET NO. <u>JP920000384US1</u>

| (1) Signed at _ | fuj isawa | on         | 11 0 C) | <del>/</del> | 2001. |
|-----------------|-----------|------------|---------|--------------|-------|
|                 |           | Tatsuo nai | O Naka  | moto         |       |
| (2) Signed at _ |           | on         |         |              | 2001. |
|                 |           | SHINGO TSU | D.A.    |              |       |

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RECORDED: 10/26/2001 REEL: 012349 FRAME: 0218