

12-12-2001



Case Name: SP01-349

To the Honorable Comm

SHEET

the attached documents or copy thereof.

101913514

(1) Conveying Party(ies): Steven B. Dawes
William W. Johnson
Jason A. LaCoe
Michael T. Murtagh
Pushkar Tandon

Title: Fluroine Doping A Soot Preform

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated
Patent Dept.: SP-TI-03-1
Corning, NY 14831

11/30/01

(3) Nature of conveyance: Assignment executed: 11-28-01, 11-28-01, 11-30-01, 11-28-01, and 11-28-01.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded: 09 999553

A. Patent Application No.(s):
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on ____.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy R. Krogh
Reg. No. 40,688
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: (607) 974-2743

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- ☐ Enclosed (Check No.)
☐ Included with filing fec. Check No. ____.
☒ Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy R. Krogh
Timothy R. Krogh

11/30/01
Date

Total number of pages comprising cover sheet: 3

Revision: July 28, 2000
Document2

12/07/2001 LMUELLER 00000155 033325 09999553

01 FC:561

40.00 CH

PATENT
REEL: 012351 FRAME: 0158

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Fluorine Doping A Soot Preform**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 11-28-01

DATE 11-28-01

DATE 11-30-01

DATE 11-28-01

DATE 11-28-01

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

11/28/01
Date

Steven B. Dawes
Steven B. Dawes

11/28/01
Date

William W. Johnson
William W. Johnson

11/30/01
Date

Jason A. LaCoe
Jason A. LaCoe

11/28/01
Date

Michael T. Murtagh
Michael T. Murtagh

11/28/01
Date

Pushkar Tandon
Pushkar Tandon

State of New York)
: ss.
County of Steuben)

On the 28 day of November 2001, before me personally came **Steven B. Dawes** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Karen Herson
Notary Public

State of New York)
: ss.
County of Steuben)

On the 28th day of November 2001, before me personally came **William W. Johnson** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

TERRI L. GUSTIN
Notary Public
Notary Public, State of New York
No. 01GU6064116
Qualified in Chemung County
Commission Expires September 17, 20 05

State of New York)
: ss.
County of Steuben)

On the 30th day of November 2001, before me personally came **Jason A. LaCoe** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

TERRI L. GUSTIN
Notary Public
Notary Public, State of New York
No. 01GU6064116
Qualified in Chemung County
Commission Expires September 17, 20 05

State of New York)
: ss.
County of Steuben)

On the 28th day of November 2001, before me personally came **Michael T. Murtagh** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

TAMMIE J. PORTER
Notary Public

TAMMIE J. PORTER
Notary Public, State of New York
No. 01PO5086309
Qualified in Steuben County
Commission Expires October 14, 2005

State of New York)

: ss.

County of Steuben)

On the 28th day of November, 2001, before me personally came **Pushkar Tandon** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michele N. O'Dell
Notary Public

NOTES FILED IN OFFICE
Notary Public, State of New York
Notary Commission No. 00000000000000000000
Term Expires: 5/31/02

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Fluorine Doping A Soot Preform**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 11-28-01

DATE 11-28-01

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I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

11/28/01
Date

Steven B. Dawes
Steven B. Dawes

11/28/01
Date

William W. Johnson
William W. Johnson

11/30/01
Date

Jason A. LaCoe
Jason A. LaCoe

11/28/01
Date

Michael T. Murtagh
Michael T. Murtagh

11/28/01
Date

Pushkar Tandon
Pushkar Tandon

State of New York)
: ss.
County of Steuben)

On the 28 day of November 2001, before me personally came **Steven B. Dawes** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Karen Harris
Notary Public

State of New York)
: ss.
County of Steuben)

On the 28th day of November 2001, before me personally came **William W. Johnson** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Terril L. Gustin
Notary Public
TERRIL L. GUSTIN
Notary Public, State of New York
No. 01GU6064116
Qualified in Chemung County
Commission Expires September 17, 20 05

State of New York)
: ss.
County of Steuben)

On the 30th day of November 2001, before me personally came **Jason A. LaCoe** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Terril L. Gustin
Notary Public
TERRIL L. GUSTIN
Notary Public, State of New York
No. 01GU6064116
Qualified in Chemung County
Commission Expires September 17, 20 05

State of New York)
: ss.
County of Steuben)

On the 28th day of November 2001, before me personally came **Michael T. Murtagh** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Tammie J. Porter
Notary Public
TAMMIE J. PORTER
Notary Public, State of New York
No. 01PC5086309
Qualified in Steuben County
Commission Expires October 14, 2005

State of New York)

: ss.

County of Steuben)

On the 28th day of November 2001, before me personally came **Pushkar Tandon** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michele N. O'Dell
Notary Public

NOTARY PUBLIC
Notary Public for the State of New York
Notary Public for the County of Steuben
Term Expires 5/31/02