

12-12-2001

U.S. Department of Commerce
Patent and Trademark Office



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101915190
PATENTS ONLY

HEET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (1) Jared Walkenhorst (2) Herb Cohen (3) Lance Middleton Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: Surgical Dynamics, Inc. 150 Glover Avenue Norwalk, CT 06856 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 10-24-01	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other Execution Date: (1) October 9, 2001 (2) October 11, 2001 (3) October 23, 2001			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: October 24, 2001			
A. Patent Application No.(s)		B. Patent No.(s) 10/001695	
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Lawrence Cruz, Esq. U.S. Surgical, a division of Tyco Healthcare Group LP 150 Glover Avenue Norwalk, CT 06856		6. Total number of applications and patents involved: 11	
DO NOT USE THIS SPACE		7. Total fee (37 CFR 3.41).....\$40.00	
		<input type="checkbox"/> Enclosed	
		<input checked="" type="checkbox"/> Authorized to be charged to deposit account	
		8. Deposit account number: 21-0550 (Attached duplicate copy of this page if paying by deposit account)	
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Lawrence Cruz Reg. No. 36,385 Name of Person Signing Signature Date Total number of pages comprising cover sheet 46.			

JCS66 U.S. PTO
10/24/01
10/24/01

12/11/2001 AWNED1 00000088 210550 10001695

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012351 FRAME: 0741

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR: **Jared Walkenhorst
Herb Cohen
Lance Middleton**

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____
Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE: **Surgical Dynamics, Inc.
150 Glover Avenue
Norwalk, CT 06856
USA**

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest, including the right to sue for present, past and future infringement, in the United States, its territorial possessions, and in all foreign countries, including all treaty and convention rights in and to the invention and any and all improvements entitled:

**THREADED, BARREL-SHAPED APPARATUS FOR FUSING ADJACENT BONE
STRUCTURE**

which is described in

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. application filed on _____ Serial No. _____
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☒ and any legal equivalent thereof in a foreign country, and, in and to, all United States and foreign Letters Patent, for the full term thereof, to be obtained for said invention by the above application or any continuation, division, renewal, extension or substitute thereof, and as to letters patent any re-issue or re-examination thereof;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

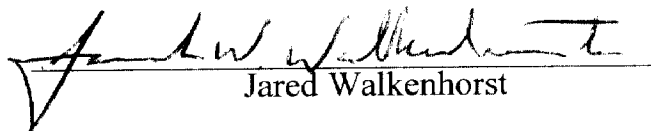
ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patent to ASSIGNEE:

ASSIGNOR further covenants to promptly provide all pertinent facts and documents known and accessible to ASSIGNOR relating to said invention and said Letters Patent and legal equivalents; to testify as to the same in any interference, litigation or proceeding related thereto; to execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said ASSIGNEE, its successors, assigns or other legal representatives; to execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor; and to make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, all without further compensation, but at the sole expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNOR hereby grants ASSIGNEE and Assignee's attorneys the power to insert the Serial No. and/or filing date of the above-described application(s) after such information becomes known to them.

IN WITNESS WHEREOF, I have hereunto set hand and seal.

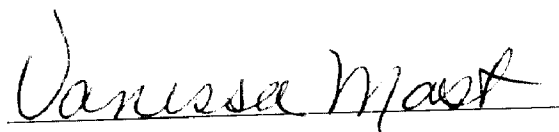
INVENTORS:


Jared Walkenhorst

Dated: 10-11-2001

State of Connecticut)
County of Fairfield) ss

Before me this 11th day of October 2001,
personally appeared Jared Walkenhorst to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.



Notary Public

VANESSA MASTRI
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2005

AFFIX SEAL

Herb Cohen

Herb Cohen

Dated: 10/1/01

State of Connecticut)
County of Fairfield) ss

Before me this 9th day of October 2001,
personally appeared Herb Cohen to me personally known to be the person described in and who
executed the above instrument, and acknowledged to me that he/she executed the same of his own
free will for the purposes therein set forth.

Vanessa Mastri

Notary Public
VANESSA MASTRI
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB 28, 2005
AFFIX SEAL

Dated: _____

Lance Middleton

State of Connecticut)
County of _____) ss

Before me this ____ day of _____ 2001,
personally appeared Lance Middleton to me personally known to be the person described in and
who executed the above instrument, and acknowledged to me that he/she executed the same of his
own free will for the purposes therein set forth.

Notary Public

AFFIX SEAL

ASSIGNMENT OF INVENTION

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ASSIGNOR: **Jared Walkenhorst
Herb Cohen
Lance Middleton**

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

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Frame _____

hereby sells, assigns and transfers to

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150 Glover Avenue
Norwalk, CT 06856
USA**

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest, including the right to sue for present, past and future infringement, in the United States, its territorial possessions, and in all foreign countries, including all treaty and convention rights in and to the invention and any and all improvements entitled:

**THREADED, BARREL-SHAPED APPARATUS FOR FUSING ADJACENT BONE
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- (a) ☒ U.S. patent application executed on even date herewith
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- (c) ☐ U.S. application filed on _____ Serial No. _____
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☒ and any legal equivalent thereof in a foreign country, and, in and to, all United States and foreign Letters Patent, for the full term thereof, to be obtained for said invention by the above application or any continuation, division, renewal, extension or substitute thereof, and as to letters patent any re-issue or re-examination thereof;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

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ASSIGNOR hereby grants ASSIGNEE and Assignee's attorneys the power to insert the Serial No. and/or filing date of the above-described application(s) after such information becomes known to them.

IN WITNESS WHEREOF, I have hereunto set hand and seal.

INVENTORS:

Dated: _____

Jared Walkenhorst

State of Connecticut)
) ss
County of _____)

Before me this ____ day of _____ 2001,
personally appeared Jared Walkenhorst to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Notary Public

AFFIX SEAL

Herb Cohen

Dated: _____

State of Connecticut)
County of _____) ss

Before me this _____ day of _____ 2001,
personally appeared Herb Cohen to me personally known to be the person described in and who
executed the above instrument, and acknowledged to me that he/she executed the same of his own
free will for the purposes therein set forth.

Notary Public

AFFIX SEAL

Lance Middleton
Lance Middleton

Dated: 10/23/2001

State of Connecticut)
County of Fairfield) ss

Before me this 23rd day of October 2001,
personally appeared Lance Middleton to me personally known to be the person described in and
who executed the above instrument, and acknowledged to me that he/she executed the same of his
own free will for the purposes therein set forth.

Vanessa Mastriani
VANESSA MASTRIANI Notary Public
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2005

AFFIX SEAL