

12-12-2001



To the Assistant Commissioner for Patent

101915196

its or copy thereof.

1. Name of conveying party(ies):

- 1) Paul R. Day
- 2) Robert D. Driesch, Jr.
- 3) Randy L. Egan
- 4) Jeffrey W. Tenner

10-25-01

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: 1) October 15, 2001 2) October 16, 2001
3) October 22, 2001 4) October 15, 2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

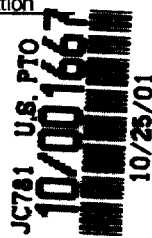
Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no



4. Application number(s) or patent number(s):

TITLE: Method and Apparatus for Optimizing Queries in a Logically Partitioned Computer System

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No(s).

B. Patent No(s).

Docket ROC920010160US1

10/001667

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Steven W. Roth
IBM Corporation - Dept. 917
3605 Highway 52 North
Rochester, MN 55901

6. Total number of applications and patents involved: 1

7. Total fee (37 CVF 3.41): \$ 40.00

☐ Enclosed

- ☒ Authorized to be charged to deposit account
- ☒ Total fee due
- ☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven W. Roth
Name of Person Signing

[Signature]
Signature

October 25, 2001

Date

Total number of pages comprising cover sheet: 1

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ASSIGNMENT

Whereas, we

- | | | |
|-----|--|---|
| (1) | Paul R. Day
County of Olmsted | City of Rochester,
and State of Minnesota, |
| (2) | Robert D. Driesch, Jr.
County of Dakota | City of Lakeville,
and State of Minnesota, |
| (3) | Randy L. Egan
County of Olmsted | City of Byron,
and State of Minnesota, |
| (4) | Jeffrey W. Tenner
County of Olmsted | City of Rochester,
and State of Minnesota, |

have invented certain improvements in

METHOD AND APPARATUS FOR OPTIMIZING QUERIES IN A LOGICALLY PARTITIONED COMPUTER SYSTEM

and have executed, respectively, a United States patent application therefor on

Dates

Inventors (1) October 15, 2001, (2) October 16, 2001,

Signed

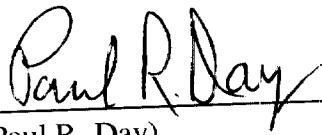
Declaration (3) October 22, 2001, and (4) October 15, 2001.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

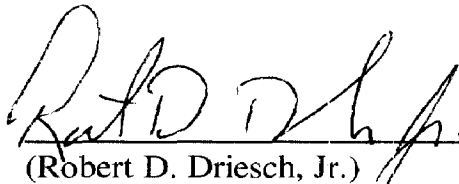
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor at Rochester, MN, USA
(1) on October 15, 2001.

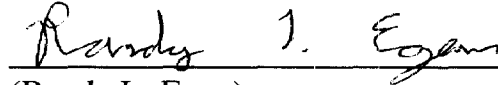

(Paul R. Day) Inventor
First Name Initial Last Name Signature

Inventor
(2) at Rochester, MN, USA
on October 16, 2001.


(Robert D. Driesch, Jr.)
First Name Initial Last Name


Inventor
Signature

Inventor
(3) at Rochester, MN, USA
on October 22, 2001.


(Randy L. Egan)
First Name Initial Last Name

Inventor
Signature

Inventor
(4) at Rochester, MN, USA
on October 15, 2001.


(Jeffrey W. Tenner)
First Name Initial Last Name

Inventor
Signature