Form P TO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)	U.S. Patent and Trademark Of	
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To the Honorable Commissioner of Paters and 101914	ched original documents or copy thereof.	
1. Name of conveying party(ies): (1) Werner KRAMER (2) Heiner GLOMBIK	Name and address of receiving party(ies)	
(2) Heiner GLOMBIK	Name: AVENTIS PHARMA DEUTSCHLAND GmbH	
TRANCO	Internal Address:	
Additional name of conveying party(ies) attached? ☐ Yes ☒ No		
3. Nature of conveyance: /2 -/b-01		
	Street Address: Industriepark Hoechst, Gebaeude K801,	
	65926 Frankfurt am Main, Germany	
☐ Secuirty Agreement ☐ Change of Name ☐ Other	City: State: Zip:	
Execution Date: (1) & (2): November 13, 2001	Additional Name(s) & address(es) attached? ☐ Yes ⊠ No	
Additional numbers att		
7 (dational numbers date	ached? [] Yes 🖾 No	
Name and address of party to whom correspondence concerning this document should be mailed:	6. Total number of applications and patents involved: 1	
<ol> <li>Name and address of party to whom correspondence concerning this document should be mailed:</li> <li>Name: Patricia D. Granados</li> </ol>	6. Total number of applications and patents involved: 1	
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concerning this document should be mailed:  Name: Patricia D. Granados  Internal Address: Heller Ehrman White & McAuliffe LLP	<ul> <li>6. Total number of applications and patents involved: 1</li> <li>7. Total fee (37 CFR 3.41) \$ 40.00</li> <li>☑ Enclosed</li> <li>☑ Authorized to be charged to deposit account</li> <li>3. Deposit account number:</li> <li>08-1641</li> </ul>	
concerning this document should be mailed:  Name: Patricia D. Granados  Internal Address: Heller Ehrman White & McAuliffe LLP  Street Address: 1666 K Street, N.W., Suite 300  City: Washington State: DC Zip: 20006	<ul> <li>6. Total number of applications and patents involved: 1</li> <li>7. Total fee (37 CFR 3.41) \$ 40.00</li> <li>☑ Enclosed</li> <li>☑ Authorized to be charged to deposit account</li> <li>3. Deposit account number:</li> <li>08-1641</li> </ul>	
concerning this document should be mailed:  Name: Patricia D. Granados  Internal Address: Heller Ehrman White & McAuliffe LLP  Street Address: 1666 K Street, N.W., Suite 300  City: Washington State: DC Zip: 20006  OO NOT USE	6. Total number of applications and patents involved:   7. Total fee (37 CFR 3.41) \$ 40.00  ☐ Enclosed ☐ Authorized to be charged to deposit account  8. Deposit account number:  08-1641  (Attach duplicate copy of this page if paying by deposit account	
concerning this document should be mailed:  Name: Patricia D. Granados  Internal Address: Heller Ehrman White & McAuliffe LLP  Street Address: 1666 K Street, N.W., Suite 300  City: Washington State: DC Zip: 20006  OO 1 TDIAZ1 00000064 09939793  DO NOT USE	6. Total number of applications and patents involved:   7. Total fee (37 CFR 3.41) \$ 40.00  ☐ Enclosed ☐ Authorized to be charged to deposit account  8. Deposit account number:  08-1641  (Attach duplicate copy of this page if paying by deposit account  THIS SPACE	

Total number of pages including cover sheet, attachments, and documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231



Attorney Docket No.: 38005-0153

## **ASSIGNMENT AND AGREEMENT**

WHEREAS, Werner KRAMER, and Heiner GLOMBIK (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled VERTEBRATE INTESTINAL PROTEIN WHICH ABSORBS CHOLESTEROL, ITS INHIBITORS AND METHOD OF IDENTIFYING THE SAME for which an application for United States Letters Patent was filed on August 28, 2001 as Application No. 09/939,793; and

WHEREAS, AVENTIS PHARMA DEUTSCHLAND GmbH, having its principal place of business at Industriepark Hoechst, Gebäude K 801, 65926 Frankfurt am Main, Germany (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in view of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

Attorney Docket No.: 38005-0153

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Heller Ehrman White & McAuliffe the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Heller Ehrman White & McAuliffe do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

NAMES A	AND SIGNATURES OF INVENTOR	RS
Name: Werner KRAMER	Signature:	Date: 13/11/2001
Name: <b>Heiner GLOMBIK</b>	Signature:	
NAMES A	AND SIGNATURES OF WITNESSI	S
Name:	Signature:	Date:
Name:	Signature:	Date: