

REC

12-14-2001



101916210

Our Ref.: 2734-37

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):          Yu (NMN) WANG      James Pellegrino ALEXANDER          Richard Nils DAWSON          Christopher Anthony          KAMINSKI          Robert John NYGARD</p> <p style="font-size: 2em; margin-left: 150px;">12/07/01</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Assignment      <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p> <p>Execution Date:      21 November 2001</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>General Electric Company</u>          Internal Address: _____          Street Address: <u>1 River Road</u>          _____          _____</p> <p>City: <u>Schenectady</u>          State/Country: <u>New York</u>          Zip: <u>12345</u></p> <p>Additional name/s &amp; address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is: 21 November 2001

<p>A. Patent Application No(s).          (1) (to be assigned)          (2)          (3)</p>	<p>B. Patent No(s).          (1)          (2)          (3)</p> <p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Jeffry H. Nelson</u>          Internal Address: _____          Street Address: <u>Nixon &amp; Vanderhye P.C.</u>  <u>1100 North Glebe Road</u>  <u>8<sup>th</sup> Floor</u>          City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41)      \$ <u>40.00</u>  <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our <b>Account No. 14-1140</b>.</p>
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**DO NOT USE THIS SPACE**

9. Statements and signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffry H. Nelson            December 6, 2001  
 Name of Person Signing      Signature      Date  
 Reg. No. 30,481

Total number of pages including **original** cover sheet, attachments, and document: [4]

12/11/2001 RMEBBAHT 00000041 10005093  
 02 FD:581      40.00 DP

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which We acknowledge receipt, Yu (NMN) WANG, Richard Nils DAWSON, Christopher Anthony KAMINSKI, Robert John NYGARD, James Pellegrino ALEXANDER, of 28 Spruce Street., Clifton Park, NY 12065; 40 Stone Rd., Voorheesville, NY 12186; 11 Shelburne Ct., Niskayuna, NY 12309; 57 Loughberry Road, Saratoga Springs, NY 12866; and 12 Northwest Pass, Ballston Lake, NY 12019, respectively, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in METHOD FOR CONCENTRIC ASSEMBLY OF STRUCTURAL ROTOR ENCLOSURE ON AN ELECTRICAL MACHINE ROTOR invented by us, as described in the application for United States Patent being filed herewith; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

11/7/2001

Date

Yu (NMN) WANG

Yu (NMN) WANG

State of New York )

ss):

County of Schenectady )

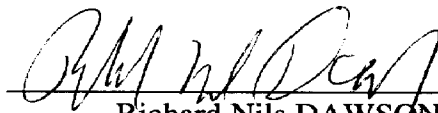
This 7<sup>th</sup> day of November, 2001, before me personally came the above-named, Yu (NMN) WANG, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JOANNE A. SMITH  
 Notary Public in the State of New York  
 Qualified in Schenectady Co.  
 No. 01SM5068633  
 My Commission Expires Nov. 4, 2002

Joanne A. Smith  
 Notary Public

Oct 31, 2001

Date

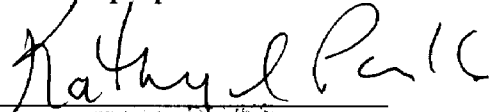
  
Richard Nils DAWSON

State of New York )

ss):

County of Schenectady )

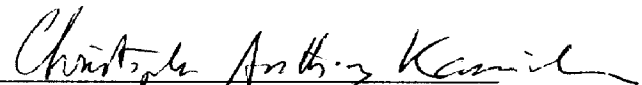
This 31<sup>st</sup> day of November, 2001, before me personally came the above-named Richard Nils DAWSON, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



Notary Public  
Notary Public in the State of New York  
Residing in Schenectady County  
My Commission Expires December 31, 2002

14 Nov 2001

Date

  
Christopher Anthony KAMINSKI

State of New York )

ss):

County of Schenectady )

This 14<sup>th</sup> day of November, 2001, before me personally came the above-named Christopher Anthony KAMINSKI, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JOANNE A. SMITH  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01SM5068633  
My Commission Expires Nov. 4, 2002

  
Notary Public

Nov 1, 2001

Date

  
Robert John NYGARD

State of New York )

ss):

County of Schenectady )

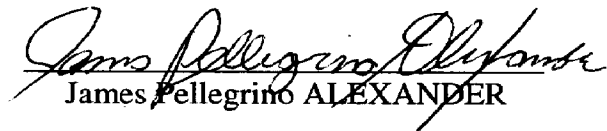
This 1<sup>st</sup> day of November, 2001, before me personally came the above-named Robert John NYGARD, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JOANNE A. SMITH  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01SM5068633  
My Commission Expires Nov. 4, 2002

  
Notary Public

11/21/2001

Date

  
James Pellegrino ALEXANDER

State of New York )

ss):

County of Schenectady )

This 21<sup>st</sup> day of November, 2001, before me personally came the above-named James Pellegrino ALEXANDER, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

JOANNE A. SMITH  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 01SM5068633  
My Commission Expires Nov. 4, 2003

  
Notary Public