



12-14-2001



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Docket No.: 7093-105/10107750

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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12.601

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ben C. Platt
Christian A. Sandstedt

2. Name and address of receiving party(ies):

Name: Calhoun Vision, Inc.

Internal Address: _____

Street Address: 2555 East Colorado Blvd., Suite 400

City: Pasadena, State: CA ZIP: 91107

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: 10/26/01 & 11/30/01

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/977857

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ROBERT BERLINRE

Internal Address: FULBRIGHT & JAWORSKI LLP

Street Address: 865 S. Figueroa St., Suite 2900

12/13/2001 TDIAZ1 00000033 500337 09977857

01 FC:581 40.00 CH

City: Los Angeles State: CA ZIP: 90017

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0337

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ROBERT BERLINER

Name of Person Signing

Signature

DEC. 6, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5

DEC 06 2001
PATENT & TRADEMARK OFFICE

Docket No.

7093-105

~~DEC 06 2001~~

- Date of Execution:***

Date of Filing:

-

LIGHT ADJUSTABLE ABERRATION CONJUGATOR

[illegible]

| <i>Name</i> | <i>Address</i> |
|----------------------|---|
| Calhoun Vision, inc. | 2555 East Colorado Blvd., Suite 400 Pasadena, CA 91107 |

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.
7093-105

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

[illegible]

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.
7093-105

This is an Assignment of the following rights, title and interest: (check all that apply):

- ☒ *United States of America rights, title and interest in the invention*
- ☒ *Foreign rights, title and interest in the invention*
- ☐ *United States Patent Application Serial No.*

Date of Execution:

Date of Filing:

- ☐ **United States Provisional Patent Application Serial No.** _____
- ☐ **United States Patent No(s).** _____
- ☐ **International (PCT) Patent Application Serial No.** _____
- ☐ **Other (specify)** _____

Title of the Invention

LIGHT ADJUSTABLE ABERRATION CONJUGATOR

Inventors (assignors)[illegible]

Assignee

| <i>Name</i> | <i>Address</i> |
|----------------------|---|
| Calhoun Vision, inc. | 2555 East Colorado Blvd., Suite 400 Pasadena, CA 91107 |

Assignment of Rights, Title and Interest in Invention (Multiple inventors; single assignee)

Docket No.
7093-105

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

[illegible]