

12-17-2001



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IEET

Attorney Docket Number
9775-0039-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul K. Rosenberg
Daniel K. Case
Jan Lipson
Rudolf J. Hofmeister
The' Linh NguyenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

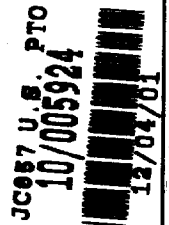
2. Name and address of receiving party(ies):

Name: FINISAR CORPORATIONAddress: 1308 Moffett Park Drive
Sunnyvale, California 94089-1133

Country (if other than USA): _____

3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other _____

Execution Date: December 3, 2001

10/005924

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 3, 2001

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA 943046. Number of applications and patents involved: one7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150 (9775-0048-999)**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Gary S. Williams31,066December 4, 2001

Name of Person Signing Reg. No.

Signature

Date

Total number of pages including cover sheet:

4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 2023112/17/2001 ANNVED1 00000009 161150 10005924
01 FC:581 40.00 CH**PATENT**
REEL: 012361 FRAME: 0058

CA1 - 291426.1

ASSIGNMENT

WHEREAS, WE, **Paul K. Rosenberg**, a resident of Sunnyvale, California, a citizen of the United States; **Daniel K. Case**, a resident of Gilroy, California, a citizen of the United States; **Jan Lipson**, a resident of Cupertino, California, a citizen of the United States; **Rudolf J. Hofmeister**, a resident of Sunnyvale, California, a citizen of the United States; and **The' Linh Nguyen**, a resident of San Jose, California, a citizen of Canada; (hereinafter "ASSIGNORS"), have invented certain new and useful improvements in a **CIRCUIT INTERCONNECT FOR OPTOELECTRONIC DEVICE FOR CONTROLLED IMPEDANCE AT HIGH FREQUENCIES**, and having executed concurrently herewith an application for a United States patent disclosing and identifying the invention; which is identified by Pennie & Edmonds LLP **docket no. 9775-0048-999**; and

WHEREAS, **Finisar Corporation**, having a place of business in Sunnyvale, California, a corporation created and existing under and by virtue of the laws of the State of Delaware, is (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in and to the aforesaid application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries. to and under the said invention and the said application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said application for U.S. Letters Patent, and any and all divisions, continuations and renewals thereof, and any and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally

do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

The terms, covenants and conditions of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding on said Inventors, their heirs, legal representatives and assigns.

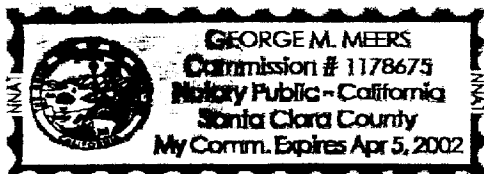
IN TESTIMONY WHEREOF, said Inventors have executed and delivered this instrument to said Assignee the day and year below written.

1.
State of California)
County of Santa Clara) SS.:

Paul K. Rosenberg
Paul K. Rosenberg

On this 3rd day of December, 2001 before me, a Notary Public in and for the State and County aforesaid, personally appeared Paul K. Rosenberg, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Seal:



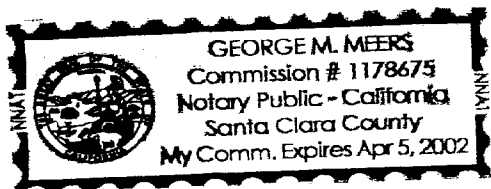
George M. Meers
Notary Public.

2.
State of California)
County of Santa Clara) SS.:

Daniel K. Case
Daniel K. Case

On this 3rd day of December, 2001, before me, a Notary Public in and for the State and County aforesaid, personally appeared Daniel K. Case, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.

3.

State of California

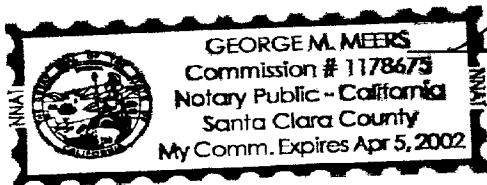
County of Santa Clara

SS.:

Jan Lipson
Jan Lipson

On this 3rd day of December, 2001 before me, a Notary Public in and for the State and County aforesaid, personally appeared Jan Lipson, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.

4.

State of California

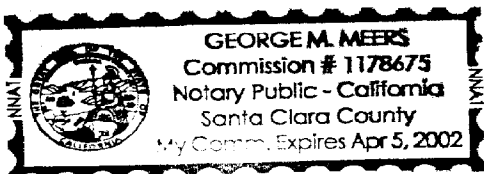
County of Santa Clara

SS.:

Rudolf J. Hofmeister
Rudolf J. Hofmeister

On this 3rd day of December, 2001 before me, a Notary Public in and for the State and County aforesaid, personally appeared Rudolf J. Hofmeister, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.

5.

State of California

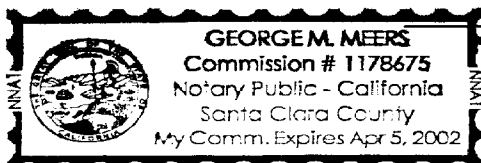
County of Santa Clara

SS.:

The' Linh Nguyen
The' Linh Nguyen

On this 3rd day of December, 2001 before me, a Notary Public in and for the State and County aforesaid, personally appeared The' Linh Nguyen, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.

Seal:



George M. Meers
Notary Public.

9775-0048-999

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RECORDED: 12/04/2001

PATENT
REEL: 012361 FRAME: 0061