

12-17-2001

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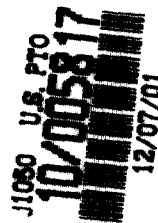
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TO THE ASSISTANT COMMISSIONER

101917269

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Karlheinz DRAUZ
3. Michael SCHWARM
5.
7.2. Günter KNAUP
4.
6.
8.ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☐ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: 1) Degussa-Huls Aktiengesellschaft

ADDRESS: 1) DE-60311 Frankfurt am Main, GERMANY

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☐ ORIGINAL ☒ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: July 6, 1999

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☐ NOA. PAT. APP. NO.(S)
series code/serial no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

282435

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Pillsbury Winthrop LLP
Intellectual Property Group
1600 Tysons Boulevard
McLean, VA 22120

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL

1

7. AMOUNT OF FEE ENCLOSED: (Code 581)
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

P 282435

21123

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

21123

282435

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9.

Michael A. Sanzo

Signature

Attorney: Michael A. Sanzo

Reg. No. 36912

Atty/Sec: MAS/AMX

TEL: (703) 905-2173

Date: December 6, 2001

FAX: (703) 905-2500

10. Total number of pages including this
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FILE WITH PTO RETURN RECEIPT (PAT-103A)

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USA Patent Appln.
Sole or Joint

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

SERT	(1) Karlheinz DRAUZ	(2) Günter KNAUP
NAME(S) OF	(3) Michael SCHWARM	(4)
VENTOR(S)	(5)	<input type="checkbox"/> x box if continued on page 2

a receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

SERT	Degussa-Hüls Aktiengesellschaft
NAME(S) OF	Weissfrauenstrasse 9
ASSIGNEE(S)	DE-60311 Frankfurt am Main
ADDRESS(ES)	Germany

hereinafter designated "ASSIGNEE" the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF INVENTION
Process for the preparation of 3-amino-2-oxo-pyrrolidines, novel intermediates and their use

for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → → (A) ☐ even date herewith
complete (B) ☐ on
of A, B and/or C (C) ☒ in U.S. Appln. No. 09/ filed May 21, 1999

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

TE → → The undersigned hereby authorize(s) Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS	DATE SIGNED	WITNESSES
me: Karlheinz DRAUZ	7/6/99	Ursula Demuth
me: Günter KNAUP	7/6/99	Ursula Demuth
me: Michael SCHWARM	7/6/99	Ursula Demuth
Name:		Ursula Demuth
me:		
Name:		
me:		

PAT-114 1/97

RECORDED: 12/07/2001

PATENT
REEL: 012362 FRAME: 0715