

12-20-2001



101919815  
RECORDATION FORM COVER SHEET  
PATENTS ONLY

10/011182  
11/13/01

11/13/01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New

Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other \_\_\_\_\_

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Pawley, Daniel E. Execution Date: 06/15/1999

Name (line 2) \_\_\_\_\_

**Second Party**

Name (line 1) Schneider, David A. Execution Date: 06/15/1999

Name (line 2) \_\_\_\_\_

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Lexmark International, Inc.

Name (line 2) \_\_\_\_\_

Address (line 1) Intellectual Property Law Dept.

Address (line 2) 740 West New Circle Road

Address (line 3) Lexington KY 40550

City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

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12/19/2001 GTON11 00000165 121213 10011182  
01 FC:581 40.00 CH

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was  Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

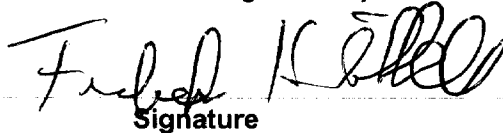
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Frederick H. Gribbell  
Name of Person Signing



Signature

November 13, 2001  
Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Wade, Thomas C.

Execution Date  
Month Day Year  
06/15/1999

Name (line 2)

Name (line 1) Ward, II, Earl D.

Execution Date  
Month Day Year  
06/15/1999

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

10872 U.S. PTO  
10/01/1992  
11/13/01

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNORS:**

Daniel Eugene Pawley  
11309 Oakhurst Road  
Louisville, KY 40245  
Nationality: USA

David Anthony Schneider  
2840 Ashbrook Drive  
Lexington, KY 40513  
Nationality: USA

Thomas Campbell Wade  
1261 Colonial Drive  
Lexington, KY 40504  
Nationality: USA

Earl Dawson Ward, II  
777 River Hill Drive  
Richmond, KY 40475  
Nationality: USA

hereby sell, assign and transfer to

the **ASSIGNEE:**

Lexmark International, Inc.  
Intellectual Property Law Dept.  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**ELECTRONIC CONTROL ARRANGEMENT FOR A LASER PRINTER**

which is disclosed in a U.S. patent application executed on even date herewith, and any legal equivalent thereof in a foreign country, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to

ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

*Daniel Eugene Pawley*  
Signature of Daniel Eugene Pawley

June 15, 1999  
Date

*David A. Schneider*  
Signature of David Anthony Schneider

June 15, 1999  
Date

*Thomas Campbell Wade*  
Signature of Thomas Campbell Wade

June 15, 1999  
Date

*Earl Dawson Ward, II*  
Signature of Earl Dawson Ward, II

June 15, 1999  
Date

#### NOTARIZATION

Details of Country USA  
and place of signing of assignment Lexington, KY

Before me this 15<sup>th</sup> day of June 19 99, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

*Pruti Kullbs*  
Notary Public