

RI

12-20-2001

IEET



Docket No. AUS920010733US1
(9000/68)

To: The Commissioner of

101919524

Attached original document(s) or copy(ies).

1. Name of Party(ies) conveying an interest:

JOHNNY R. BREZINA
CHRISTOPHER M. GABEL
BRIAN M. KERRIGAN
ROGER T. LINDQUIST
GERALD D. MALAGRINO, JR.
JAMES R. MOON

Additional name(s) of conveying party(ies) attached?

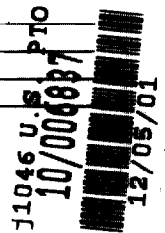
Yes No

2. Name and Address of Party(ies) receiving an interest:

Name: International Business Machines Corporation
Internal Address:
Street Address: New Orchard Road
City: Armonk
State/Zip: New York 10504

Additional name(s) and addresses attached?

Yes No



3. Description of the interest conveyed:

Assignment Change of Name
 Security Agreement Merger

Other: _____

Execution Date: 10/25/01, 10/25/01, 10/24/01, 10/25/01, 10/25/01, 10/25/01

4. Application number(s) or patent number(s). Additional sheet attached?

Yes No

If this document is being filed together with a new application, the execution date of the application is:

10/25/01, 10/25/01, 10/24/01, 10/25/01, 10/25/01, 10/25/01
Date

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Casimer K. Salys
IBM CORPORATION
Intellectual Property Law
11400 Burnet Road - Zip 4054
Austin, TX 78758
(512) 823-0092

6. Number of applications and patents involved: 1

7. Fee Amount (37 CFR 3.4)

\$ 40

Enclosed
 Authorized to be charged to Deposit Account
No. 09-0447

Please charge any deficiencies in fee or credit any overpayment to Deposit Account No. 09-0447.

DO NOT USE THIS SPACE

12/19/2001 AMWED1 00000111 090447 10006837
01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

CASIMER K. SALYS (28,900)

Casimer K Salys
Signature

11/2/01
Date

Total number of pages including cover sheet, attachments, and document: 13

ASSIGNMENT

Whereas, we,

(1) JOHNNY R. BREZINA of AUSTIN
County of TRAVIS and State of TEXAS

(2) CHRISTOPHER M. GABEL of ROCHESTER
County of OLMSTED and State of MINNESOTA

(3) BRIAN M. KERRIGAN of AUSTIN
County of TRAVIS and State of TEXAS

(4) ROGER T. LINDQUIST of DODGE CENTER
County of DODGE and State of MINNESOTA

(5) GERALD D. MALAGRINO, JR. of ROCHESTER
County of OLMSTED and State of MINNESOTA

(6) JAMES R. MOON of ORONOCO
County of OLMSTED and State of MINNESOTA

have invented certain improvements in

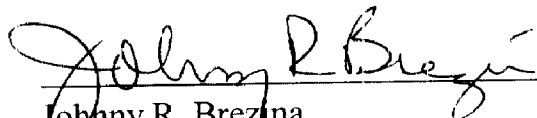
MOUNTING A LENS ARRAY IN A FIBER OPTIC TRANSCEIVER

and executed a United States patent application, therefore on
(Date Inventor Signed Declaration) (1) 10/25, 2001, (2) _____, 2001,
(3) _____, 2001, (4) _____, 2001, (5) _____, 2001, and
(6) _____, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation
of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM),
desires to acquire the entire right, title and interest in the said application and invention, and to
any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on 10/25, 2001.



Johnny R. Brezina

(2) Signed at _____ on _____, 2001.

Christopher M. Gabel

(3) Signed at _____ on _____, 2001.

Brian M. Kerrigan

(4) Signed at _____ on _____, 2001.

Roger T. Lindquist

(5) Signed at _____ on _____, 2001.

Gerald D. Malagrino, Jr.

(6) Signed at _____ on _____, 2001.

James R. Moon

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County of OLMSTED and State of MINNESOTA

(6) JAMES R. MOON of ORONOCO
County of OLMSTED and State of MINNESOTA

have invented certain improvements in

MOUNTING A LENS ARRAY IN A FIBER OPTIC TRANSCEIVER

and executed a United States patent application therefore on

(Date Inventor Signed Declaration) (1) _____, 2001, (2) _____, 2001,
(3) 10/29, 2001, (4) _____, 2001, (5) _____, 2001, and
(6) _____, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at _____ on _____, 2001.

Johnny R. Brezina

(2) Signed at _____ on _____, 2001.

Christopher M. Gabel

(3) Signed at Raleigh, N.C. on 10/29/01, 2001.

Brian M. Kerrigan
Brian M. Kerrigan

(4) Signed at _____ on _____, 2001.

Roger T. Lindquist

(5) Signed at _____ on _____, 2001.

Gerald D. Malagrino, Jr.

(6) Signed at _____ on _____, 2001.

James R. Moon

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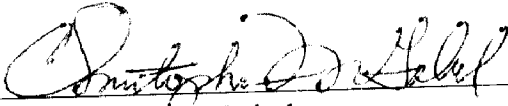
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(1) Signed at _____ on _____, 2001.

Johnny R. Brezina

(2) Signed at Rochester, MN on 10/25, 2001.



Christopher M. Gabel

(3) Signed at _____ on _____, 2001.

Brian M. Kerrigan

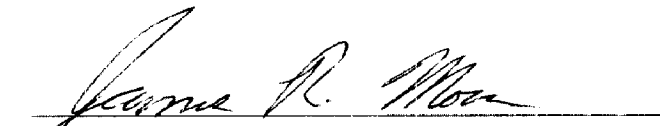
(4) Signed at Rochester, MN on 10-25, 2001.

Roger T. Lindquist
Roger T. Lindquist

(5) Signed at Rochester MN on 10/25, 2001.

Gerald D. Malagrino, Jr.
Gerald D. Malagrino, Jr.

(6) Signed at Rochester, N.Y. on 10-25, 2001.


James R. Moon