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745 Fifth Avenue
New York, New York 10151
(212) 588-0800
File No.: 514413-3885

10/22/01

Applicant : Sprunck et al
For : **PROMOTERS FOR GENE EXPRESSION IN CARYOPSES OF PLANTS**
Filing Date : July 5, 2001

Assistant Commissioner for Patents

1. Name of Conveying Party(ies): 1) Dr. Stefanie Sprunck 2) Antje Kluth
3) Dr. Dirk Becker 4) Dr. Stephanie Luetticke 5) Prof. Dr. Horst Loerz

2. Name and address of receiving party(ies): Aventis CropScience GmbH, 65929 Frankfurt, Germany

3. Nature of Conveyance: Assignment (1)

■ Application No. or Patent No.: 09/899,595

■ ~~This document is being filed together with a new application.~~ The execution date of this Assignment for inventor 1) July 9, 2001 2) July 24, 2001 3) July 9, 2001 4) July 3, 2001 5) July 23, 2001

5. Name and address of party to whom the recorded assignment and any correspondence concerning document should be mailed:

William F. Lawrence, Registration No. 28,029
Frommer Lawrence & Haug LLP
745 Fifth Avenue
New York, New York 10151

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 40.00

■ Fee enclosed, Check No. 017894.

8. Charge Fee Deposit Account No.: 50-0320 (Attach duplicate copy of this page if paying by deposit account).

If any additional fee is required, authorization is hereby given to charge Deposit Account No. 50-0320.

9. Statement and Signature.

The assignment documents were reviewed and to the best of the assignee's knowledge and belief, title is in the assignee seeking this action.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing: William F. Lawrence
William F. Lawrence, Reg. No. 28,029

Date: _____

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AGR 2000/M 215 US NP

ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we

- 1) Dr. Stefanie Sprunck , Straßweg 5 , 22607 Hamburg , Germany
- 2) Antje Kluth , Dithmarscher Straße 41 , 22049 Hamburg , Germany
- 3) Dr. Dirk Becker , Bergheide 56a , 21149 Hamburg, Germany
- 4) Dr. Stephanie Luetticke , Lange Reihe 22 , 20099 Hamburg , Germany
- 5) Prof. Dr. Horst Loerz , Ramckeweg 6a , 22589 Hamburg , Germany

Inventor(s) is/are citizen(s) of: 1) - 5) **Germany**.

hereby sell, assign, and transfer unto Aventis CropScience GmbH

a corporation organized under the laws of Germany
located at D-65929 Frankfurt, Germany,

the entire right, title and interest in and to my/our application for Letters Patent of the United States , executed concurrently herewith /

Ser.-No.: 09/899,595

filed: July 5, 2001

entitled: **Promoters for gene expression in caryopses of plants**

and my/our entire right, title, and interest in and to all my/our inventions disclosed in said application for Letters Patent, and in and to all divisional or continuation applications that may be filed for United States Letters Patent for any of said inventions, and in and to all patents that may be granted on the foregoing applications, and I/we hereby agree, whenever requested, to communicate to said assignee, its successors and assigns, any facts known to me/us respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in the United States.

Inventor(s):

1 st :	<u>Stefanie Sprunck</u>	Date: <u>July, 09 / 2001</u>
2 nd :	<u>Antje Kluth</u>	Date: <u>July, 24, 2001</u>
3 rd :	<u>Dirk Becker</u>	Date: <u>July, 09, 2001</u>
4 th :	<u>Stephanie Luetticke</u>	Date: <u>July, 09, 2001</u>
5 th :	<u>Horst Loerz</u>	Date: <u>July 23, 2001</u>