

12-21-2001



US010623

Form PTO 1595
(Rev. 6-93)

101922877

U.S. Dept. of Commerce
Patent and Trademark Office

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eric B. Shen

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Terms

☐

Other

Execution Date: November 29, 2001

2. Name and address of receiving party(ies):

Name: Koninklijke Philips Electronics N.V.

Internal Address:

Street Address: Groenewoudseweg 15621 BA Eindhoven, The Netherlands

Additional name(s) & address(es) attached?

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application, is November 29, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philips Electronics North America Corporation

Internal Address:

Street Address: 580 White Plains RoadCity: Tarrytown State: NY Zip: 10591

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) ...\$40.00

☐

Enclosed

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Authorized to Deposit Account

8. Deposit Account Number: 14-1270

(Attach duplicate copy of this page paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Kraus, Reg. 26,358
Name of Person Signing[Signature]
Signature12-04-01
DateTotal number of pages including cover sheet, attachments, and document: 2

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PATENT
REEL: 012378 FRAME: 0006

SOLE/JOINT ASSIGNMENT

Docket No. US010623

For good and valuable consideration, I, as a below-named Assignor, hereby sell, assign, and transfer the entire right, title, and interest in the following to Koninklijke Philips Electronics N.V., a Delaware corporation having a place of business at Groenewoudseweg 1, 5621 BA, Eindhoven, The Netherlands, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee"):

(1) my/our invention relating to HIGH POWER FACTOR ELECTRONIC BALLAST WITH LOSSLESS SWITCHING as described in the U.S. patent application

[X] executed concurrently herewith,

[] executed on _____,

[] filed in the United States Patent and Trademark Office

on _____ as Serial Number _____,

(2) the foregoing application and all other U.S. and foreign patent applications based thereon, including divisions, continuations, reissues, and extensions, and

(3) all patents granted on these applications.

I will provide my cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecutorial actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee. I authorize and request that these patents be issued to the Assignee.

I certify that I have the full right to convey the above rights.

11/29/01 (signature) Eric B. Shen, Assignor
Date (printed name) Eric B. Shen

_____, Assignor
Date (signature)
(printed name)

_____, Assignor
Date (signature)
(printed name)

State of _____)
County of _____)

On this 29th day of November, 192001 before me personally appeared Eric B. Shen, known to me to be the person(s) who executed the foregoing assignment and acknowledged to me that he/they executed this assignment of his/their own free will.

Jean C. Provenzano
Notary Public

JEAN C. PROVENZANO
NOTARY PUBLIC, State of New York
No. 4609688
Qualified in Westchester County
Commission Expires May 31, 192003

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