



(FEIR) INCOME STATE STAT	
Form PTO-1595 101924640 U.S. Department of Commerce (Rev. 03/01) RECORDATION FORM COVER SHEET Patent and Trademark Office OMB No. 0651-0027 (exp. 5/31/2002) PATENTS ONLY	
Name of conveying party(ies): Alan J.M. Baker To the Honorable Commissioner of Patents and Trademarks. Please record the second that th	Name and address of receiving party(ies):
Alan J.M. Baker	Name: University of Sheffield
''	Internal Address:
	Street Address: Western Bank
Additional name(s) of conveying party(ies) attached? □ yes ⋈ no 3. Nature of Conveyance:	
1	City: Sheffield S10 2TN State: Zip Code:
■ Assignment	Country: United Kingdom
□ Other	Additional name(s) & address(es) attached? □ yes 🗷 no
Execution Date: 06/01/2000	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution date of the application is	
A. Patent Application No(s).	B. Patent No(s).
09/856,561	
Additional numbers attached? □ yes ☒ no	
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved:
Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.	7. Total fee (37 C.F.R. § 3.41)\$ 40.00
Internal Address: c/o Robert W. Esmond, Esq.	☑ Enclosed
(Atty. Dkt. 1797.0160001/RWE)	☐ Authorized to be charged to Deposit Account
Street Address: 1100 New York Ave., N.W.	8. Deposit Account Number:
Suite 600	19-0036
City: Washington State: D.C. Zip Code: 20005-3934	(Attach duplicate copy of this page if paying by deposit account)
MONITE 00000072 09856561	
40.00 9P DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true	
copy of the original document. Robert W. Fernand 12/05/2001	
Robert W. Esmond Name of Person Signing Registration No. 32,893	12/05/2001 Date
Total number of pages including cover sheet, attachments and document 4	
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231	

P:\USERS\DENISEB\1797\01611595sheffield\$KGF Rev. 5/16/01 mac

12/11/2001

INVLITORS ASSIGNMENT AGREEMENT

THIS AGREEMENT is made the /st day of ~ Two thousand BETWEEN Alan J M Baker (hereinafter the Inventor) of one part and THE UNIVERSITY OF SHEFFIELD of Western Bank Sheffield S10 2TN (hereinafter called "the University") of the other part.

WHEREAS: -

- A The Inventor has made an Invention (hereinafter called "the Invention" in collaboration with the employees of the University of Maryland and the US Department of Agriculture, short particulars of which are set out in the Schedule hereto and recognises that in consequence of his status as an employee of the University that his rights in the Invention belong to the University with the right to apply for patent or similar protection in respect thereof.
- B. The Inventor and the University wish to set out their financial obligations in respect of the exploitation of the Invention.

NOW THIS AGREEMENT WITNESSETH as follows: -

- THE Inventor warrants to the University that he is a true Inventor of the said Invention.
- 2 THE Inventor: -

undertakes to execute at the direction and expense of the University such documents as the University shall require in connection with any application for any patent registered design or similar protection in any part of the world in respect of the said Invention and to confirm the absolute legal and beneficial ownership of the University in the Invention and all intellectual property rights relating thereto and

- THE Inventor undertakes not to disclose or to assist others to disclose any information concerning the said Invention other than as may be permitted in writing by the University.
- IN consideration of the undertaking made by the Inventor in this Agreement the University hereby agrees to:
 - a) pay 50% of its Net Income to the Inventor to be allocated as follows:

ChindowsTEMPBAKER_2.do 2004/94

94% **PATENT** P. Ø2

b) pay 20% Net Income to the Inventors' Department

> The remaining 30% of Net Income will be paid to the Central Funds of the University.

- c) It is agreed by the Inventor that he will diligently provide support for this opportunity and its exploitation.
- FOR the avoidance of doubt Net Income shall mean the net proceeds of any sale or exploitation of the Invention by the University after deduction of costs incurred by the University in protection, exploitation, development, sales and policing of the Invention, including but not limited to patent expenses, legal fees, taxes and sums expended in development of the Invention to the extent that these are not recoverable from a third party.
- THE University has the right at its own expense to apply for patent design or similar protection in any part of the world whether in the Inventors' name or otherwise.
- THE Inventor make(s) no warranty as to whether or not the Invention infringes any intellectual property rights of Third Parties.
- 8 NOTHING in this Agreement shall constitute the University an agent of the Inventor and the University shall be at liberty to exploit the Invention or not as it shall determine in its absolute discretion.

AS WITNESS the hands of the Inventor and the hand of Dr R A Exley for and on behalf of the University the day and year first above written.

RAEXLEY Date: / June 2000

Signed by the said Alan J M Baker Date: 1.6. 2000 Wan J-M Baker

in the presence of Myll WITNESS

REEL: 012380 FRAME: 0218

Schedule 1

Details of Invention

Thie

Thlaspi Caerulescens Subspecies for Cadmium and Zinc Recovery

University Reference DIS 20005

United States Application No: PCT/US99/27731

CODBURCHIVE/USED_AGSIASSIGN/BAKER_2.doc 20/04/94

JUN-01-2000 10:29 **RECORDED: 12/05/2001**

TOTAL P.04 93% **PATENT** P.04

REEL: 012380 FRAME: 0219