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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hans-Peter Brack
Daniel Brunelle
James A. Cella
Dennis Karlik

12/21/01

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

October 23, 2001

October 30, 2001

Execution Date: November 6, 2001Street Address: One Plastics AvenueCity: Pittsfield State: MA Zip: 01201Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/948,253

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marina T. Larson, PhD

Internal Address: _____

Street Address: Oppedahl & Larson LLP

P.O. Box 5068

City: Dillon State: CO Zip: 80435-50686. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

070862

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Marina T. Larson, PhD

Name of Person Signing

Marina T. Larson

Signature

November 16, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 5Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 012381 FRAME: 0683

RECORDATION FORM COVER SHEET (continued)

1. Name of conveying parties:

Tomoaki Shimoda
Akio Ikeda
Takato Kimura

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Hans-Peter Brack, Daniel Brunelle, James A. Cella, Dennis Karlik, Tomoaki Shimoda, Akio Ikeda, and Takato Kimura sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in PROCESS FOR PRODUCTION OF POLYCARBONATE invented by us, as described in the application for United States Patent Serial No. 09/948,253, filed on September 7, 2001, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

October 23, 2001
Date

Hans-Peter Brack
Hans-Peter Brack

October 23, 2001
Date

Antoinette van Bennekom
WITNESSED

October 23, 2001
Date

Antoinette van Bennekom
WITNESSED

Nov 6, 2001
Date

Daniel Brunelle
Daniel Brunelle

SUBSCRIBED AND SWORN TO before me this 6th day of November, 2001.

JOANNE A. SMITH
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01SM5068633
My Commission Expires Nov. 4, 2002

Joanne A. Smith
NOTARY PUBLIC

November 6, 2001
Date

James A. Cella
James A. Cella

SUBSCRIBED AND SWORN TO before me this 6th day of November, 2001.

JOANNE A. SMITH
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01SM5068633
My Commission Expires Nov. 4, 2002

Joanne A. Smith
NOTARY PUBLIC

October 23, 2001
Date

Dennis Karlik
Dennis Karlik

October 23, 2001
Date

Rita J. [Signature]
WITNESSED

October 23, 2001
Date

Bernice Antoinette van Buren
WITNESSED

Oct 30th, 2001
Date

Tomoaki Shimoda
Tomoaki Shimoda

Oct 30th, 2001
Date

Masahiko Tsumi
WITNESSED

Oct 30th, 2001
Date

Osamu Ohki
WITNESSED

Oct 30th, 2001
Date

Akio Ikeda
Akio Ikeda

Oct 30th, 2001
Date

Masahiko Tsumi
WITNESSED

Oct 30th, 2001
Date

Osamu Ohki
WITNESSED

Oct 30th, 2001
Date

Takato Kimura
Takato Kimura

Oct 30th, 2001
Date

Masahiko Tsumi
WITNESSED

Oct 30th, 2001
Date

Osamu Ohki
WITNESSED