

12-26-2001

12/18/01



Attorney Docket: P-1067

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

101924949

PATENTS ONLY

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings □ □ □ □ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dr. Norbert Schall

Hubert Simmler-Hübenthal

Herrmann Gerardo Feldhaus

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Süd-Chemie AG

Internal Address:

Lenbachplatz 6

D-80333 München, Germany

Street Address:

Lenbachplatz 6

City: Munich State: GERMANY ZIP: D-80333

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: July 17, 2001 + July 27, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/889,015

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott R. Cox

Internal Address:

Lynch, Cox, Gilman & Mahan, P.C.

Street Address: 400 W. Market

Suite 2200

City: Louisville

State: KY

ZIP: 40202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 03 3420

for any fee deficiency

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott R. Cox

Name of Person Signing

Signature

12/18/01

Date

Total number of pages including cover sheet, attachments, and document: 5Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

12/26/2001 LMJELLER 00000008 09889015

01 FC:581

40.00 DP

PATENT
REEL: 012382 FRAME: 0279

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

<u>Dr. Norbert Schall</u>	<u>Am Strogenkanal 10</u>	
<i>(type or print name of inventors)</i>	<u>D-85476 Langenpreising Germany</u>	
<u>Hubert Simmler-Hübenthal</u>	<u>Address</u>	<u>Nationality</u>
	<u>Am Mühlbachbogen 13</u>	
	<u>D-85368 Moosburg Germany</u>	
<u>Herrmann Gerardo Feldhaus</u>	<u>Canada Nr. 250</u>	
	<u>Pedregal de San Angel</u>	
	<u>Mexico, D.F. 01900</u>	
_____	_____	
_____	_____	
_____	_____	
_____	_____	
_____	_____	
_____	_____	
_____	_____	
_____	_____	

(Assignment of Invention—Multiple Inventors [16-3.2]—page 1 of 3)

hereby sells, assigns and transfers to

ASSIGNEE:

<u>Süd-Chemie AG</u>	<u>Lenbachplatz 6</u>
<i>(type or print name of ASSIGNEE)</i>	<i>Address</i>
<u>Germany</u>	<u>D-80333 München, Germany</u>
<i>Nationality</i>	

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☐ the entire right, title and interest
- ☐ an undivided _____ percent (____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority

in and to any and all improvements which are disclosed in the invention entitled:

MYKOTOXIN ADSORBENS

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention
- ☐ Express mail label no.: _____
- Mailed: _____
- ☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
- (d) ☒ U.S. application no. 09 / 889,015 filed on July 10, 2001
- (e) ☐ International application no. PCT / _____ / _____
- filed on _____
- (f) ☐ U.S. patent no. _____ issued _____
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

(Assignment of Invention—Multiple Inventors [16-3.2]—page 2 of 3)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this _____
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Dr. Norbert Schall
(type name of inventor)

Hubert Simmler-Hubenthal

Herrmann Gerardo Feldhaus

Stall 17.7.01
Signature of INVENTOR

H. Simmler-Hubenthal 17.07.01

Herrmann Gerardo Feldhaus 27.07.01

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors [16-3.2]—page 3 of 3)