

12-20-2001



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1104628-0002

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

See Attached (29 Names)

12-18-01

2. Name and address of receiving party(ies)

Name: CIBA-GEIGY Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: _____

444 Saw Mill River Road

City: Ardsley

State: NY

Zip: _____

Execution Date: May 6, 1993

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/438,666; 08/008,374

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: White & Case LLP

Internal Address: _____

Street Address: Patent Department

1155 Avenue of the Americas

City: New York State: NY Zip: 10036

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41).....\$ 80.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

23-1703

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Scheibeler Reg. No. 35,346
Name of Person Signing

Signature
December 7, 2001
Date

Total number of pages including cover sheet, attachments, and documents: 80

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 2023112/20/2001 GT0N11 00000097 231703 08438666
01 FC:581 40.00 CHPATENT
REEL: 012383 FRAME: 0061

ASSIGNMENT

FORM 1A

WE

MICHAEL G. KOZIEL	residing at	509 CAROLYN COURT CARY, NC 27511
NALINI M. DESAI	residing at	107 SILVERWOOD LANE CARY, NC 27511
KELLY S. LEWIS	residing at	1508 RUTONI DRIVE HILLSBOROUGH, NC 27278
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PATENT

REEL: 012383 FRAME: 0062

-2-

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TERRY RAY COLBERT	residing at	602 SCENIC HILL ROAD TROY, TN 38260
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for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to CIBA-GEIGY Corporation, a New York Corporation, of 444 Saw Mill River Road, Ardsley, New York, its successors, assigns and legal representatives all my right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**METHOD OF PRODUCING TRANSGENIC MAIZE USING DIRECT
TRANSFORMATION OF COMMERCIALLY IMPORTANT GENOTYPES**

invented by us and described in the application for United States Letters Patent

Serial No. 08/008/374 filed JANUARY 25, 1993

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-4-

Signed at:

_____ this _____ day _____, 1993.

Michael G. Koziel
MICHAEL G. KOZIEL

Nalini M. Desai
NALINI M. DESAI

Kelly S. Lewis
KELLY S. LEWIS

Vance C. Kramer
VANCE C. KRAMER

Gregory W. Warren
GREGORY W. WARREN

Stephen V. Evola
STEPHEN V. EVOLA

Lyle D. Crossland
LYLE D. CROSSLAND

Martha S. Wright
MARTHA S. WRIGHT

Ellis J. Merlin
ELLIS J. MERLIN

Karen L. Launis
KAREN L. LAUNIS

Steven J. Rothstein
STEVEN J. ROTHSTEIN

Cindy G. Bowman
CINDY G. BOWMAN

John L. Dawson
JOHN L. DAWSON

Erik M. Dunder
ERIK M. DUNDER

Gary M. Pace
GARY M. PACE

Signed at:

_____ this _____ day _____, 1993.

Janet L. Suttie
JANET L. SUTTIE

Annick de Framond
ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

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invented by us and described in the application for United States Letters Patent

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Signed at:

_____ this _____ day _____, 1993.

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KELLY S. LEWIS

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PATENT

REEL: 012383 FRAME: 0074

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Signed at:

Guelph, Ontario, Canada this 10 day May, 1993.

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including(i) authorization to file corresponding applications for patents or other similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, said interest being the entire ownership of the said Letters Patent or similar legal protection when granted, to be held and enjoyed by CIBA-GEIGY Corporation, its successors, assigns or other legal representatives, to the full end of the term for which said Letter Patent or similar legal protection may be granted, and hereby further covenant and agree that we will at any time upon request, without charge to, but at the expense of CIBA-GEIGY Corporation, execute such additional assignments and other lawful papers as CIBA-GEIGY Corporation, may deem necessary or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths, and generally do everything possible to aid CIBA-GEIGY Corporation, its successors, assigns, and legal representatives, to obtain and enforce proper patent protection for said invention.

Signed at:

_____ this _____ day _____, 1993.

MICHAEL G. KOZIEL

NALINI M. DESAI

KELLY S. LEWIS

VANCE C. KRAMER

GREGORY W. WARREN

STEPHEN V. EVOLA

LYLE D. CROSSLAND

MARTHA S. WRIGHT

ELLIS J. MERLIN

KAREN L. LAUNIS

STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Lombes France this 12 day Mai, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

ALBERT R. HORN BROOK

CHRISTOPHER P. CLUCAS

MOEZ RAJABALI MEGHJI

ANDREAS H. TANNER

FRANCIS E. CASSAGNE

GILLES POLLINI

TERRY RAY COLBERT

FRANCIS P. CAMMACK

ASSIGNMENT

FORM 1A

WE

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TERRY RAY COLBERT	residing at	602 SCENIC HILL ROAD TROY, TN 38260
FRANCIS P. CAMMACK	residing at	1140 NORTH 14TH STREET ROCHELLE, IL 61068

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to CIBA-GEIGY Corporation, a New York Corporation, of 444 Saw Mill River Road, Ardsley, New York, its successors, assigns and legal representatives all my right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**METHOD OF PRODUCING TRANSGENIC MAIZE USING DIRECT
TRANSFORMATION OF COMMERCIALY IMPORTANT GENOTYPES**

invented by us and described in the application for United States Letters Patent

Serial No. 08/008/374 filed JANUARY 25, 1993

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JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Lombey France this, 12 day, May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

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JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Union City, TN this 7th day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

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ASSIGNMENT

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ERIK M. DUNDER

GARY M. PACE

Signed at:

Rochelle, IL this 6 day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

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F. P. Cammack
FRANCIS P. CAMMACK

ASSIGNMENT

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STEVEN J. ROTHSTEIN

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JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

BLOOMINGTON, IL this 7th day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

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PATENT

REEL: 012383 FRAME: 0104

-2-

ANNICK DE FRAMOND	residing at	2422 W. CLUB BOULEVARD DURHAM, NC 27705
JAMES O. LINDER	residing at	619 12TH STREET, S.E. OWATONNA, MN 55060
ROBERT L. MILLER	residing at	267 NORMAN DRIVE, N.E. CEDAR RAPIDS, IA 52402
BRUCE W. SKILLINGS	residing at	RURAL ROUTE # 1 INNERKIP, ONATARIO NOJIMO, CANADA
ALAN W. MOUSEL	residing at	314 NORTHWOOD DRIVE BLUFFTON, IN 46714
ALBERT R. HORN BROOK	residing at	ROUTE 4, BOX 233 BLOOMINGTON, IL 61704
CHRISTOPHER P. CLUCAS	residing at	497 STANTON JASPER ROAD, S.W. WASHINGTON COURT HOUSE, OH 43160
MOEZ RAJABALI MEGHJI	residing at	221 IMPERIAL DRIVE BLOOMINGTON, IL 61701
ANDREAS H. TANNER	residing at	9 IMPASSE du MAINE F-31830 PLAISANCE DU TOUCH FRANCE
FRANCIS E. CASSAGNE	residing at	4 RUE ABBE de MONTESQUIOU F-32000 AUCH FRANCE
GILLES POLLINI	residing at	QUARTIER LAGARDE "COUMOS" F-31230 L'ISLE EN DODON
TERRY RAY COLBERT	residing at	602 SCENIC HILL ROAD TROY, TN 38260
FRANCIS P. CAMMACK	residing at	1140 NORTH 14TH STREET ROCHELLE, IL 61068

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to CIBA-GEIGY Corporation, a New York Corporation, of 444 Saw Mill River Road, Ardsley, New York, its successors, assigns and legal representatives all my right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**METHOD OF PRODUCING TRANSGENIC MAIZE USING DIRECT
TRANSFORMATION OF COMMERCIALY IMPORTANT GENOTYPES**

invented by us and described in the application for United States Letters Patent

Serial No. 08/008/374 filed JANUARY 25, 1993

including (i) authorization to file corresponding applications for patents or other similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, said interest being the entire ownership of the said Letters Patent or similar legal protection when granted, to be held and enjoyed by CIBA-GEIGY Corporation, its successors, assigns or other legal representatives, to the full end of the term for which said Letter Patent or similar legal protection may be granted, and hereby further covenant and agree that we will at any time upon request, without charge to, but at the expense of CIBA-GEIGY Corporation, execute such additional assignments and other lawful papers as CIBA-GEIGY Corporation, may deem necessary or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths, and generally do everything possible to aid CIBA-GEIGY Corporation, its successors, assigns, and legal representatives, to obtain and enforce proper patent protection for said invention.

Signed at:

_____ this _____ day _____, 1993.

MICHAEL G. KOZIEL

NALINI M. DESAI

KELLY S. LEWIS

VANCE C. KRAMER

GREGORY W. WARREN

STEPHEN V. EVOLA

LYLE D. CROSSLAND

MARTHA S. WRIGHT

ELLIS J. MERLIN

KAREN L. LAUNIS

STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Washington C.H., OH this 7th day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

ALBERT R. HORNBROOK

Christopher P. Clucas
CHRISTOPHER P. CLUCAS

MOEZ RAJABALI MEGHJI

ANDREAS H. TANNER

FRANCIS E. CASSAGNE

GILLES POLLINI

TERRY RAY COLBERT

FRANCIS P. CAMMACK

ASSIGNMENT

FORM 1A

WE

MICHAEL G. KOZIEL	residing at	509 CAROLYN COURT CARY, NC 27511
NALINI M. DESAI	residing at	107 SILVERWOOD LANE CARY, NC 27511
KELLY S. LEWIS	residing at	1508 RUTONI DRIVE HILLSBOROUGH, NC 27278
VANCE C. KRAMER	residing at	608 DANA COURT HILLSBOROUGH, NC 27278
GREGORY W. WARREN	residing at	324 BOND LAKE DRIVE CARY, NC 27513
STEPHEN V. EVOLA	residing at	1013 E. BEECHMONT CIRCLE APEX, NC 27502
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ERIK M. DUNDER	residing at	ROUTE 12, BOX 127-G CHAPEL HILL, NC 27514
GARY M. PACE	residing at	306 OREGON CIRCLE CARY, NC 27511
JANET L. SUTTIE	residing at	1608 PINEVIEW DRIVE RALEIGH, NC 27606

PATENT

REEL: 012383 FRAME: 0109

-2-

ANNICK DE FRAMOND	residing at	2422 W. CLUB BOULEVARD DURHAM, NC 27705
JAMES O. LINDER	residing at	619 12TH STREET, S.E. OWATONNA, MN 55060
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Signed at:

_____ this _____ day _____, 1993.

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GREGORY W. WARREN

STEPHEN V. EVOLA

LYLE D. CROSSLAND

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STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Bloomington, IL this 7th day, May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

ALBERT R. HORN BROOK

CHRISTOPHER P. CLUCAS

Itkhagh...
MOEZ RAJABALI-MEGHJI

ANDREAS H. TANNER

FRANCIS E. CASSAGNE

GILLES POLLINI

TERRY RAY COLBERT

FRANCIS P. CAMMACK

ASSIGNMENT

FORM 1A

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JANET L. SUTTIE	residing at	1608 PINEVIEW DRIVE RALEIGH, NC 27606

PATENT

REEL: 012383 FRAME: 0114

-2-

ANNICK DE FRAMOND	residing at	2422 W. CLUB BOULEVARD DURHAM, NC 27705
JAMES O. LINDER	residing at	619 12TH STREET, S.E. OWATONNA, MN 55060
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FRANCIS P. CAMMACK	residing at	1140 NORTH 14TH STREET ROCHELLE, IL 61068

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Signed at:

_____ this _____ day _____, 1993.

MICHAEL G. KOZIEL

NALINI M. DESAI

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STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Tombey, France this 12 day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

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FRANCIS P. CAMMACK

ASSIGNMENT

FORM 1A

WE

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JANET L. SUTTIE	residing at	1608 PINEVIEW DRIVE RALEIGH, NC 27606

-2-

ANNICK DE FRAMOND	residing at	2422 W. CLUB BOULEVARD DURHAM, NC 27705
JAMES O. LINDER	residing at	619 12TH STREET, S.E. OWATONNA, MN 55060
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-3-

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Signed at:

_____ this _____ day _____, 1993.

MICHAEL G. KOZIEL

NALINI M. DESAI

KELLY S. LEWIS

VANCE C. KRAMER

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JOHN L. DAWSON

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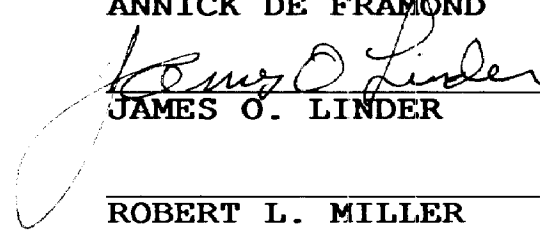
GARY M. PACE

Signed at:

_____ this _____ day _____, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND



JAMES O. LINDER

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BRUCE W. SKILLINGS

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ASSIGNMENT

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PATENT

REEL: 012383 FRAME: 0124

-2-

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KAREN L. LAUNIS

STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Marion Ia this 6 day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

Robert L. Miller
ROBERT L. MILLER

BRUCE W. SKILLINGS

ALAN W. MOUSEL

ALBERT R. HORNBROOK

CHRISTOPHER P. CLUCAS

MOEZ RAJABALI MEGHJI

ANDREAS H. TANNER

FRANCIS E. CASSAGNE

GILLES POLLINI

TERRY RAY COLBERT

FRANCIS P. CAMMACK

ASSIGNMENT

FORM 1A

WE

MICHAEL G. KOZIEL	residing at	509 CAROLYN COURT CARY, NC 27511
NALINI M. DESAI	residing at	107 SILVERWOOD LANE CARY, NC 27511
KELLY S. LEWIS	residing at	1508 RUTONI DRIVE HILLSBOROUGH, NC 27278
VANCE C. KRAMER	residing at	608 DANA COURT HILLSBOROUGH, NC 27278
GREGORY W. WARREN	residing at	324 BOND LAKE DRIVE CARY, NC 27513
STEPHEN V. EVOLA	residing at	1013 E. BEECHMONT CIRCLE APEX, NC 27502
LYLE D. CROSSLAND	residing at	108 PINCHOT LANE CHAPEL HILL, NC 27514
MARTHA S. WRIGHT	residing at	106 PRINCE WILLIAM DRIVE CARY, NC 27511
ELLIS J. MERLIN	residing at	8605 STONEGATE DRIVE RALEIGH, NC 27615
KAREN L. LAUNIS	residing at	104 SADDLEVIEW LANE FRANKLINTON, NC 27525
STEVEN J. ROTHSTEIN	residing at	15 FIELDSTONE ROAD GUELPH, ONTARIO N1A1A5, CANADA
CINDY G. BOWMAN	residing at	100 PENNY LANE CARY, NC 27511
JOHN L. DAWSON	residing at	56 POLKS LANDING CHAPEL HILL, NC 27516
ERIK M. DUNDER	residing at	ROUTE 12, BOX 127-G CHAPEL HILL, NC 27514
GARY M. PACE	residing at	306 OREGON CIRCLE CARY, NC 27511
JANET L. SUTTIE	residing at	1608 PINEVIEW DRIVE RALEIGH, NC 27606

PATENT

REEL: 012383 FRAME: 0129

-2-

ANNICK DE FRAMOND	residing at	2422 W. CLUB BOULEVARD DURHAM, NC 27705
JAMES O. LINDER	residing at	619 12TH STREET, S.E. OWATONNA, MN 55060
ROBERT L. MILLER	residing at	267 NORMAN DRIVE, N.E. CEDAR RAPIDS, IA 52402
BRUCE W. SKILLINGS	residing at	RURAL ROUTE # 1 INNERKIP, ONTARIO NOJIMO, CANADA
ALAN W. MOUSEL	residing at	314 NORTHWOOD DRIVE BLUFFTON, IN 46714
ALBERT R. HORNBROOK	residing at	ROUTE 4, BOX 233 BLOOMINGTON, IL 61704
CHRISTOPHER P. CLUCAS	residing at	497 STANTON JASPER ROAD, S.W. WASHINGTON COURT HOUSE, OH 43160
MOEZ RAJABALI MEGHJI	residing at	221 IMPERIAL DRIVE BLOOMINGTON, IL 61701
ANDREAS H. TANNER	residing at	9 IMPASSE du MAINE F-31830 PLAISANCE DU TOUCH FRANCE
FRANCIS E. CASSAGNE	residing at	4 RUE ABBE de MONTESQUIOU F-32000 AUCH FRANCE
GILLES POLLINI	residing at	QUARTIER LAGARDE "COUMOS" F-31230 L'ISLE EN DODON
TERRY RAY COLBERT	residing at	602 SCENIC HILL ROAD TROY, TN 38260
FRANCIS P. CAMMACK	residing at	1140 NORTH 14TH STREET ROCHELLE, IL 61068

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to CIBA-GEIGY Corporation, a New York Corporation, of 444 Saw Mill River Road, Ardsley, New York, its successors, assigns and legal representatives all my right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

**METHOD OF PRODUCING TRANSGENIC MAIZE USING DIRECT
TRANSFORMATION OF COMMERCIALY IMPORTANT GENOTYPES**

invented by us and described in the application for United States Letters Patent

Serial No. 08/008/374 filed JANUARY 25, 1993

including(i) authorization to file corresponding applications for patents or other similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, said interest being the entire ownership of the said Letters Patent or similar legal protection when granted, to be held and enjoyed by CIBA-GEIGY Corporation, its successors, assigns or other legal representatives, to the full end of the term for which said Letter Patent or similar legal protection may be granted, and hereby further covenant and agree that we will at any time upon request, without charge to, but at the expense of CIBA-GEIGY Corporation, execute such additional assignments and other lawful papers as CIBA-GEIGY Corporation, may deem necessary or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths, and generally do everything possible to aid CIBA-GEIGY Corporation, its successors, assigns, and legal representatives, to obtain and enforce proper patent protection for said invention.

Signed at:

_____ this _____ day _____, 1993.

MICHAEL G. KOZIEL

NALINI M. DESAI

KELLY S. LEWIS

VANCE C. KRAMER

GREGORY W. WARREN

STEPHEN V. EVOLA

LYLE D. CROSSLAND

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KAREN L. LAUNIS

STEVEN J. ROTHSTEIN

CINDY G. BOWMAN

JOHN L. DAWSON

ERIK M. DUNDER

GARY M. PACE

Signed at:

Plattsville, Ontario, Canada this 6th day May, 1993.

JANET L. SUTTIE

ANNICK DE FRAMOND

JAMES O. LINDER

ROBERT L. MILLER

Bruce W. Skillings
BRUCE W. SKILLINGS

ALAN W. MOUSEL

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Serial No. 08/008/374 filed JANUARY 25, 1993

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JOHN L. DAWSON

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GARY M. PACE

Signed at:

Bluffton, SC this 6th day May, 1993.


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ANNICK DE FRAMOND

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BRUCE W. SKILLINGS


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