

12-26-2001

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101923937

Docket No.: HX0117A-CIP

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey A. Robl, Bang-Chi Chen, Chong-Qing Sun
12/4/01

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 11/28/01; 11/29/01

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/28/01; 11/29/01

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No 16/008154

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Burton Rodney

Name of Person Signing
Reg. No. 22,076

Signature

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

12/26/2001 JFALLAH2 00000002 193880

10008154

01 FC:581

40.00 CH

PATENT
REEL: 012383 FRAME: 0903

ASSIGNMENT

We,

Jeffrey A. Robl	residing at	7 Tulip Drive Newtown, Pennsylvania 18940 United States of America
Bang-Chi Chen	residing at	28 Marion Drive Plainsboro, New Jersey 08536 United States of America
Chong-Qing Sun	residing at	527 Dutch Neck Road East Windsor, New Jersey 08520 United States of America,

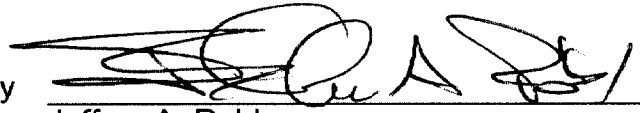
pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

HMG-COA REDUCTASE INHIBITORS AND METHOD

invented by us and described in the non-provisional United States patent application executed on even date herewith including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

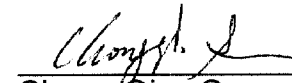
Signed this 28 day of November, 2001 by


Jeffrey A. Robl

Signed this 28 day of November, 2001 by

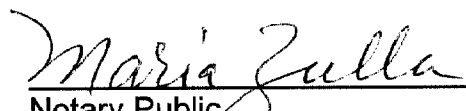

Bang-Chi Chen

Signed this 29 day of November, 2001 by


Chong-Qing Sun

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 28 day of November, 2001, before me came Jeffrey A. Robl, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 28 day of November, 2001, before me came Bang-Chi Chen, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



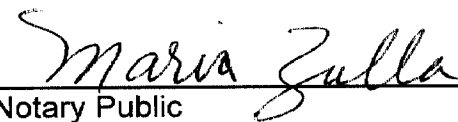
Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004

STATE OF NEW JERSEY)
) ss.
COUNTY OF MERCER)

On the 29 day of November, 2001, before me came Chong-Qing Sun, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Notary Public

[SEAL]

MARIA ZULLA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 8, 2004