

12-26-2001

FORM PTO-1619A



U.S. Department of Commerce  
Patent and Trademark Office

PATENT

RECORDATION SHEET  
101924274

PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- New
- Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_
- Correction of PTO Error  
Recl # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document  
Recl # \_\_\_\_\_ Frame # \_\_\_\_\_

**Conveyance Type**

- Assignment  Security Agreement
- License  Change of Name
- Merger  Other \_\_\_\_\_

**U.S. Government**

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- Departmental File  Secret File

J1003 U.S. PTO  
10/01/2001  
12/12/01

**Conveying Party(ies)**

- Mark if additional names of conveying parties attached

Name (line 1) William Schaller Execution Date  
Month Day Year  
Name (line 2) \_\_\_\_\_ 11/22/2001

**Second Party**

Name (line 1) Derrick Nagy Execution Date  
Month Day Year  
Name (line 2) \_\_\_\_\_ 11/21/2001

**Receiving Party**

- Mark if additional names of receiving parties attached

Name (line 1) ALCATEL CANADA INC.  If document to be recorded is  
Name (line 2) \_\_\_\_\_ an assignment and the  
Address (line 1) 600 March Road receiving party is not  
Address (line 2) P.O. Box 13600 domiciled in the United  
Address (line 3) Kanata Ontario/Canada K2K 2E6 States, an appointment of a  
City State/Country Zip Code domestic representative is  
attached. (Designation must  
be a separate document from  
Assignment.)

**Domestic Representative Name and Address**

Enter for first Receiving Party only.

Name BLAKE, CASSELS & GRAYDON LLP  
Address (line 1) Box 25, Commerce Court West  
Address (line 2) 199 Bay Street  
Address (line 3) Toronto, Ontario M5L 1A9  
Address (line 4) Canada

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405

U.S. PTO  
10/012436  
12/12/01

**Conveying Party(ies)**

Mark if additional names of receiving parties attached

*Enter additional Conveying Parties*

Execution Date  
Month Day Year

Name (line 1) Brian Campbell

11/21/2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

12/12/01

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

Mark if additional names of receiving parties attached

*Enter additional Receiving Party(ies)*

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached  
*(Designation must be a separate document from Assignment.)*

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached.  
*(Designation must be a separate document from Assignment.)*

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property)*

**Patent Application Number(s)**

**Patent Number(s)**

12/17/2001 EHAILE1 00000015 10012436

03 FC:581

20959773.1

40.00 DP

**Correspondent name and Address**

Area Code and Telephone Number

416.863.2785

Name Robert H. Nakano

Address (line 1) BLAKE, CASSELS & GRAYDON LLP

Address (line 2) Box 25, Commerce Court West

Address (line 3) 199 Bay Street, Toronto

Address (line 4) Ontario M5L 1A9 Canada

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

3

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property)

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

11/22/2001

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved. #

1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

40

Method of Payment: Enclosed  Deposit Account

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

02-2553

Authorization to charge additional fees:

Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Robert H. Nakano  
Name of Person Signing

  
Signature

Dec 11, 2001  
Date

## A S S I G N M E N T

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is acknowledged, the undersigned, whose names and full post addresses are set out below:

<u>Name</u>	<u>Address</u>
William SCHALLER	// <del>121</del> Etta Street Stittsville, Ontario, Canada K2S 1X5
Derrick NAGY	72 Framingham Crescent Nepean, Ontario, Canada K2J 3J2
Brian CAMPBELL	1102-1701 Kilborn Avenue Ottawa, Ontario, Canada K1H 6M8

confirm that we have agreed to sell, assign and transfer, and do hereby sell, assign and transfer to **ALCATEL CANADA INC.**, hereinafter called the assignee, whose full post office address is 600 March Road, P.O. Box 13600, Kanata, Ontario, Canada K2K 2E6, to the assignee's successors, assigns, nominees or other legal representatives, our entire right, title, interest, property and benefit for Canada, the United States of America, and all other countries, in and to our invention relating to

### **METHOD OF SYNCHRONIZING PARALLEL OPTICAL LINKS BETWEEN COMMUNICATIONS COMPONENTS**

including subject matter which is fully set forth and described in our application for Letters Patent of the United States, for which a declaration has been signed on even date herewith and including subject matter which is fully set forth and described in our application for Letters Patent of Canada, filed as Canadian Patent Application No. 2,357,932 on 09/27/01, under the same title;

together with our entire right, title, interest, property and benefit for Canada, the United States of America, and all other countries, in and to the aforementioned applications, any foreign applications corresponding thereto and any Letters Patent which may issue for the invention from such applications, including all divisions,

continuations, continuations-in-part, re-examinations, reissues and extensions, and any rights of priority resulting from the filing of the above-identified applications under international conventions, treaties or otherwise, the same to be held and enjoyed as fully and exclusively as they would have been by us had this sale, assignment and transfer not been made;

And we hereby covenant and agree to do all such things and to execute without further consideration such further assurances, applications and other instruments as may reasonably be required by the assignee to obtain Letters Patent in Canada, the United States of America, and all other countries, for the invention, and vest the same in the Assignee;

Signed at Kanata, this 22<sup>nd</sup> day of November, 2001.

Bill Schaller  
(Signature of William Schaller)

**STATEMENT OF WITNESS**

I, MAXINE RAYCROFT, whose full post office  
(Name of Witness)

address is 7 SCHEEL DRIVE, ARNPRIOR, ONTARIO, K7S 3G8  
(Address of Witness)

state that I was personally present and did see William Schaller who is personally known to me to be the person named in the above assignment, duly execute the same on the date set forth above.

Maxine Raycroft  
(Signature of Witness)

Signed at Kanata, this 21<sup>st</sup> day of November, 2001.

D. Nagy  
(Signature of Derrick Nagy)

STATEMENT OF WITNESS

I, MAXINE RAYCROFT, whose full post office  
(Name of Witness)

address is 7 SCHEEL DRIVE, ARNPRIOR, ONTARIO, K7S3G8  
(Address of Witness)

state that I was personally present and did see Derrick Nagy who is personally known to me to be the person named in the above assignment, duly execute the same on the date set forth above.

Maxine Raycroft  
(Signature of Witness)

Signed at Kanata, this  
01st day of November, 2001.

B Campbell  
(Signature of Brian Campbell)

STATEMENT OF WITNESS

I, MAXINE RAYCROFT, whose full post office  
(Name of Witness)

address is 7 SCHEEL DRIVE, ARNPRIOR, ONTARIO, K7S3G8  
(Address of Witness)

state that I was personally present and did see Brian Campbell who is personally known to me to be the person named in the above assignment, duly execute the same on the date set forth above.

Maxine Raycroft  
(Signature of Witness)