

12-27-2001



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R SHEET

J9879 U.S. PTO
10/016075
12/13/01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

1. Name of Conveying party(ies): Stephen Rahn; Irene McStay, Helmut H. Tews, Uwe Schroeder, Stephan Kudelka

3. Nature of Conveyance: Assignment

MRD
12/13/01

2. Name & address of receiving party(ies)

Name: Infineon Technologies North America Corp.

Execution Date: 10/04/01; 10/24/01; 10/16/01;
10/16/01; 12/10/01

Street Address: 1730 North First Street

City: San Jose State: California ZIP: 95112

4. Application number(s) or patent number(s):

Title of Application: METHOD FOR SURFACE ENHANCEMENT IN SEMICONDUCTOR MANUFACTURING

If this document is being filed together with a new application, the execution date of the application is:
10/04/01; 10/24/01; 10/16/01; 10/16/01; 12/10/01

A. Patent Application No(s).
Docket No. FIS920000415US1

B. Patent No(s)

10016075

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom
correspondence concerning document
should be mailed:

Name: Ira D. Blecker

Street Address:

International Business Machines Corp.
Intellectual Property Law Dept.
2070 Route 52
Dept. 186 / Bldg. 300-482
Hopewell Junction, New York 12533

6. Total number of applications and
patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

Enclosed

☒ Authorized to be charged to
deposit account

8. Deposit A/C no.: 09-0458 (FI-697)

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9. Statement of signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ira D. Blecker, Registration No. 29,894
Name of Person Signing
Telephone No. (845) 894-2580

Signature

Date

Total number of pages including cover sheet, attachments, and document: 9

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: U.S. PATENT AND TRADEMARK OFFICE, P.O. BOX 2327, ARLINGTON, VA 22202.

Date of Deposit: December 13, 2001

Express Mail No.: ET134021988US

Name of Person Making Deposit: Karen Cing-Mars

Signature: Karen Cing-Mars

12/26/2001 AMWED1 00000094 090458 10016075

01 FC:581

40.00 CH

PATENT
REEL: 012385 FRAME: 0109

Ibm Docket No. FIS920000415US1

ASSIGNMENT

Whereas, we

Inventor (1) Stephen Rahn of 23 Hilltop Circle City of Fishkill
County of Dutchess and State of New York 12524

Inventor (2) Irene McStay of 54 Lake Onrad Drive City of Wappingers Falls
County of Dutchess and State of New York 12590

Inventor (3) Helmut H. Tews of 26 Millbank Road City of Poughkeepsie
County of Dutchess and State of New York 12603

Inventor (4) Uwe Schroeder of 15 Spruce Court City of Fishkill
County of Dutchess and State of New York 12524

Inventor (5) Stephan Kudelka of 363 Van Wyck Lake Road City of Fishkill
County of Dutchess and State of New York 12524; and

have invented certain improvements in

METHOD FOR SURFACE ENHANCEMENT IN SEMICONDUCTOR MANUFACTURING
and executed a United States Patent Application therefor on

(1) Oct - 04 - 2001 (2) _____

(3) Oct - 16 - 2001 (4) _____ and

(5) _____

Whereas, INFINEON TECHNOLOGIES NORTH AMERICA CORP, a corporation of New York, having a place of business at San Jose, California, 95112
(hereinafter called INFINEON TECHNOLOGIES NORTH AMERICA CORP), desires to acquire the entire right, title and interest in the said application and
invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to INFINEON
TECHNOLOGIES NORTH AMERICA CORP, its successors and assigns, the entire right, title and interest in the said application and the invention therein
disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the
Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to INFINEON TECHNOLOGIES NORTH
AMERICA CORP, its successors and assigns; and I hereby agree that INFINEON TECHNOLOGIES NORTH AMERICA CORP may apply for foreign Letters
Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by
INFINEON TECHNOLOGIES NORTH AMERICA CORP.

SIGNED

(Signed

(1) at East Fishkill, NY
on Oct-04-2001

Stephen Rahn
Stephen Rahn

(2) at _____
on _____

Irene McStay

(3) at Munich, Germany
on Oct-16-2001

Helmut H. Tews
Helmut H. Tews

(4) at _____
on _____

Uwe Schroeder

(5) at _____
on _____

Stephan Kudelka

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County of Dutchess and State of New York 12524

Inventor (2) Irene McStay of ~~54 Lake Onrad Drive~~ ^{71 ST. ANDREWS LANE, HOPEWELL JCT NY 12533} City of Wappingers Falls
County of Dutchess and State of ~~New York 12590~~ ^{New York} ^{KLM} ^{10/24/01}

Inventor (3) Helmut H. Tews of 26 Millbank Road City of Poughkeepsie
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(1) Oct - 04 - 2001 (2) ~~XX~~ Oct 24 - 2007

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SIGNED

(Signed

(1) at East Fishkill, NY
on Oct-04-2001

Stephen Rahn
Stephen Rahn

(2) at East Fishkill, NY
on 24 Oct 2001

Irene McStay
Irene McStay

(3) at _____
on _____

Helmut H. Tews

(4) at _____
on _____

Uwe Schroeder

(5) at _____
on _____

Stephan Kudelka

Ibm Docket No. FIS920000415US1

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County of Dutchess and State of New York 12603
- Inventor (4) Uwe Schroeder of ~~15 Spruce Court~~ ^{GOETHE STR. 22, CITY OF DRESDEN, GERMANY} City of Fishkill
~~County of Dutchess~~ and State of New York ~~12524~~
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(Signed

(1) at East Fishkill, NY
on Oct-04-2001

Stephen Rahn
Stephen Rahn

(2) at _____
on _____

Irene McStay

(3) at _____
on _____

Helmut H. Tews

(4) at Dresden
on 10/16/01

Uwe Schneider
Uwe Schneider

(5) at _____
on _____

Stephan Kudelka

Ibm Docket No. FIS920000415US1

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County of Dutchess and State of ~~New York 12590~~ *NY 12533* *12/24/01*

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County of Dutchess and State of New York 12524

Inventor (5) Stephan Kudelka of ~~363 Van Wyck Lake Road City of Fishkill~~
~~County of Dutchess and State of New York 12524~~ and
Marionburger Ufer 25,
47279 Duisburg, Germany

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Uwe Schroeder

(5) at Dresden
on 12/10/01

Stephan Kudelka
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