

12-28-2001



101927118

 Attorney Docket Number
 10071-016-999

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

 Box Assignment
 Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert O. WILLIAMS and Feng ZHANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: December 17, 2001

2. Name and address of receiving party(ies):

Name: EpiCept CorporationAddress: 270 Sylvan AvenueEnglewood Cliffs, New Jersey 07632

Country (if other than USA): _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/931,293

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

 PENNIE & EDMONDS LLP
 1667 K Street, N.W.
 Washington, D.C. 20006
6. Number of applications and patents involved: 1
 7. Total fee (37 CFR 3.41):.....\$ 40.00
 Please charge to the deposit account listed in Section 8.

 8. Deposit account number:
16-1150

12/28/2001 ANNED1 00000025 161150 09931293

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Beibing Zhang47,331

Signature

December 21, 2001For: Samuel B. Abrams30,605

Date

Name of Person Signing

Reg. No.

Total number of pages including cover sheet:

3

 Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignment
 Washington, D.C. 20231

 PATENT
 REEL: 012388 FRAME: 0731

DC1 - 310647.1

JOINT

ASSIGNMENT

WHEREAS, WE, **Robert O. Williams** and **Feng Zhang**, ASSIGNORS, citizens of United States and China respectively, residing at 4514 W. Rapid Springs Cove, Austin, TX 78746 and 3456 North Hills Drive, Austin, TX 78751 respectively, are the inventors of the invention in:

TOPICAL COMPOSITIONS AND METHODS FOR TREATING PAIN

for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 10071-016-999

☒ which was filed on August 17, 2001, Application No. 09/931,293

and WHEREAS, **EPICEPT CORPORATION**, a Delaware Corporation having an address at 270 Sylvan Avenue, Englewood Cliffs, NJ 07632, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

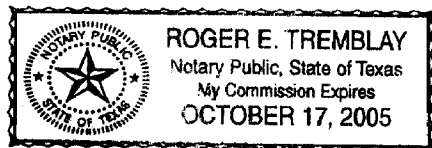
AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date: Dec 17, 2001 Robert O. Williams L.S.
ROBERT O. WILLIAMS

Date: Dec 17, 2001 Feng Zhang L.S.
FENG ZHANG



Roger E. Tremblay
17 Dec 2001
Comm Exp Oct 17, 2005

State of)
County of) SS.:

On _____, 2001, before me, _____, Notary Public, personally appeared **ROBERT O. WILLIAMS**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

State of)
County of) SS.:

On _____, 2001, before me, _____, Notary Public, personally appeared **FENG ZHANG**, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
