

12-28-2001



Case Name: SP01-337

To the Honorable Commissioner of

101926608

2 SHEET

the attached documents or copy thereof.

(1) Conveying Party(ies): Kishor P. Gadkaree
Yanxia Lu
Joseph F. Mach
Christopher J. Warren
Yuming Xie

Title: COMPOSITE CORDIERITE FILTERS

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03-1
Corning, NY 14831

MEC 12/13/01

(3) Nature of conveyance: Assignment executed: 12/11/01, 12/12/01, 12/13/01, 12/11/01, 12/12/01

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 10020742 B. Patent No.(s):
Filing date: Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 12/11/01, 12/12/01, 12/13/01, 12/11/01, 12/12/01.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Anca C. Gheorghiu
Reg. No. 44,120
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: (607) 974-3322

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- ☐ Enclosed (Check No.)
☐ Included with filing fee. Check No. ____.
☒ Authorization given by Corning Incorporated to charge deposit account number 03-3325

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anca C. Gheorghiu
Anca C. Gheorghiu

December 13, 2001
Date

Total number of pages comprising cover sheet: 4

Revision: July 28, 2000
Document2

12/27/2001 AMHMB1 00000145 033325 10020742
01 FC:581 40.00 CH

PATENT
REEL: 012389 FRAME: 0097

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **COMPOSITE CORDIERITE FILTERS**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 12/11/01

DATE 12/12/01

DATE 12/13/01

DATE 12/14/01

DATE 12/12/01

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

<u>12/11/01</u>	<u>Kishor P. Gadkaree</u>
Date	Kishor P. Gadkaree
<u>12/12/01</u>	<u>Yanxia Lu</u>
Date	Yanxia Lu
<u>12/13/01</u>	<u>Joseph F. Mach</u>
Date	Joseph F. Mach
<u>12/11/01</u>	<u>Christopher J. Warren</u>
Date	Christopher J. Warren
<u>12/12/01</u>	<u>Yuming Xie</u>
Date	Yuming Xie

State of New York)
: ss.
County of Steuben)

On the 11th day of December 2001, before me personally came **Kishor P. Gadkaree** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public

CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires December 19, 2002

State of New York)
: ss.
County of Steuben)

On the 12th day of December 2001, before me personally came **Yanxia Lu** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public

CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires December 19, 2002

State of New York)
: ss.
County of Steuben)


On the 13th day of December 2001, before me personally came **Joseph F. Mach** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public

CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires December 19, 2002

State of New York)
: ss.
County of Steuben)


On the 11th day of December 2001, before me personally came **Christopher J. Warren** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.


Notary Public

CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires December 19, 20 02

State of New York)
: ss.
County of Steuben)

On the 12th day of December 2001, before me personally came **Yuming Xie** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.


Notary Public

CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires December 19, 20 02