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## R SHEET - PATENTS ONLY

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1. Total number of pages including cover sheet, attachments and document: 3

2. Name of Conveying Party(ies) and Execution Date(s) of Document(s) ☐ Check here if additional name(s) attached

Name(s): Andrew D. Weinberg, Ph.D.  
 Execution Date(s): September 7, 2001

3. Name and address of receiving party ☐ Check here if additional name(s) & address(es) are attached

Name Sisters of Providence in Oregon doing business as Providence Portland Medical Center  
 Address 4805 NE Glisan  
 City Portland State/Country OR Zip 97213

4. Nature of Conveyance

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Name Change ☐ Other:

5. Total number of applications and patents involved: 1

6. Total Fee Enclosed (37 C.F.R. § 3.41): \$40.00

7. ☒ Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550

8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent.

- A. ☐ This document is being filed with a new application. Execution date is: \_\_\_\_\_  
 B. ☒ Patent Application No.(s) or Patent No.(s): 09/946,832  
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9. Correspondent's name, address, and telephone number

William D. Noonan, M.D.  
 Klarquist Sparkman, LLP  
 One World Trade Center, Suite 1600  
 121 S.W. Salmon Street  
 Portland, Oregon 97204-2988  
 Telephone: 503-226-7391

10. ☒ Please return the attached postcard to confirm that these items have been received.11. Statement and signature *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William D. Noonan, M.D.  
 Name of Person Signing

*William D. Noonan*  
 Signature

November 26, 2001  
 Date

12/28/2001 LMUELLER 00000280 09946832

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40.00 OP

cc: Docketing

## A S S I G N M E N T

WHEREAS, I, Andrew D. Weinberg, of Portland, County of Multnomah, State of Oregon, have invented a certain invention, entitled Compositions and Methods for Enhancing Immune Response, for which I have made applications for Letters Patents of the United States (U.S. Patent Application No. 09/028,716, filed February 24, 1998, and U.S. Patent Application No. 09/255,363, filed February 23, 1999), U.S. Provisional Patent Application No. 60/075,801, filed February 24, 1998, and an international patent application under the Patent Cooperation Treaty, Application No. PCT/US99/03908, filed February 24, 1998; and,

WHEREAS I am under an obligation to assign these applications to Sisters of Providence in Oregon doing business as Providence Portland Medical Center (hereinafter Sisters of Providence), a non-profit corporation organized under the laws of the State of Oregon, having a place of business at 4805 NE Glisan, Portland, Oregon, 97213, and have been under an obligation to assign these applications to Sisters of Providence since before the filing date of PCT/US99/03908.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, Andrew D. Weinberg, confirm that I have sold, assigned, and transferred to Sisters of Providence, and do hereby sell, assign and transfer to Sisters of Providence the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Sisters of Providence for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and entirely as the same would have been held by me had this assignment and sale not been made, and covenant that I have full right so to do, and agree that I will communicate to Sisters of Providence, or its successors and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful

papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid Sisters of Providence, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

I hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Winston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

Executed at Providence, State of Oregon, this 7 day of Sept, <sup>2001</sup>~~2000~~  
P.H. AND Medister

Andrew D. Weinberg  
Andrew D. Weinberg

STATE OF OREGON )  
 ) ss.  
COUNTY OF Multnomah )

This 7<sup>th</sup> day of September, <sup>2001</sup>~~2000~~, before me personally came the above-named Andrew D. Weinberg, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Stephanie L. Daugherty  
Notary Public For 8-8-05 Multnomah Coun  
My commission expires: 8-8-05

[SEAL]

