

12-31-2001



101928167

RECORDATION FORM  
**PATENTS ONLY**

12/14/01  
10/020072  
U.S. PTO

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒ **New**

☐ **Resubmission (Non-Recordation)**  
Document ID#

☐ **Correction of PTO Error**  
Reel #  Frame #

☐ **Corrective Document**  
Reel #  Frame #

**Conveyance Type**

☒ **Assignment** ☐ **Security Agreement**

☐ **License** ☐ **Change of Name**

☐ **Merger** ☐ **Other**

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

☐ **Departmental File** ☐ **Secret File**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1)  Execution Date Month Day Year

**Second Party**

Name (line 1)

Name (line 2)

Execution Date Month Day Year

**Receiving Party**

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)     
City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

12/28/2001 6TON11 00000093 10020072

01 FC:581

40.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 012395 FRAME: 0235**

## Correspondent Name and Address

Area Code and Telephone Number

619 236 1441

Name Mitchell P. Brook, Esq.

Address (line 1) Baker &amp; McKenzie

Address (line 2) 101 West Broadway, 12th Floor

Address (line 3) San Diego, California 92101

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

#

1

## Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)


## Patent Number(s)


If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.

Month Day Year

12/07/2001

## Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

#

1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

02-0410

Authorization to charge additional fees:

Yes

☒

No

☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

MITCHELL P. BROOK

Name of Person Signing

Signature

Date

12/14/01

**ASSIGNMENT**

The undersigned Inventor(s),

TURVEY, SIMON

in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby sell, transfer and assign to the Assignee,

**LUCAS INDUSTRIES LIMITED**

a corporation organized and existing under the laws of Britain, having a place of business at

STRATFORD ROAD, SOLIHULL, B90 4LA, UNITED KINGDOM

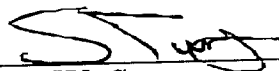
the full and exclusive right, title, and interest for the territory of the United States of America in and to the invention entitled

POWER TRANSFER SYSTEM

as described and claimed in the application for a letters Patent in the United States of America which was filed by the undersigned on \_\_\_\_\_ and accorded application serial number \_\_\_\_\_; and I hereby request the Commissioner of Patents and Trademarks of the United States of America to issue said Letters Patent to the said Assignee, for its interest, for the sole use of the Assignee and its successors, assigns and legal representative, as fully and entirely as the same would have been held by me had this agreement not been made.

The undersigned hereby authorise Baker & McKenzie to insert hereon any further identification necessary or desirable for recordation of this assignment.

Executed on: 7 December 2001

  
TURVEY, SIMON