

FORM PTO-1619A

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U.S. Department of Commerce
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PATENT

Docket No. AM100319

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10/01/738

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Conveyance Type

Assignment



Security Agreement



License



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Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1)

Sabb, Annmarie L.

Execution Date
Month Day Year

10 05 2001

Name (line 2)

Second Party

Name (line 1)

Welmaker, Gregory S.

Execution Date
Month Day Year

10 05 2001

Name (line 2)

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1)

American Home Products Corporation

If document to be recorded
is an assignment and the
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separate document from
Assignment.)

Name (line 2)

a corporation of Delaware

Address (line 1)

Five Giralda Farms

Address (line 2)

Address (line 3)

Madison

City

NJ

State/Country

07940-0874

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

12/31/2001 DBYRNE 00000054 011425 10017738
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PATENT
REEL: 012399 FRAME: 0672

Correspondent Name and Address

Area Code and Telephone Number (610) 902-2628

Name Steven R. Eck

Address (line 1) Patent Law Department

Address (line 2) American Home Products Corporation

Address (line 3) Five Giralda Farms

Address (line 4) Madison, New Jersey 07940-0874

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
10 05 2001

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)
American Home Products Corporation Deposit Account Number:

01-1425

Authorization to charge additional fees:

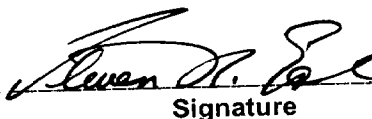
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Steven R. Eck

Name of Person Signing


Signature

Nov. 1, 2001
Date

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Patent and Trademark Office
PATENTJ1017 U.S. PTO
10/017738

11/02/01

Conveying Party(ies)☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Vogel, Robert L.

Execution Date
Month Day Year

10 05 2001

Name (line 2)

Execution Date
Month Day Year

10 05 2001

Name (line 1) Sabalski, Joan E.

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)☐ Mark if additional names of receiving parties attached

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

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Patent Application Number(s)**Patent Number(s)**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR:

(1) Full Name of Inventor: Annmarie Louise SABB
Residence: 15 Meadow Lane, Pennington, New Jersey 08534
Citizenship: USA

(2) Full Name of Inventor: Gregory Scott WELMAKER
Residence: 2 Jason Court, Jackson, New Jersey 08527
Citizenship: USA

(3) Full Name of Inventor: Robert Lewis VOGEL
Residence: 20 Sleepy Hollow Road, Stratford, New Jersey 08084
Citizenship: USA

(4) Full Name of Inventor: Joan Eileen SABALSKI
Residence: 38 Elton Street, Yardville, New Jersey 08620
Citizenship: USA

hereby sells, assigns and transfers to ASSIGNEE:

American Home Products Corporation
Five Giralda Farms
Madison, NJ 07054-0874

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

[1, 4] DIAZEPINO[6, 7, 1-jk] CARBAZOLES AND DERIVATIVES

and invented by ASSIGNOR and the following additional inventors, if any:

and which is found in

[check and complete (a), (b), (c), (d) or (e)]

- (a) ☒ U.S. patent application executed on even date herewith.
(b) ☐ U.S. patent application executed on:
(c) ☐ U.S. provisional application, not yet filed, naming the above inventor(s) for the above-entitled invention
☐ Express mail label no.:
(d) ☐ U.S. application Serial No. filed on:
(e) ☐ U.S. Patent No. issued on:

[also check (f) if foreign application(s) is(are) also being assigned]

(f) ☒ and any legal equivalent thereof in a foreign country,

including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any subsequently filed provisional, nonprovisional, continuation, divisional, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to its ASSIGNEE.

In WITNESS we have, respectively, hereunto set our hands and seals:

- (1) this 5th day of October, 2001
- (2) this 5th day of October, 2001
- (3) this 5th day of October, 2001
- (4) this 5th day of October, 2001

Annmarie L. Sabb
(1) Annmarie L. Sabb L.S.

Joan E. Sabalski
(4) Joan E. Sabalski L.S.

Gregory S. Welmaker
(2) Gregory S. Welmaker L.S.

L.S.

Robert L. Vogel
(3) Robert L. Vogel L.S.

L.S.

Acknowledgement

State of New Jersey }

County of Middlesex }

ss:

Monmouth Junction

On the 5th day of October 2001, personally appeared before me,

Annmarie L. Sabb

known by me to be the same person described in and who executed the foregoing instrument,
and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Elizabeth Backer Melick
Notary Public

ELIZABETH BACKER MELICK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 4, 2006

State of New Jersey }
County of Middlesex } ss: Momouth Junction

On the 5th day of October 2001, personally appeared before me,

Gregory S. Welmaker

known by me to be the same person described in and who executed the foregoing instrument,
and acknowledged that he executed the same, of his own free will and for the purposes set forth.

ELIZABETH BACKER MELICK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 4, 2006

Elizabeth Backer Melick
Notary Public

State of New Jersey }
County of Middlesex } ss: Momouth Junction

On the 5th day of October 2001, personally appeared before me,

Robert L. Vogel

known by me to be the same person described in and who executed the foregoing instrument,
and acknowledged that he executed the same, of his own free will and for the purposes set forth.

ELIZABETH BACKER MELICK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 4, 2006

Elizabeth Backer Melick
Notary Public

State of New Jersey }
County of Middlesex } ss: Monmouth Junction

On the 5th day of October 2001, personally appeared before me,

Joan E. Sabalski

known by me to be the same person described in and who executed the foregoing instrument,
and acknowledged that he executed the same, of his own free will and for the purposes set forth.

ELIZABETH BACKER MELICK
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES APRIL 4, 2006

Elizabeth Backer Melick
Notary Public