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Attorney Docket No. PNDF-01211

Form PTO-1595



SHEET

U.S. Department of Commerce  
U.S. Patent and Trademark Office

101929274

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

Toshiyuki KAMBE

12/26/01

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: NEC Corporation

Address: 7-1, Shiba 5-chome,  
Minato-ku,  
Tokyo, Japan

jc997 U.S. PTO

10/025465

12/26/01

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: 20 December 2001

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

This document is being filed with a new application, the execution date of the application is: December 20, 2001

A. Patent Application No(s).

10/025465

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No.: 000466

YOUNG &amp; THOMPSON

Second Floor

745 South 23<sup>rd</sup> Street

Arlington, VA 22202

Phone: 703-521-2297

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)..... \$40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit Account No. 25-0120

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Sarah Strawser

December 26, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 2

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PATENT  
REEL: 012401 FRAME: 0350

# ASSIGNMENT

WHEREAS, I, Toshiyuki KAMBE of Tokyo, Japan hereafter referred to as "applicant", have invented certain new and useful improvements in

"OPTICAL WAVEGUIDE DEVICE AND PROCESS FOR PRODUCTION THEREOF"

(a) for which an application for a United States patent was filed on \_\_\_\_\_, Application No. \_\_\_\_\_,

(b) for which an application for a United States patent was executed on December 20, 2001, and

WHEREAS, **NEC Corporation**, of 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan hereinafter referred to as "assignee", are desirous of acquiring the entire right, title and interest in and to the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, applicants, by these presents, do hereby sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all patents which may be granted therefor in the United States, for its sole use and behoof, and for the use and behoof of its legal representatives, to the full end of the term for which said patents may be granted, as fully and entirely as the same would have been held by applicants had this assignment and sale not been made.

Applicants hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States patents to said assignee.

Date: December 20, 2001

Toshiyuki Kambe   
Toshiyuki KAMBE

Witness:

Date: December 20, 2001

Tadayoshi Tanigawa

Date: December 20, 2001

[Signature]