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M&G- 40222.0003USC1



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and the attached original documents

thereof.

1. Name of conveying party(ies):

Teruo Umemoto

2. Name and address of receiving party(ies):

IM&T Research, Inc.
6860 North Broadway, Suite B
Denver, CO 80221Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒
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Assignment

Security Agreement

Other:

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Merger

Change of Name

Execution Date: December 14, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 14, 2001

A. Patent Application No.(s)

40222.0003USC1

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul J. Prendergast
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00
☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul J. Prendergast

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PATENT
REEL: 012401 FRAME: 0380

ASSIGNMENT

WHEREAS, I, Teruo Umemoto, residing at 10956 Bryant Street, Westminster, Colorado 80234, made certain new and useful inventions and improvements for which I filed an application for Letters Patent of the United States on December 14, 2001, which is entitled METHOD FOR PREPARING POLYMERS CONTAINING CYCLOPENTANONE STRUCTURES.

AND WHEREAS, IM&T RESEARCH, INC., a corporation organized and existing under and by virtue of the laws of the State of Colorado, and having an office and place of business at 6860 North Broadway, Suite B, Denver, CO 80221 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire

AND, furthermore I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

Teruo Umemoto

On this 14th day of December, 2001, before me personally appeared Teruo Umemoto to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

Paula G. Egoelz
Notary Public