

01-03-2002

Atty Docket No. STAN 066DIV

Form PTO-1595 (Modified)

101932518  
 RECORDATION FORM COVER SHEET  
 PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
 Patent and Trademark Office

JCS35 U.S. PTO  
 10/025936  
 12/21/01

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas Wandless, Kurt Vogel and  
 Gregory Ray

MRD  
 12/21/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: The Board of Trustees of the Leland Stanford  
 Junior University

Internal Address:

Street Address: 900 Welch Road, Suite 350

City: Palo Alto, State: CA ZIP: 94304

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 09/01/99, 08/28/99, 08/30/99

## 4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/17/1999

## A. Patent Application No.(s)

10025936

## B. Patent No.(s)

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bret E. Field  
 Street Address: BOZICEVIC, FIELD, & FRANCIS LLP  
 200 Middlefield Road, Suite 200  
 Menlo Park, CA 94025

Telephone: (650) 327-3400  
 Facsimile: (650) 327-3231

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

## 8. Deposit Account No. 50-0815

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-0815 with regards to this correspondence.

01/03/2002 6TON11 00000049 500815 10025936

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Bret Field

Name of Person Signing

[Signature]  
 Signature

12.20.01  
 Date

Total number of pages comprising cover sheet 4

Mail documents to be recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks,  
 Box Assignment

**PATENT**  
**REEL: 012402 FRAME: 0386**

# ASSIGNMENT OF APPLICATION (JOINT)

Address to:  
Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket Number	SUN-66P
First Named Inventor	Roger Briesewitz
Application Number	09/316,932
Filing Date	May 21, 1999
Title	<i>Bifunctional Molecules and Therapies..</i>
Group Art Unit	1646
Examiner Name	Unassigned

THIS ASSIGNMENT, by Thomas Wandless, Kurt Vogel and Gregory Ray (hereinafter referred to as the assignors), residing at Menlo Park, CA, Palo Alto, CA and Stanford, CA respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in *Bifunctional Molecules and Therapies Based Thereon*

X for which an application for a United States Patent was filed on May 21, 1999, Application No. 09/316,932.  
 \_\_\_ for which an application for a United States Patent was executed on \_\_\_, and

WHEREAS, The Board of Trustees of the Leland Stanford Junior University a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 900 Welch Road, Suite 350, Palo Alto, California 94304 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 1 IX 99 Name of Inventor Thomas Wandless

Date \_\_\_\_\_ Name of Inventor Kurt Vogel

Date \_\_\_\_\_ Name of Inventor Gregory Ray

**ASSIGNMENT OF APPLICATION  
(JOINT)**

Address to:  
Box Assignment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket Number	SUN-66P
First Named Inventor	Roger Briesewitz
Application Number	09/316,932
Filing Date	May 21, 1999
Title	<i>Bifunctional Molecules and Therapies..</i>
Group Art Unit	1646
Examiner Name	Unassigned

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  X   for which an application for a United States Patent was filed on May 21, 1999, Application No. 09/316,932.  
      for which an application for a United States Patent was executed on      , and

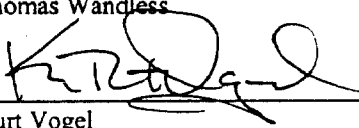
WHEREAS, The Board of Trustees of the Leland Stanford Junior University a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 900 Welch Road, Suite 350, Palo Alto, California 94304 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

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AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date _____	Name of Inventor _____ Thomas Wandless
Date <u>8/28/99</u>	Name of Inventor <u></u> Kurt Vogel
Date _____	Name of Inventor _____ Gregory Ray

**ASSIGNMENT OF APPLICATION  
(JOINT)**

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AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Thomas Wandless

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
Kurt Vogel

Date 8/30/99 Name of Inventor Gregory Ray  
Gregory Ray