

01-03-2002



FORM COVER SHEET  
TS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

AMS-011A

To the Honorable

101930907

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerald NMI Dorros  
Juan Carlos Parodi  
Claudio Javier Schonholz  
Michael Hogendijk

12-26-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Arteria Medical Science, Inc.

Internal Address: \_\_\_\_\_



12-26-2001

U.S. Patent & TMO/TM Mail Rpt Dt. #26

Street Address: The Presidio,  
Old Army Headquarters,  
Building 220, Suite 120  
P.O. Box 29450

City: San Francisco State: CA ZIP: 94129

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: 11/05/01; 11/05/01; 11/13/01; 11/27/01

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/972,225

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nicola A. Pisano

Internal Address: \_\_\_\_\_

Street Address: Fish & Neave  
1251 Avenue of the Americas

City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

01/03/2002 DBYRNE 00000002 09972225

DO NOT USE THIS SPACE

01 FC:581  
9. Statement and signature.

40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nicola A. Pisano

Name of Person Signing

Reg. No. 34,408

Nicola A. Pisano  
Signature

12/20/01  
Date

Total number of pages including cover sheet, attachments, and document:

13



12-26-2001

U.S. Patent & TMOfo/TM Mail RcptDt. #26

AMS-011A

A S S I G N M E N T

WHEREAS, We, Gerald NMI Dorros, Juan Carlos Parodi, Claudio Javier Schönholz and Michael Hogendijk, residing respectively at 25933 North 108th Place, Scottsdale, Arizona 85255; Blanco Encalada 1543, Capital Federal, Buenos Aires, Argentina 1428; 640 Southern Trace Parkway, Shreveport, Los Angeles 71106 and 501 Forest Avenue, #904, Palo Alto, California 94301, have made an invention entitled:

APPARATUS AND METHODS FOR TREATING STROKE AND CONTROLLING CEREBRAL FLOW CHARACTERISTICS

and have made an application for United States Letters Patent therefor filed October 4, 2001 and assigned Serial No. 09/972,225; and

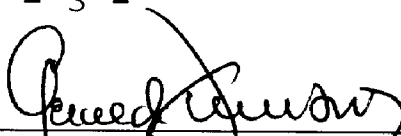
WHEREAS, ARTERIA MEDICAL SCIENCE, INC., a corporation organized and existing under the laws of the State of Delaware and having an address of The Presidio, Old Army Headquarters, Building 220, Suite 120, P.O.Box 29450, San Francisco, California 94129, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said ARTERIA MEDICAL SCIENCE, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all

countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said ARTERIA MEDICAL SCIENCE, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

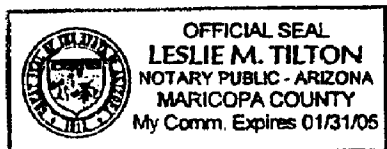
  
Gerald NMI Dorros

11/05/01

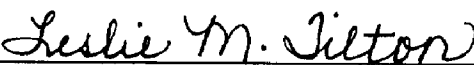
Date

STATE OF ARIZONA       )  
                                  : ss.:  
COUNTY OF MARICOPA    )

On this 5th day of November, 2001, appeared before me in person the above-named Gerald NMI Dorros and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.



(Notarial seal)

  
Notary Public

My Commission expires:

01/31/05

Date

Juan Carlos Parodi

STATE OF                       )  
                                  : ss.:  
COUNTY OF                    )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Juan Carlos Parodi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
  
\_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Claudio Javier Schönholz

STATE OF                    )  
                              : ss.:  
COUNTY OF                 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Claudio Javier Schönholz and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
\_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Michael Hogendijk

STATE OF                    )  
                              : ss.:  
COUNTY OF                 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Michael Hogendijk and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
\_\_\_\_\_

A S S I G N M E N T

WHEREAS, We, Gerald NMI Dorros, Juan Carlos Parodi, Claudio Javier Schönholz and Michael Hogendijk, residing respectively at 25933 North 108th Place, Scottsdale, Arizona 85255; Blanco Encalada 1543, Capital Federal, Buenos Aires, Argentina 1428; 640 Southern Trace Parkway, Shreveport, Los Angeles 71106 and 501 Forest Avenue, #904, Palo Alto, California 94301, have made an invention entitled:

APPARATUS AND METHODS FOR TREATING STROKE AND CONTROLLING  
CEREBRAL FLOW CHARACTERISTICS

and have made an application for United States Letters Patent therefor filed October 4, 2001 and assigned Serial No. 09/972,225; and

WHEREAS, ARTERIA MEDICAL SCIENCE, INC., a corporation organized and existing under the laws of the State of Delaware and having an address of The Presidio, Old Army Headquarters, Building 220, Suite 120, P.O.Box 29450, San Francisco, California 94129, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said ARTERIA MEDICAL SCIENCE, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all

countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said ARTERIA MEDICAL SCIENCE, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

Date

Gerald NMI Dorros

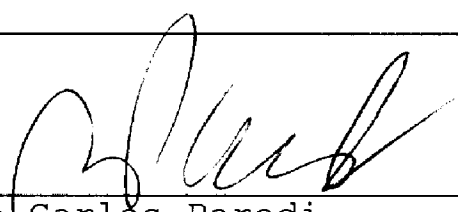
STATE OF )  
: SS.:  
COUNTY OF )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Gerald NMI Dorros and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:



Date

Juan Carlos Parodi

STATE OF )  
: SS.:  
COUNTY OF )

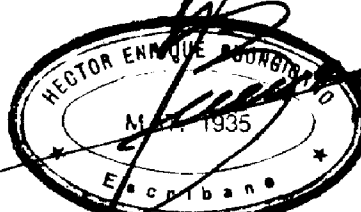
On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Juan Carlos Parodi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

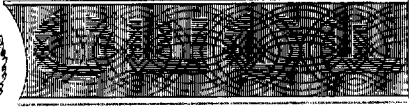
(Notarial seal)

My Commission expires:

FIRMA CERTIFICADA EN  
FOJA Nº 6007743035-  
Buenos Aires, 5/11/2001.







C 007747035

1 Buenos Aires, 5 de noviembre de 2001.- En mi carácter de Escribano  
2 Titular del Registro Notarial N° 538

3 CERTIFICO: PRIMERO: Que la/s firma que obra/n en el  
4 documento que ligo con esta foja, es/son puesta/s en mi presencia por la/s  
5 persona/s cuyo/s nombre/s y documento/s de identidad se mencionan a  
6 continuación y de cuyo conocimiento, doy fe. Juan Carlos PARODI,  
7 L.E. 4.396.466.-

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18 SEGUNDO: Que dicha/s persona/s manifiesta/n actuar en carácter personal.-

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PATENT

REEL: 012403 FRAME: 0803





C 007747035

TERCERO: Que el/los requerimiento/s respectivo/s queda/n formalizado/s  
simultáneamente, por medio de Acta Nº 045 del Libro de Requerimientos  
Nº 44.- El documento se halla redactado en idioma extranjero.- Sello de Actuación  
Notarial Nº C 007747035.-

*Hector Enrique Buongiorno*

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Date

Claudio Javier Schönholz

STATE OF )  
: ss.:  
COUNTY OF )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Claudio Javier Schönholz and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
\_\_\_\_\_

Date

\_\_\_\_\_  
Michael Hogendijk

STATE OF )  
: ss.:  
COUNTY OF )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Michael Hogendijk and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
\_\_\_\_\_

A S S I G N M E N T

WHEREAS, We, Gerald NMI Dorros, Juan Carlos Parodi, Claudio Javier Schönholz and Michael Hogendijk, residing respectively at 25933 North 108th Place, Scottsdale, Arizona 85255; Blanco Encalada 1543, Capital Federal, Buenos Aires, Argentina 1428; 640 Southern Trace Parkway, Shreveport, Los Angeles 71106 and 501 Forest Avenue, #904, Palo Alto, California 94301, have made an invention entitled:

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countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said ARTERIA MEDICAL SCIENCE, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Gerald NMI Dorros

STATE OF                    )  
                              : ss.:  
COUNTY OF                 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Gerald NMI Dorros and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

My Commission expires:  
  
\_\_\_\_\_

\_\_\_\_\_  
Date

\_\_\_\_\_  
Juan Carlos Parodi

STATE OF                    )  
                              : ss.:  
COUNTY OF                 )

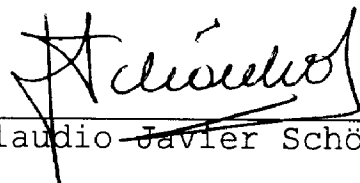
On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, appeared before me in person the above-named Juan Carlos Parodi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Notarial seal)

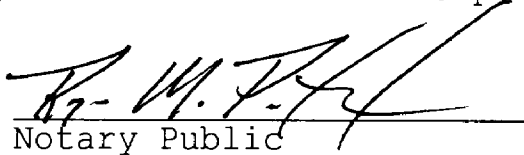
My Commission expires:  
  
\_\_\_\_\_

11/13/01  
Date

  
Claudio Javier Schönholz

STATE OF Louisiana )  
Parish : ss.:  
COUNTY OF Cadeaux )

On this 13 day of Nov, 2001, appeared before me in person the above-named Claudio Javier Schönholz and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

  
Notary Public

(Notarial seal)

My Commission expires:  
with life

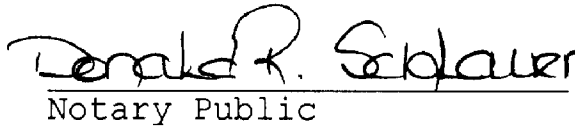
11-27-01

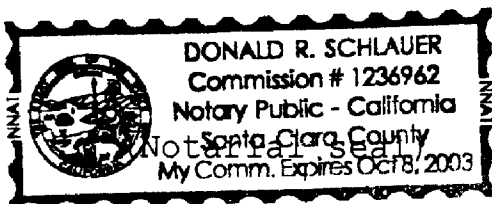
13 Nov 01  
Date

  
Michael Hogendijk

STATE OF California )  
COUNTY OF Santa Clara : ss.:

On this 27<sup>th</sup> day of November, 2001, appeared before me in person the above-named Michael Hogendijk and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

  
Notary Public



My Commission expires:  
Oct. 8, 2003