

01-03-2002

Attorney's Docket No. C0110471



101931018

COVER SHEET

...LY

12-31-01

FORM PTO-1595 U.S.

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kevin J. Dowling
Frederick M. Morgan
Ihor A. Lys
Michael K. Blackwell
Alfred Ducharme
Ralph Osterhout
Colin Piepgras
George G. Mueller
Dawn Geary

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

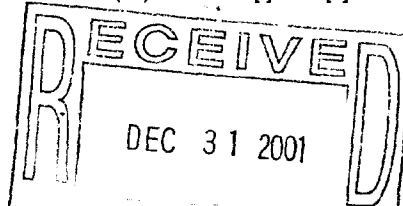
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates: 8/6/01; 8/13/01; 8/21/01; 8/23/01;
8/24/01; 8/27/01; 10/30/01

2. Name and address of receiving party(ies)

Name: Color Kinetics

Internal Address:

Street Address: 10 Milk Street, Suite 1100
Boston, MA 02108Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/805,368

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: Joseph Teja, Jr.
Address: WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge any deficiencies to:

8. Deposit Account No: 23/2825

01/08/2002 LMUELLER 00000087 09805368

DO NOT USE THIS SPACE

01 FC:581

40.00 DP

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph Teja, Jr.

Name of Person Signing

Signature

Date

11/16/01

Total number of pages including cover sheet, attachments, and document: [11]

Mail documents to be recorded with required cover sheet information to:
Box Assignment
Commissioner of Patents & Trademarks,
Washington, D.C. 20231

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell, Alfred Ducharme, Ralph Osterhout, Colin Piegras, George G. Mueller, and Dawn Geary hereby

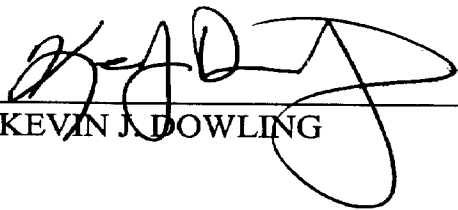
Sell, assign and transfer to Color Kinetics, a Delaware corporation having a place of business at 10 Milk Street, Suite 1100, Boston, MA 02108, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on March 13, 2001 under Serial No. 09/805,368 and entitled LIGHT-EMITTING DIODE BASED PRODUCTS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

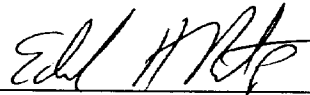
8/13/01
Date


KEVIN J. DOWLING

STATE OF Mass :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 13 day of August 2001.

SEAL


Notary Public
My Commission Expires 7-26-07

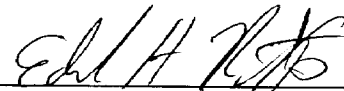
8/13/01
Date


FREDERICK M. MORGAN

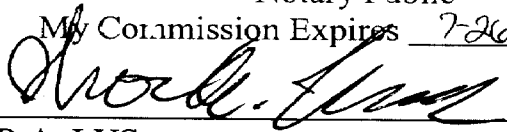
STATE OF Mass :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 13 day of August 2001.

SEAL


Notary Public
My Commission Expires 7-26-07


8/23/01
Date


IHOR A. LYS

STATE OF MA :
COUNTY OF Middlesex :

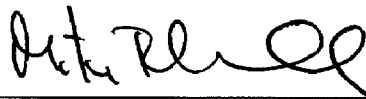
Subscribed and sworn to before me this 23 day of August 2001.

SEAL


Notary Public
My Commission Expires 7-26-07

8/27/01

Date

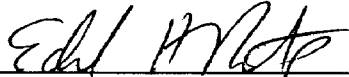


MICHAEL K. BLACKWELL

STATE OF Massachusetts :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 27 day of August 2001.

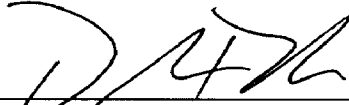
SEAL



Notary Public

My Commission Expires 7-26-07

8/6/01
Date



ALFRED DUCHARME

STATE OF MA :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 6 day of August.

SEAL



Notary Public

My Commission Expires 7-20-07

Date

RALPH OSTERHOUT

STATE OF _____ :
COUNTY OF _____ :

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public

My Commission Expires _____

8.21.01

Date

Colin Piepgras

COLIN PIEPGRAS

STATE OF Mass :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 21 day of August 2001.

SEAL

Ed H H

Notary Public

My Commission Expires 7-26-07

8-24-01

Date

George G Mueller

GEORGE G MUELLER

STATE OF MA :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 24 day of August 2001.

SEAL

Ed H H

Notary Public

My Commission Expires 7-27-07

8/23/01

Date

Dawn Geary

DAWN GEARY

STATE OF MA :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 23 day of August 2001.

SEAL

Ed H H

Notary Public

My Commission Expires 7-27-07

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell, Alfred Ducharme, Ralph Osterhout, Colin Piegras, George G. Mueller, and Dawn Geary hereby

Sell, assign and transfer to Color Kinetics, a Delaware corporation having a place of business at 10 Milk Street, Suite 1100, Boston, MA 02108, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on March 13, 2001 under Serial No. 09/805,368 and entitled LIGHT-EMITTING DIODE BASED PRODUCTS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

Date

KEVIN J. DOWLING

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

FREDERICK M. MORGAN

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

IHOR A. LYS

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date _____ MICHAEL K. BLACKWELL

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date _____ ALFRED DUCHARME

STATE OF _____:
COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date


10/30/01


RALPH OSTERHOUT

STATE OF CALIFORNIA :
COUNTY OF SAN FRANCISCO :

Subscribed and sworn to before me this 30th day of October, 2001.

SEAL


Notary Public
My Commission Expires 07-29-05



Date

COLIN PIEPGRAS

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

GEORGE G. MUELLER

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

Date

DAWN GEARY

STATE OF _____:

COUNTY OF _____:

Subscribed and sworn to before me this _____ day of _____.

SEAL

Notary Public
My Commission Expires _____

ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of SAN FRANCISCO

} SS.

On 10-30-01
(DATE)

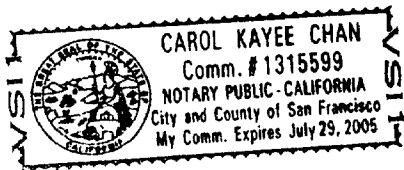
before me, CAROL KAYEE CHAN
(NOTARY)

personally appeared RALPH FRANK OSTERHOUT
SIGNER(S)

☐ personally known to me

- OR -

☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Carol Kayee Chan
NOTARY'S SIGNATURE

OPTIONAL INFORMATION

The information below is not required by law. However, it could prevent fraudulent attachment of this acknowledgement to an unauthorized document.

CAPACITY CLAIMED BY SIGNER (PRINCIPAL)

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER

TITLE(S)

- ☐ PARTNER(S)
☐ ATTORNEY-IN-FACT
☐ TRUSTEE(S)
☐ GUARDIAN/CONSERVATOR
☐ OTHER: _____

SIGNER IS REPRESENTING:
NAME OF PERSON(S) OR ENTITY(IES)

DESCRIPTION OF ATTACHED DOCUMENT

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