02-20-2002

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Substitute Form PTO-1595 Attorney Docket No.: 09347-009001 Client's Ref. No.: SW.AVK.P31626US

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1. Name of conveying party(ies):	2. Name and address of receiving party(ies):				
Joshua Swithenbank	University of Sheffield				
Additional name(s) attached? 🗆 Yes 🗷 No	Western Bank Sheffield S10 2TN				
3. Nature of conveyance:					
E Assignment	United Kingdom				
Merger     Security Agreement					
Change of Name					
Other:					
Execution Date: June 8, 1999	Additional names/addresses attached?  Yes  No				
<ol><li>Application number(s) or patent number(s):</li></ol>					
If this document is being filed with a new application, the exe	ecution date of the application is:				
A. Patent Application No(s).:	B: Patent No(s).:				
09/297,004					
Additional num	bers attached?  Yes  No				
<ol> <li>Name/address of party to whom correspondence concerning document should be mailed:</li> </ol>	6. Total number of applications/patents involved: 1				
Y. ROCKY TSAO	7. Total fee (37 CFR §3.41): \$40 🗲				
Fish & Richardson P.C.	I Enclosed				
225 Franklin Street	Authorized to charge Deposit Account.				
Boston, Massachusetts 02110-2804	8. Deposit Account No.: 06-1050				
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.				
DO NO	T USE THIS SPACE				
9. Statement and Signature: To the best of my knowledg	e and belief, the foregoing information is true and correct an				
any attached copy is a true copy of the original doc	unem.				
	- 1				
Y. Rocky Tsao	2014 7sour 10-25-01				
Name of Person Signing Signature	U Date				
V	Total number of pages including coversheet, attachments and documen				

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## 09297004

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## PATENT REEL: 012405 FRAME: 0401

09-28-2001

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OVER SHEET

1. Name of conveying party(les): Joshua Swithenbank Additional name(s) attached? □ Yes ■ No	2. Name and address of receiving party(les): Western Bank Sheffield S10 2TN
3. Nature of conveyance:	United Kingdom
Ba Assignment     Merger     Security Agreement     Change of Name     Other:	
Execution Date: JUNE 8, 1999	Additional names/addresses attached? D Yes 🖾 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the ex	ecution date of the application is:
A. Patent Application No(s).:	B: Patent No(s).:
Q9/297,004	
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4.40 CP DO N	OT USE THIS SPACE
9. Statement and Signature: To the best of my knowledge any attached copy is a true copy of the original doc	ge and belief, the foregoing information is true and correct cument.
Y. Rocky Tsao <u>Reg. No. 34,053</u> Name of Person Signing Signature	Portag 75ans 9-20-01 Date
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Dim Matures Diane M. Satural, Typod Name of Person Signing Certificate tempierde 2021 Signature Deposit

PATENT REEL: 012195 FRAME: 0622

PATENT REEL: 012405 FRAME: 0402

## ASSIGNMENT

For valuable consideration, I. Joshua Swithenbank, of Sheffield, United Kingdom hereby assign to UNIVERSITY OF SHEFFIELD, a corporation having a place of business at Western Bank. Sheffield S10 2TN, United Kingdom, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world, including, without limitation, the right to sue for past infringement, in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled <u>IMPROVEMENTS IN OR RELATING TO HEAT AND/OR</u> MASS TRANSFER PROCESSES AND APPARATUS, filed April 23, 1999, and assigned U.S. Serial Number 09/297.004, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion, and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation, to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to

effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Sheffield	
this <u>8</u> day of <u>June</u> 1999 <u>Joshna Swithenback</u> .	
Joshua Switherbank	L.S.

Witness V.N. Shenk & Jone 1999 June U.L. Fran F/6/99

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