



01-04-2002



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

SHEET

12-3101

Attorney's Docket No. 003800-138

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

UNIVERSITY OF FLORIDA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: 12/03/2001

2. Name and address of receiving party(ies):

Name: Nicholas S. Bodor

Address: 10101 Collins Avenue, No. 4A  
Bell Harbor, Florida 33154, US

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

4,540,564; 4,880,921; 4,900,837; and 5,187,158

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary Katherine Baumeister

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 4

7. Total fee (37 CFR § 3.41): \$ 160.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary Katherine Baumeister, Reg. No. 26,254  
Name of Person Signing

*Mary Katherine Baumeister*  
Signature

December 31, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
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01/03/2002 TDIAZ1 00000134 4540564  
01 FC:581 160.00 OP

## ASSIGNMENT

WHEREAS, the UNIVERSITY OF FLORIDA, duly organized under and pursuant to the laws of Florida and having its principal place of business at 223 Grinter Hall, Gainesville, Florida 32611-5500, US, is the sole and exclusive owner (hereinafter referred to as the "ASSIGNOR") of BRAIN-SPECIFIC DRUG DELIVERY set forth in the following United States patents:

United States Patent No. 4,540,564

(filed as United States Application No. 06/516,382 on July 22, 1983)

United States Patent No. 4,880,921

(filed as United States Application No. 07/075,830 on July 20, 1987)

United States Patent No. 4,900,837

(filed as United States Application No. 07/076,191 on July 21, 1987)

United States Patent No. 5,187,158

(filed as United States Application No. 07/639,283 on January 10, 1991)

together with the applications and patents listed in the appendix hereto;

WHEREAS, Nicholas S. Bodor, an individual residing at 10101 Collins Avenue, No. 4A, Bell Harbor, Florida 33154, US, (hereinafter referred to as the "ASSIGNEE"), is desirous of acquiring the title, rights, benefits, privileges, and interest in any and all Letters Patent or Patents, United States, foreign, convention, obtained therefor and thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration furnished by ASSIGNEE to ASSIGNOR, receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby, without reservations:

1 . Assigns, transfers, and conveys to ASSIGNEE the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all convention applications based in whole, or in part, upon said inventions or discoveries, or upon said applications, and any and all Letters Patents, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, in whatsoever countries, and every priority right that is or may be predicated upon, or arise from, said inventions, said discoveries, said applications, and said Letters Patent;

2. Authorizes ASSIGNEE to file patent applications in any or all countries on any or all of said inventions and discoveries in ASSIGNOR's name or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable, under International Conventions or otherwise;

3. Authorizes and requests the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments throughout the world to issue or transfer all said Letters Patents to ASSIGNEE, as assignee of the entire right, title, and interest therein or otherwise as ASSIGNEE may direct;

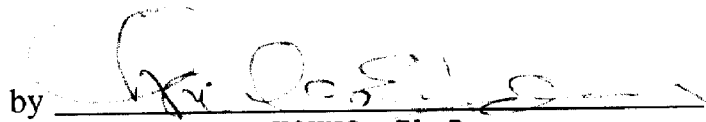
4. Warrants that ASSIGNOR has not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents, or any license to use the same, or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that ASSIGNOR has good right to assign the same to ASSIGNEE without encumbrance;

5. Binds ASSIGNOR's heirs, legal representatives, and assigns, as well as ASSIGNOR, to do, upon ASSIGNEE's request and at ASSIGNEE's expense, but without additional consideration to ASSIGNOR or its heirs, legal representatives, or assigns, all acts reasonably serving to assure that said inventions and discoveries, said patent applications, and said Letters Patents shall be held and enjoyed by ASSIGNEE as fully and entirely as the same

could have been held and enjoyed by ASSIGNOR, its heirs, legal representatives, and assigns if this Assignment had not been made; and particularly to execute and deliver to ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by ASSIGNEE; and to communicate to ASSIGNEE all facts known to ASSIGNOR relating to said inventions and discoveries or the history thereof, and to testify as to the same in any court or proceeding; and to furnish ASSIGNEE any and all documents, photographs, models, samples, and other physical exhibits in ASSIGNOR's control or in the control of its heirs, legal representatives, or assigns which may be useful for establishing the facts of conceptions, disclosures, and reduction to practice of said inventions and discoveries.

WITNESS my hand and seal this 3 day of December, 2001.

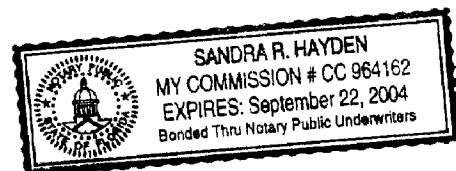
UNIVERSITY OF FLORIDA

by   
CHARLES E. YOUNG, Ph.D.  
President

The foregoing instrument was acknowledged before me this 3 day of

December, 2001 by Charles E Young.

  
NOTARY PUBLIC



**APPENDIX**

<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
PCT	PCT/US83/00725	MAY 12 83	published as WO83/03968	NOV 24 83
EPO	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
Australia	17034/83	MAY 12 83	567433	MAY 19 88
Canada	428192	MAY 16 83	1253856	MAY 09 89
Ireland	1149/83	MAY 17 83	69557	SEP 05 96
Italy	48327A/83	MAY 18 83	1171851	JUN 10 87
Japan	101940/82	JUN 14 82	2587034	DEC 05 96
South Africa	83/3521	MAY 17 83	83/3521	DEC 24 84
Spain	522489	MAY 17 83	522489	OCT 03 84
Canada/1	590673	FEB 09 89	1327566	MAR 08 94
Switzerland/ Liechtenstein	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
Austria	EP 83902034.1	MAY 12 83	E126695	AUG 23 95
Belgium	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
France	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
Germany	EP 83902034.1	MAY 12 83	P3382795	AUG 23 95
Luxembourg	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
United Kingdom	EP 83902034.1	MAY 12 83	0110955	AUG 23 95
Singapore	9790597	FEB 01 97	9790597-0	MAR 29 97