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U.S. DEPARTMENT OF COMMERCE
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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ABB ALSTOM POWER N.V.

2. Name and address of receiving party(ies)

Name: ALSTOM POWER N.V.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: Hullenbergweg 393-395

1101CS Amsterdam, The Netherlands

City: _____ State: _____ Zip: _____

Execution Date: June 19, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/565,964;
09/576,308; 09/552,183; 09/522,186B. Patent No.(s)
4,872,834 & 6,257,318Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Russell W. Warnock

Internal Address: _____

Street Address: 2000 Day Hill Road

City: Windsor State: CT Zip: 06095

6. Total number of applications and patents involved: 6

7. Total fee (37 CFR 3.41).....\$ 240.00

☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-2578

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ruissell W. Warnock

Name of Person Signing

Signature

10/25/2001

Date

Total number of pages including cover sheet, attachments, and documents: 9

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/07/2002 6TON11 00000162 032578 09565964

01 FC:581

240.00 CH

PATENT
REEL: 012407 FRAME: 0968

AMENDMENT TO THE ARTICLES OF ASSOCIATION**ABB ALSTOM POWER N.V.**

AS/MC/689732/9382.ste

(new name: ALSTOM Power N.V.)

19/06/00

Today, the nineteenth of June

two thousand, appeared before me,

Hajo Bart Hendrik Kraak, civil-law notary in Amsterdam:

Annemarie Meta Sluijmers, care of Strawinskylaan 2001, 1077 ZZ Amsterdam, born in Alphen aan den Rijn on the twenty-seventh day of January nineteen hundred and sixty-six.

The appearing person declared:

- that the articles of association of the company with limited liability (*naamloze vennootschap*) **ABB ALSTOM POWER N.V.** with corporate seat in Amsterdam, having its office at 1181 NB Amstelveen, Burgemeester Haspellaan 45 5/F and filed at the Trade Register under number 34116556 (the "Company") were lastly amended by deed on the thirtieth day of June nineteen hundred and ninety-nine, executed before H.B.H. Kraak, civil-law notary in Amsterdam, in respect of which amendment the Minister of Justice has advised on the twenty-second day of June nineteen hundred and ninety-nine, under number N.V. 1.074.388 that no objections have become apparent;
- that the shareholders' meeting of the Company has decided to amend the articles of association of the Company partially;
- furthermore, it was decided to authorise the appearing person, to enable him to effect the amendment of the articles of association;
- ./ - that such resolutions are evidenced by written shareholders' resolution to be attached to this deed.

Subsequently, the appearing person declared to amend the articles of association of the Company partially, in pursuance of the referred resolutions, as follows:

Article 1 section 1 is amended en will read as follows:

1. The name of the company (the "Company") is ALSTOM Power N.V..

Final statement

./. Finally the appearing person declared that the Minister of Justice has, evidenced by the declaration to be attached to this deed advised on the nineteenth day of June two thousand, under number N.V. 1.074.388 that in respect of the current amendment of the articles of association no objections have become apparent.

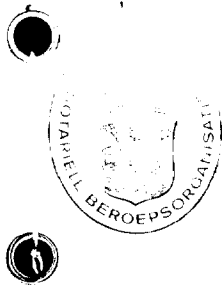
This deed was executed today in Amsterdam.

The substance of this deed was stated and explained to the appearing person.

The appearing person declared not to require a full reading of the deed, to have taken note of the contents of this deed and to consent to it.

Subsequently, this deed was read out in a limited form, and immediately thereafter signed by the appearing person and myself, civil-law notary, at four hours twenty minutes post meridiem.

STATUTENWIJZIGING
ALSTOM Power N.V..
Akte de dato 19 juni 2000.



STATUTENWIJZIGING ABB ALSTOM POWER N.V.

(nieuwe naam: ALSTOM Power N.V.)

AS/MC/689732/9377.stw

19-06-2000

Heden negentien juni -----

tweeduizend, verscheen voor mij, -----

mr. Hajo Bart Hendrik Kraak, notaris te Amsterdam: -----

mevrouw Annemarie Meta Sluijmers, kantooradres Strawinskylaan 2001, 1077 ZZ
Amsterdam, geboren te Alphen aan den Rijn op zevenentwintig januari negentien-
honderd zesenzestig. -----

De comparant verklaarde: -----

- dat de statuten van de naamloze vennootschap **ABB ALSTOM POWER N.V.** met zetel te Amsterdam, kantoor houdende 1181 NB Amstelveen, Burgemeester Haspellaan 45 5/F, en ingeschreven in het handelsregister onder nummer 34116556, hierna te noemen: de "vennootschap", laatstelijk zijn gewijzigd bij akte op dertig juni negentienhonderd negenennegentig verleden voor mr. H.B.H. Kraak, notaris te Amsterdam, ten aanzien van welke statutenwijziging de Minister van Justitie op tweeëntwintig juni negentienhonderd negenennegentig onder nummer N.V. 1.074.388 heeft medegedeeld dat hem van geen bezwaren is gebleken; -----
- dat de algemene vergadering van de vennootschap heeft besloten om de statuten van de vennootschap partieel te wijzigen; -----
- dat voorts werd besloten om onder meer de comparant te machtigen de betreffende statutenwijziging tot stand te brengen; -----
- ./ - dat van deze besluiten blijkt uit een - aan deze akte te hechten - aandeelhoudersbesluit. -----

Vervolgens verklaarde de comparant ter uitvoering van deze besluiten de statuten van de vennootschap partieel te wijzigen als volgt: -----

Artikel 1 lid 1 wordt gewijzigd en komt te luiden als volgt: -----

1. De vennootschap draagt de naam: ALSTOM Power N.V.

Slotverklaring.

./ Ten slotte verklaarde de comparant dat de Minister van Justitie blijkens de - aan deze akte te hechten - verklaring op negentien juni tweeduizend onder nummer N.V. 1.074.388 heeft medegedeeld dat hem ten aanzien van de onderhavige statutenwijziging van geen bezwaren is gebleken.

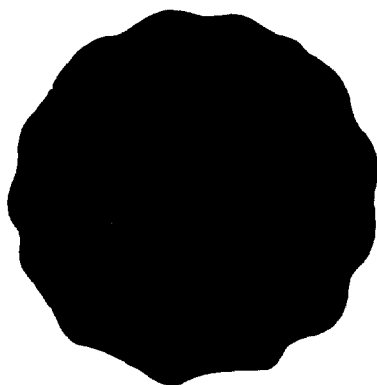
Deze akte is heden verleden te Amsterdam.

De inhoud van deze akte is aan de verschenen persoon zakelijk meegedeeld en toegelicht.

De verschenen persoon verklaarde geen volledige voorlezing te verlangen, van de inhoud van de akte te hebben kennisgenomen en daarmee in te stemmen.

Deze akte is vervolgens beperkt voorgelezen en onmiddellijk daarna ondertekend door de verschenen persoon en mij, notaris, om zestien uur twintig minuten.

(Getekend): A.M. Sluijmers, H.B.H. Kraak.



VOOR AFSCHRIFT

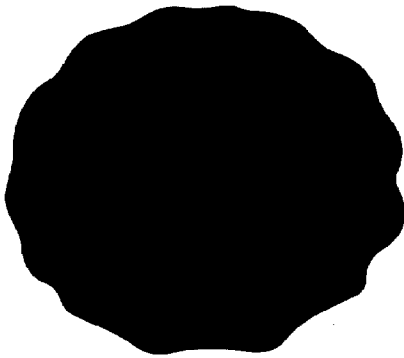
CERTIFICATE

Ref: nj/689732/151740.crt

I, Hajo Bart Hendrik Kraak, civil law notary in Amsterdam, hereby certify:

- that attached to this certificate is a certified copy, as well as an informal English translation of the deed of amendment to the articles of association of the company with limited liability ALSTOM Power N.V., with corporate seat in Amsterdam, as executed on 19 June 2000, by which deed the name of the company was changed from ABB ALSTOM POWER N.V. into **ALSTOM Power N.V.**;
- that in the English translations, efforts have been made to translate as literal as possible; inevitably, differences may occur in translating and if so, the Dutch text will prevail.

Signed in Amsterdam on 1 October 2001.



A large, stylized handwritten signature in black ink, extending from the left towards the right side of the page.

Stibbe

SCHEDULE

Inventions

PATENTS

<u>DOCKET NUMBER</u>	<u>CTRY.</u>	<u>APPLN. NO.</u>	<u>APPLN. DATE</u>	<u>PATENT NUMBER</u>	<u>GRANT DATE</u>
C000040	US	09/615,228	13 JL 2000	6,257,318	10 JL 2001
C730440	US	07/268,775	09 NO 1988	4,872,834	10 OC 1989

APPLICATIONS

<u>DOCKET NO.</u>	<u>CTRY.</u>	<u>APPLICATION NO.</u>	<u>APPLICATION DATE</u>
C000030	US	09/565,964	05 MY 2000
C000050	US	09/576,308	22 MY 2000
C000250	US	09/552,183	18 AP 2000
C990880	US	09/552,186	18 AP 2000