

01-04-2002

Docket No.: FIS9-2001-0381-US1

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101934169

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wenjie Li  
Pushkara Rao Varanasi  
Ranee Kwong

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other 12/18/01, 12/18/01, 12/18/01

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Execution Date: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 12/18/01, 12/18/01  
12/18/01

A. Patent Application No.(s)

EXPRESS MAIL NO. EK140252566US  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS  
MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF  
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AND JOINT AND/OR ATTORNEY REQUESTS THE DATE OF  
DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT: 12/21/01

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

10/026120

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: International Business Machines Corporation

Internal Address: Steven Capella, zip 482

01/03/2002 6TON11 00000139 090458 10026120

01 FC:581 40.00 CH

Street Address: 2070 Route 52

City: Hopewell Junction State: NY ZIP: 12533

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

09-0458, FI-707

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven Capella, Registration # 33.086

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

# ASSIGNMENT

WHEREAS, we

- |                                  |                                     |
|----------------------------------|-------------------------------------|
| (1) <b>Wenjie Li</b>             | Of Poughkeepsie, New York 12603     |
| and                              |                                     |
| (2) <b>Pushkara Rao Varanasi</b> | of Poughkeepsie, New York 12603     |
| and                              |                                     |
| (3) <b>Ranee Kwong</b>           | of Wappingers Falls, New York 12590 |

have invented certain improvements in

## POSITIVE RESIST COMPOSITIONS CONTAINING NON-POLYMERIC SILICON ADDITIVES

and executed a United States patent application therefor on:  
(dates that Inventors signed the declaration)

- (1) 4/18/, 2001 and (2) 12/13/, 2001 and (3) 2/18/, 2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at East Fishkill Wenjie Li  
on 12/18/01

and

(2) at East Fishkill Pushkara Rao Varanasi  
on 12/18/01

and  
(3) at East Fishkill Ranee Kwong  
on 12/18/01

at = town, state, country where you are signing  
on = date you are signing