

01-04-2002



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

12-28-01

Attorney Docket No.: Astra-5003

To the Assistant Commissioner for Patents:
Please record the attached original documents or copy thereof.

ATTN: BOX ASSIGNMENT

1. Name of conveying party(ies):

1. Jean Claude ARNOULD



Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

1. ASTRAZENECA UK LIMITED
15 Stanhope Gate
London W1Y 6LN, ENGLAND

2. ZENECA-PHARMA SA
Le Galien
1 rue des Chauffours,
Boite postale, 127
F-95022, Cergy Cedex, FRANCE

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 2, 2000

4. Application number(s) or patent number(s): 09/509,210

A. Patent Application No.(s): 09/509,210

B. Patent No.(s)

Additional numbers attached: No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald J. Bird
Address: Morgan, Lewis & Bockius LLP
1800 M Street, N.W.
Washington, D.C. 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R §3.41): Previously paid

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account 50-0310. A duplicate copy of this paper is attached for Accounting purposes.

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald J. Bird
Reg. No. 25,323

Name of Person Signing

Signature

December 28, 2001
Date

Total number of pages including cover sheet, attachments and documents: 13

BOX ASSIGNMENTS

422

c'd PCT/PTO 24 MAR 2000

09/509210

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05-22-2000



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EREOF.

COPY

TO THE ASSISTANT COMMISSIONER
SIR: PLEASE RECORD THE ATTACHE

1. NAME OF CONVEYING PARTY(IES)

1. Jean-Claude ARNOULD

3.

5.

7.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: 1)AstraZeneca UK Limited and 2)Zeneca-Pharma SA of Le Galien

ADDRESS: 1)15 Stanhope Gate, London W1Y 6LN England

2)1 rue des Chauffours, Boite postale, 127, F-95022 Cergy Cedex, France

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☒ WHOLE☐ PART INTEREST☒ ORIGINAL☐ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY☐ MERGER☐ OTHER:

EXEC. DATE: March 2, 2000

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) March 2, 2000

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)
series code/serial no

M#

1* INVENTOR
if not in Item 1

B. PATENT NO(S)

M#

1* INVENTOR
if not in Item 1

New Application

257690

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL

1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

PMS: 257690

LMS/PHM/70288/UST

UNDER ORDER NO

9901

257690

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

Attorney: Donald J. Bird

Reg. No. 25323

Atty/Sec: DJB/nlh

Signature

TEL: (202) 861-3027

Date: March 24, 2000

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

JEAN-CLAUDE ARNOULD

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, AstraZeneca UK Limited, a company created and existing under the laws of England and Wales, having its Head Office at 15 Stanhope Gate, London, W1Y 6LN England and Zeneca-Pharma SA at Le Galien, 1 rue des Chauffours, Boite postale, 127, F-95022 Cergy Cedex, France and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IMIDAZOLE DERIVATIVES AND THEIR USE AS FARNESYL PROTEIN TRANSFERASE INHIBITORS

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith;
- (b) ☐ U.S. patent application executed on _____;
- (c) ☐ U.S. application serial no. filed on 19/10/1998;
- (d) ☒ International application no. PCT/GB98/03117;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

2nd day of March, 2000

Jean Claude Arnould
JEAN-CLAUDE ARNOULD

WITNESS: LORENZ FRANCIS Andre HENNEQUIN WITNESS: Patrick Plé
DATE: 2nd of March 2000 DATE: 2nd of March 2000

☐ Additional page(s) are attached hereto.
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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

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IMIDAZOLE DERIVATIVES AND THEIR USE AS FARNESYL PROTEIN TRANSFERASE INHIBITORS

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- (b) ___ U.S. patent application executed on _____;
- (c) ___ U.S. application serial no. filed on 19/10/1998;
- (d) X International application no. PCT/GB98/03117;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

2nd day of March, 2000

Jean Claude Arnould

JEAN-CLAUDE ARNOULD

WITNESS: LORENZ FRANCIS ANDRE HENNEQUIN

WITNESS: Patrick Plé

DATE: 2nd of March 2000

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☐ Additional page(s) are attached hereto.
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