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10/027841
10/19/01

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

New **MRD 10/19/01**

Resubmission Document ID# (Non-Recordation)

Correction of PTO Error
Reel # Frame #

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Conveyance Type

Assignment Security Agreement

License Change of Name

Merger Other

U.S. Government
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Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) **Bank,** Execution Date Month Day Year

Name (line 2) **Virginia R.** **06/19/98**

Second Party

Name (line 1) **Bailey,** Execution Date Month Day Year

Name (line 2) **David T.** **06/19/98**

Receiving Party

Mark if additional names of receiving parties attached

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Name (line 1) **Hauser, Inc.**

Name (line 2) **a Delaware Corporation**

Address (line 1) **5555 Airport Blvd.,**

Address (line 2) **Boulder, CO 80301**

Address (line 3) **Boulder** **CO USA** **80301**
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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01/04/2002 6TOM11 00000118 10027841
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Correspondent Name and Address Area Code and Telephone Number **(720) 406-5315**

Name (line 1) **Steven C. Petersen**

Address (line 1) **Hogan & Hartson LLP**

Address (line 2) **1200 17th Street, Suite 1500**

Address (line 3) **Denver, CO 80202**

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments # **5**

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
6027841					

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year **6/19/98**

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties Enter the total number of properties involved # **1**

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of payment: Enclosed Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)


Deposit Account Number: # **50-1123**

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Steven C. Petersen, 36,238
Name of Person Signing


Signature

10/19/01
Date

ASSIGNMENT

WHEREAS, We, Virginia R. Bank of 862 Ithaca Drive, Boulder, CO 80303, and David T. Bailey of 328 Overlook Lane, Boulder, CO 80306, while employees of Hauser, Inc., of 5555 Airport Boulevard, Boulder, Colorado 80301, a Colorado corporation, (hereinafter "HAUSER"), have invented certain new and useful STORAGE STABLE, CITRUS-FLAVORED COMPOSITIONS COMPRISING PLANT EXTRACTS, said application being identified as File No. 17801-040, in the files of the Law Offices of CHRISMAN, BYNUM & JOHNSON, 1900 Fifteenth Street, Boulder, CO 80302 and executed concurrently herewith;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and certain other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, Virginia R. Bank, and David T. Bailey, by these presents do sell, assign and transfer unto the said HAUSER, our respective successors, legal representatives and assigns, jointly in equal and undivided interests as tenants in common our entire right, title, and interest in and to the said invention and aforesaid patent application, including the right to recover for past, present, and/or future infringement thereof, for the territory of the United States of America and for all related patents and applications in any foreign countries and to all Letters Patent, continuations, divisionals, reissues, and extensions to be obtained therefore; and further agree to cooperate with the assignees hereunder in obtaining, sustaining, and enforcing any and all such Letters Patent, but at the expense of said assignees, including executing all appropriate documents as necessary.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Agreement to HAUSER, our respective

successors, legal representatives and assigns, jointly in equal and undivided interests as tenants in common of the entire right, title and interest therein.

IN WITNESS WHEREOF, we have hereunto set our hand and affixed our seal on the date set forth hereinafter.

Virginia R. Bank
Virginia R. Bank

STATE OF COLORADO)
)ss.
COUNTY OF BOULDER)

Before me, a Notary Public in and for the said County and State, personally appeared Virginia R. Bank, known to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that she executed the same for the purposes and consideration therein expressed.

Given under my hand and seal of office this 19th day of June, 1998.

My commission expires: 6/20/98.

(SEAL)

Patricia Johnson
NOTARY PUBLIC

P.O. Box 701 Firestone, CO.
ADDRESS 80520

