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| Commissioner for Patents: P<br>1. Name of conveying party(i  |  | 2 Name and address of roopiving partilizabi   |  |
|--|--|---|--|
|  |  | 2. Name and address of receiving party(ies):  |  |
| Shulai Zhao and Bo Pi<br>Additional name(s) attached? □ Yes ⊠ No   |  | Oluma, Inc.<br>5803 Newton Drive, Suite B   |  |
|  |  | Carlsbad, CA 92008  |  |
| 3. Nature of conveyance:   |  |   |  |
| I Assignment<br>☐ Merger<br>☐ Security Agreement<br>☐ Change of Name                                       |  |   |  |
| □ Other:<br>Execution Date: Septe  | mber 17, 2001  | Additional names/addresses attached? 🏾 Yes 🗷 No   |  |
| 4. Application number(s) or p  | patent number(s):  |   |  |
|  | filed with a new application, the execut   | ion date of the application is:   |  |
| A. Patent Application No   |  | B: Patent No(s).:   |  |
| 09/884,883   |  | IAN 3 2002  |  |
| 09/004,003   | Additional numbers   | s attached? □ Yes ⊠ No  |  |
| <ol> <li>Name/address of party to whom correspondence concerning<br/>document should be mailed:</li> </ol> |  |   |  |
|  |  | 6. Total number of applications/patents involved: 1   |  |
| SCOTT C. HARRIS  |  | 7. Total fee (37 CFR §3.41): \$40   |  |
| PTO Customer No. 20985   |  | ⊠ Enclosed<br>□ Authorized to charge Deposit Account.   |  |
| Fish & Richardson P.C.<br>4350 La Jolla Village Drive, Suite 500   |  |   |  |
| San Diego, Californ  |  | 8. Deposit Account No.: 06-1050   |  |
| oun biogo, ounon   |  | Please apply any additional charges, or any credits, to our   |  |
|  |  | Deposit Account No. 06-1050.<br>JSE THIS SPACE  |  |
| 9. Statement and Signature<br>any attached copy is a   |  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct   |  |
| 9. Statement and Signature<br>any attached copy is a   | : To the best of my knowledge a  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct   |  |
| any attached copy is a   | : To the best of my knowledge a  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct   |  |
| any attached copy is a<br>Bing Ai  | : To the best of my knowledge a  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct<br>ment.<br>October 23, 2001  |  |
| any attached copy is a   | : To the best of my knowledge a  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct<br>ment.  |  |
| any attached copy is a<br>Bing Ai<br>Reg. No. 43,312   | To the best of my knowledge a true copy of the original docum  | Deposit Account No. 06-1050.<br>USE THIS SPACE<br>and belief, the foregoing information is true and correct<br>ment.,<br>October 23, 2001<br>Date   |  |
| any attached copy is a<br>Bing Ai<br>Reg. No. 43,312   | To the best of my knowledge a true copy of the original docum  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct<br>ment.<br>October 23, 2001  |  |
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| any attached copy is a<br>Bing Ai<br><u>Reg. No. 43,312</u><br>Name of Person Signing                      | To the best of my knowledge a<br>true copy of the original docum<br>Signature  | Deposit Account No. 06-1050.<br>USE THIS SPACE<br>and belief, the foregoing information is true and correct<br>ment.,<br>October 23, 2001<br>Date   |  |
| any attached copy is a<br>Bing Ai<br><u>Reg. No. 43,312</u><br>Name of Person Signing<br>10141931.doc      | To the best of my knowledge a<br>true copy of the original docum<br>Signature  | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct<br>thent.,<br>October 23, 2001<br>Date<br>Total number of pages including coversheet, attachments and docur |  |
| any attached copy is a<br>Bing Ai<br><u>Reg. No. 43,312</u><br>Name of Person Signing<br>10141931.doc      | To the best of my knowledge a<br>a true copy of the original docum<br>Signature<br>884883<br>40.00 0P<br>CERTIFICATE OF MAILING BY F | Deposit Account No. 06-1050.<br>JSE THIS SPACE<br>and belief, the foregoing information is true and correct<br>thent.,<br>October 23, 2001<br>Date<br>Total number of pages including coversheet, attachments and docur |  |

01-07-2002

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Attorney Docket 12227-018001

## ASSIGNMENT

For valuable consideration, I, SHULAI ZHAO of 2229 Lagoon View Drive, Encinitas. California 92007, and BO PI of 7862 Via Coscoja, Carlsbad, California 92009, hereby assign to: Oluma, Inc., a Delaware corporation having a place of business at: 5803 Newton Drive, Suite B, Carlsbad, California 92008; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me. entitled MACH-ZEHNDER INTEFEROMETERS AND APPLICATIONS BASED ON EVANESCENT COUPLING THROUGH SIDE-POLISHED FIBER COUPLING PORTS, filed June 18, 2001, and assigned U.S. Serial Number 09/884,883; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: <u>9/17/01</u>

SHULAI ZHAO

Date: 9(17/01

Ach

BO PI

RECORDED: 01/03/2002