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COVER SHEET U.S. DEPARTMENT OF COMMERCE
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Case PD/3-20695/A/CIP

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1. Alfred Höhener
- 2. Roland Frick
- 3. Adolf Kaeser

Additional name(s) of conveying party(ies) attached? Yes No

No 12/31/01

2. Name and address of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp.**
 Internal Address: **P.O. Box 2005**
 Street Address: **540 White Plains Road**
 City: **Tarrytown** State: **NY** ZIP: **10591**

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: **August 14, 2001**

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **09/836,113**

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals
 Corp. Internal Address: **Patent Department**
P.O. Box 2005
 Street Address: **540 White Plains Road**
 City: **Tarrytown** State: **NY** ZIP: **10591**

01/07/2002 6TOM11 00000226 031935 09836113
 01 FC:581 40.00 CH

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....**\$40.00**

- Enclosed
- Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin T. Mansfield

Kevin T. Mansfield
Signature

10/31/01
Date

Name of Person Signing
Reg. No. 31,635

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Asslanments, Washington, D.C. 20231

PATENT
REEL: 012417 FRAME: 0297

ASSIGNMENT

I / We **Alfred HÖHENER, Langweg 1, 4312 Magden, Switzerland**
Roland FRICK, 13 Rue de la Gendarmerie, 68480 Durmenach, France
Adolf KAESER, Spitzackerstrasse 118, 4103 Bottmingen, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Aqueous dye solutions

invented by me/us and described in the application for United States Letters Patent therefor,

Serial No. 09/836,113 filed April 17, 2001

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.

Signed on 14/08/01

Alfred Höhener
Alfred HÖHENER

Roland Frick
Roland FRICK

Adolf Kaeser
Adolf KAESER