

01/03/02

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OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

AUBER, Philippe Jacques

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 3, 2001

2. Name and address of receiving party(ies)

Name: DRESSER RAND S.A.

Internal Address: _____

Street Address: 74, Rue d'Arcueil, SILIC 265

F-94578 Rungis Cedex, FRANCE

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/938,175

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Warren B. Kice

Internal Address: _____

Street Address: Haynes and Boone, LLP

901 Main Street, Suite 3100

City: Dallas State: Texas Zip: 75202-3789

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Warren B. Kice, Registration No. 22,732
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

01/08/2002 GT0111 00000074 09938175

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CUSTOMER NO. 000027683

DOCKET NO.: 26333.690

PATENT
REEL: 012420 FRAME: 0703

Patent/ Docket No. 26333.690
Customer Number 000027683

ASSIGNMENT

Case No. 26333.690

WHEREAS, I:

Philippe Jacques Auber
33, rue Mozart
F-76620 Le Havre
France

is the inventor of an invention or improvement in

SHAFT SEAL

for which I have executed an application for Letters Patent of the United States of America, of even date herewith; and

WHEREAS, **Dresser Rand S.A.** (Assignee), existing under the laws of France, having its principal office and place of business at **74, Rue d'Arcueil, SILIC 265, F-94578 Rungis Cedex, France**, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application in the United States of America and in any and all countries foreign thereto;

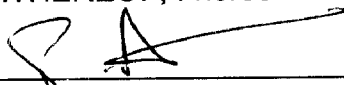
NOW, THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and other good and valuable consideration, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Dresser Rand S.A.**, its successors, legal representatives, and assigns, the entire right, title and interest in, to and under the said invention, and the said application, and all divisional, renewal, substitutional, and continuing applications thereof, and all Letters Patent of the United States of America which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said invention in any country or countries foreign to the United States of America, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and all extensions, renewals, and reissues thereof, and we hereby authorize and request the Commissioner of Patents of the United States of America, and any official of any country or countries foreign to the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said **Dresser Rand S.A.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that we will communicate to said **Dresser Rand S.A.**, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, renewal, substitutional, continuing, and reissue applications, make all rightful declarations and/or oaths and generally do everything possible to aid the said **Dresser Rand S.A.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 6th day of September, 2001.

Assignor: _____


Philippe Jacques Auber

Witness: _____

Date: _____

6 September 2001

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