

Form PTO-1595

6-93

OMB No. 0651-0011 (exp. 4/94)

01-10-2002

U.S. Department of Commerce  
Patent and Trademark Office

101939109

To the Honorable Commissioner of Patents

and the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kimberly A. Dwyer  
Larry G. McCleary  
Alfred DeCarlo

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: DePuy Orthopaedics, Inc.Street Address: 700 Orthopaedic DriveCity: Warsaw, Indiana ZIP 46581Country: United States of AmericaAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date(s): 10/11/01, 10/15/01, and 10/17/01

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 10/11/01, 10/15/01, and 10/17/01

A. Patent Application No(s)

B. Patent No(s)

10/632984

Additional numbers attached? ☐ Yes ☒ NoTitle: **Self-Locking Modular Prosthesis Having Taper Feature and Associated Method**Docket No. **1671-0111**

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul J. MaginotInternal Address: Maginot, Addison & MooreStreet Address: Bank One Center/Tower111 Monument CircleSuite 3000City: Indianapolis State: IN Zip: 46204-5115

## 6. Total number of applications and patents involved

17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit Account number: 13-0014

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Paul J. Maginot  
Name of Person Signing  
Registration No. 34,984Paul J. Maginot  
Signature10/22/01  
DateTotal number of pages including cover sheet, attachments and document 5Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

01/04/2002 AADDF01 00000022 10032984

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PATENT  
REEL: 12423 FRAME: 0992

ASSIGNMENT TO BUSINESS CONCERN

**ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned,

Name(s) of Inventor(s) Kimberly A. Dwyer  
Larry G. McCleary  
A/Fred DeCarlo

maker(s) of an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled

Title of Application SELF-LOCKING MODULAR PROSTHESIS HAVING TAPER FEATURE AND ASSOCIATED METHOD  
 hereinafter (the Application(s))

which has been executed by the undersigned on

Date of signing of Application by each inventor 10/11/01, 10/15/01, and 10/17/2001

the undersigned hereby sell(s), assign(s), and set(s) over to

Name of Assignee Depuy Orthopaedics, Inc.

Address of principal place of business 700 Orthopaedic Drive  
Warsaw, Indiana

Insert State of Incorporation Indiana  
 (if applicable)  
 or "Not Applicable"

(hereinafter designated as the Assignee) their entire right, title, and interest in, to and under the Application(s), including all priority rights for the United States and for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada and Mexico, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application(s) and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the Application(s) in the United States and counterpart applications in foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such Application(s), and also to execute separate assignments in connection with such Application(s) as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or litigation concerning the Application(s), U.S. national counterparts thereof, or continuation(s), division(s), reissue(s), or reexamination(s) thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

ASSIGNMENT TO BUSINESS CONCERN

The undersigned agree(s) to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States and in all other countries where Assignee may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting or following from said application(s) or any division or divisions or continuing or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, as Assignee of the entire interest, and hereby covenants that the undersigned has full right to convey the interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document

IN WITNESS WHEREOF, I have executed this assignment at Warsaw, Indiana this 11<sup>th</sup> day of October, 2001.

Outside the USA: \_\_\_\_\_  
Witnesses are \_\_\_\_\_  
required when \_\_\_\_\_  
acknowledgment \_\_\_\_\_  
before a Notary \_\_\_\_\_  
Public is not \_\_\_\_\_  
feasible. \_\_\_\_\_  
Witness

Kimberly A. Dwyer  
Inventor (Signature)  
  
Kimberly A. Dwyer  
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF Indiana }  
COUNTY OF Kosciusko } SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 11<sup>th</sup> day of October, 2001.

Nancy Williams  
Notary Public  
NANCY WILLIAMS  
NOTARY PUBLIC STATE OF INDIANA  
KOSCIUSKO COUNTY  
MY COMMISSION EXP. JUNE 21, 2008

My Commission Expires: 6/21/2008

Resident of Kosciusko County

IN WITNESS WHEREOF, I have executed this assignment at Warsaw, Indiana this 15<sup>th</sup> day of October, 2001.

Outside the USA: \_\_\_\_\_  
Witnesses are \_\_\_\_\_  
required when \_\_\_\_\_  
acknowledgment \_\_\_\_\_  
before a Notary \_\_\_\_\_  
Public is not \_\_\_\_\_  
feasible. \_\_\_\_\_  
Witness

Larry G. McCleary  
Inventor (Signature)

Larry G. McCleary  
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF Indiana }  
COUNTY OF Kosciusko } SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 15<sup>th</sup> day of October, 2001.

Nancy Williams  
Notary Public

**NANCY WILLIAMS**  
**NOTARY PUBLIC STATE OF INDIANA**  
**KOSCIUSKO COUNTY**  
**MY COMMISSION EXP. JUNE 21, 2008**

My Commission Expires: 6/21/2008

Resident of Kosciusko County

IN WITNESS WHEREOF, I have executed this assignment at STAMFORD, CT this 17 day of OCTOBER, 2001.

Outside the USA:  
Witnesses are  
required when  
acknowledgment  
before a Notary  
Public is not  
feasible.

[Signature]  
Witness Carmella Perko  
[Signature]  
Witness David Malloy

[Signature]  
Inventor (Signature)

Alfred DeCarlo  
Inventor (Printed Name)

ACKNOWLEDGMENT

STATE OF Connecticut }  
COUNTY OF Fairfield } SS: Stamford

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal this 17th day of October, 2001.

[Signature]  
Notary Public

David Malloy  
Printed Name

My Commission Expires: DAVID MALLOY  
NOTARY PUBLIC  
FAIRFIELD COUNTY, CONNECTICUT  
MY COMMISSION EXPIRES NOV 30, 2004

Resident of Fairfield County