

01-11-2002

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Tra

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nents or copy thereof.

1. Name of conveying party(ies):

Shell Oil Company

1.402

Additional name(s) of conveying party(ies) attached? ☐ Yes
☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 13, 2001

2. Name and address of receiving party(ies):

Name: Mossi & Ghisolfi Overseas, S.A.

Internal Address:

Street Address: 45-47 Boulevard de la Pétrusse

City: State: Luxembourg ZIP: L-2320

Additional name(s) & address(es) attached? ☐ Yes
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 13, 2001

A. Patent Application No.(s)

B. Patent No.(s)

See Schedule A of the Assignment 04.11.2002

4330462

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald J. Bobak

Internal Address: Renner, Kenner, Greive, Bobak, Taylor & Weber

Street Address: First National Tower - Fourth Floor

City: Akron State: OH ZIP: 44308-1456

6. Total Number of applications and patents involved:

42

7. Total fee (37 CFR 3.41): \$ 1,680.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

18-0987

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald J. Bobak

Name of Person Signing

Signature

November 15, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

MGP.C.US0001

01/10/2002 TDIAZI 00000112 4330462

01 FC:581

1680.00 OP

PATENT
REEL: 012428 FRAME: 0886

SCHEDULE OF PATENTS (PART II)

	U.S. Case No.	U.S. Patent No./ U.S. Serial No.
1	T-1018	4,330,462
2	T-3518	4,348,510
3	T-1058	4,358,492
4	T-1019	4,361,681
5	T-3519	4,374,949
6	T-1059	4,374,800
7	T-1021	4,383,106
8	T-1026	4,408,004
9	T-1030	4,447,595
10	T-3520	4,452,962
11	T-1031	4,452,965
12	T-1062	4,462,953
13	T-3534	4,469,270
14	T-1026 (02)	4,476,272
15	T-1033	4,496,673
16	T-1032	4,496,704
17	T-1026 (03)	4,535,118
18	T-1063A	4,6044,257
19	T-3522B	4,605,728
20	T-1063 (02)	4,643,925
21	T-1039	4,654,399
22	T-1041	4,755,587
23	T-3545	4,775,564

	U.S. Case No.	U.S. Patent No./ U.S. Serial No.
24	T-1042	RE - 32,765
25	T-3524	4,778,873
26	T-1047	4,798,883
27	TH-0062	5,391,694
28	T-3540 (02)	5,408,035
29	TH-0062A	5,412,063
30	TH-0677	5,523,361
31	TH-0458	5,554,657
32	TH-0426A	5,594,092
33	TH-0426B	5,612,423
34	TH-0497	5,780,539
35	TH-0865	5,895,807
36	TH-0963N	5,919,872
37	TH-0904A	6,025,405
38	TH-1112 (02)	6,034,167
39	TH-0904B	6,080,824
40	TH-0904P	6,100,307
41	TH-1501	09/286,537
42	TH-0904Q	09/444,939

PATENT ASSIGNMENT RECORDAL AGREEMENT

This AGREEMENT is made, *nunc pro tunc*, the 1st day of June 2000, by and between:

Shell Oil Company, a company incorporated under the laws of Delaware and having its registered office at One Shell Plaza, 910 Louisiana Street, Houston, Texas 77002 ("ASSIGNOR");

and

Mossi & Ghisolfi Overseas, S.A., a company incorporated under the laws of Luxembourg and having its registered office at 45-47 Boulevard de la Pétrusse, L-2320 Luxembourg ("ASSIGNEE").

WITNESSETH:

WHEREAS, ASSIGNOR assigned its right, title and interest in and to those patents and/or patent applications listed on the Schedule hereto ("the Part II Patents"), including without limitation the right to obtain re-examinations, reissues, and extensions in respect of the Part II Patents, to ASSIGNEE, and ASSIGNEE granted back to ASSIGNOR certain licenses under the Patents, pursuant to an Intellectual Property Transfer and License Agreement between ASSIGNOR and ASSIGNEE having an effective date of June 1, 2000;

WHEREAS, ASSIGNEE desires to record ASSIGNEE's right, title and interest in and to the Part II Patents; and

AND WHEREAS, ASSIGNOR desires to record the grant back of certain licenses under the Part II Patents to ASSIGNOR;

NOW, THEREFORE, for good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR, effective as of 1 June 2000, has assigned the Patents to ASSIGNEE, and ASSIGNEE, effective as of 1 June 2000, has granted back to ASSIGNOR:

- (a) an irrevocable, transferable, fully paid-up, exclusive license, with all rights to grant sub-licenses, to practice outside the Polyesters Field and to conduct research and development in support thereof; and
- (b) an irrevocable, transferable, fully paid-up, non-exclusive license in the Polyesters Field with the right to grant sub-licenses to:
 - (i) manufacture, use and sell refinery products including fuels, lubricants, bituminous compositions and chemical feedstocks, and conduct research and development in support thereof; and
 - (ii) use and sell Products in connection with operations for the exploration for and the recovery of oil, gas and other minerals, and conduct research and development in support thereof.

wherein the definitions of Products and Polyesters Field are attached hereto.

IN WITNESS WHEREOF, ASSIGNOR has caused this Agreement to be executed this thirteenth day of November, 2001, *nunc pro tunc*, the first day of June, 2000, at Houston, Texas.

SHELL OIL COMPANY

By Richard F. Lemuth
Richard F. Lemuth

Title Associate General Counsel

DEFINITIONS

- (a) "Polyesters Field" means the manufacture, use or sale of Products, including research and development in support thereof. For purposes of this definition, Polyesters Field excludes sale or use of nucleating agents for use other than in the manufacture of polyester Products.
- (b) "Products" means
 - (i) polyesters (including copolyesters) derived from (A) one or more dicarboxylic acids selected from terephthalic acid, isophthalic acid, naphthalene dicarboxylic acid or esters of any thereof, and (B) monoethylene glycol or mixtures thereof with other diols, wherein the amount of other diol(s) is no more than 40 mol percent of the diol component of the polyester with the proviso that the amount of 1,3-dihydroxypropane, if present, is no more than 2 mol percent of the diol component; or such polyesters modified by the use of particulate inorganic fillers not to exceed 20 percent by weight of the polyester; or such polyesters modified by the use of chain extenders, cross linking agents, stabilizers or other additives collectively not to exceed 5 percent by weight of the polyester;
 - (ii) polyesters (including copolyesters) having a number average molecular weight of more than 10,000 grams/mole derived from (A) one or more dicarboxylic acids selected from adipic acid, succinic acid, sebacic acid, or dimerised fatty acid or esters of any thereof, and (B) monoethylene glycol or mixtures thereof with other diols, wherein the amount of other diol(s) is no more than 40 mol percent of the diol component of the polyester with the proviso that the amount of 1,3-dihydroxypropane, if present, is no more than 2 mol percent of the diol component; or such polyesters modified by the use of particulate inorganic fillers not to exceed 20 percent by weight of the polyester; or such polyesters modified by the use of chain extenders, cross linking agents, stabilizers or other additives collectively not to exceed 5 percent by weight of the polyester;
 - (iii) mixtures of (i) and (ii);
 - (iv) masterbatches of one or more of (i), (ii) or (iii), containing up to about 5 percent by weight of additive(s);
 - (v) polymer blends containing at least 70 percent by weight of one or more of (i), (ii) or (iii);

- (vi) polyesters derived from one or more aromatic dicarboxylic acids or esters thereof and one or more diols with the proviso that the amount of 1,3-dihydroxypropane, if present, is no more than 2 mol percent, further incorporating an imide group;
- (vii) polyesters derived from one or more aromatic dicarboxylic acids or esters thereof and tetramethylene glycol or a cyclobutane diol as its diol component or one of its diol components; and
- (viii) nucleating agents for (i), (ii), (iii), (vi) and (vii).

For the avoidance of doubt, Products do not include PTT.

- (c) "PTT" means a polyester derived from aromatic dicarboxylic acids/esters and 1,3-dihydroxypropane, and optionally one or more other diols, provided the amount of the 1,3-dihydroxypropane is greater than 2 mol percent of the total diol content.