

01-11-2002



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OMB No. 0651-0027

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PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Chi-Shen LEE
Ting-Kuo CHANG
Pi-Fu CHEN
Yu-Ming KANG
Yuan-Tung DAI

01/03/02

2. Name and address of receiving party(ies):

INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE
No. 195, Sec. 4, Chung Hsing Rd.,
Chu Tung Town, Hsinchu, Taiwan, R.O.C.JCE26 U.S. PTO
10/033934
01/03/02Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other

Execution Date: November 28, 30, 28, 28 and 28, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 20, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Suite 800
Washington, D.C. 20037-3213

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$40.00

- ☒
- Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.
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- ☐
- Authorized to be charged to deposit account

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19-4880

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan J. Kasper

Reg. No. 25,426

January 3, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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Box Assignment

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01/10/2002 TDIAZ1 00000239 10033934

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PATENT
REEL: 012433 FRAME: 0138

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) _____
A METHOD FOR MANUFACTURING THIN FILM TRANSISTORS

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S)
 concurrently with this assignment.

☐ U.S. patent application Serial No. _____, filed _____

☐ a U.S. patent application based on PCT International Application
 No. _____ filed on (date) _____ (U.S. patent application
 Serial No. _____, if known).

☐ U.S. patent No. _____, issued _____.

The PATENT RIGHTS also include all divisions reissues, continuations and extensions of
 the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☒ U.S. patent rights only.

☐ Worldwide patent rights. In this case, the assignee shall have the right to
 claim the benefit of the filing date of any U.S. or foreign patent application
 for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures
 appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE

(Address) NO.195, SEC.4, CHUNG HSING RD., CHU TUNG TOWN, HSINCHU,
TAIWAN, R.O.C.

The ASSIGNEE is:

(check one) ☐ An individual.

☐ A Partnership.

☒ A Corporation of TAIWAN, R.O.C. (specify state or country)

☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and
 valuable consideration, receipt of which is acknowledged, hereby assign(s) the following
 rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS;

the right to sue and recover for any past infringement; and

the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable
 provisions, based on any earlier patent applications for this invention.

THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO

ASSIGNEE: INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE

INVENTION TITLE: A METHOD FOR MANUFACTURING THIN FILM TRANSISTORS

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademark to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any fact known to the ASSIGNOR(S) with respect to said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause and all Letter Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNOR(S) authorize(s) the attorneys and agents who have the power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Chi-Shen LEE

Name of sole or first inventor

Chi-Shen Lee

Signature

2001/11/28

Date

Ting-Kuo CHANG

Name of second inventor, if any

Ting-Kuo Chang

Signature

2001/11/30

Date

Pi-Fu CHEN

Name of third inventor, if any

Pi-Fu Chen

Signature

2001/11/28

Date

Yu-Ming KANG

Name of fourth inventor, if any

Yu-Ming Kang

Signature

2001/11/28

Date

Yuan-Tung DAI

Name of fifth inventor, if any

Yuan-Tung Dai

Signature

2001/11/28

Date

Name of sixth inventor, if any

Signature

Date

Name of seventh inventor, if any

Signature

Date

Name of eighth inventor, if any

Signature

Date