

01-14-2002



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Henkel Corporation

1-4-08

2. Name and address of receiving party(ies)

Name: Cognis Corporation

Internal Address: Patent Law Department

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Street Address: 2500 Renaissance Blvd.

Suite 200

City: Gulph Mills State: PA Zip: 19406

Execution Date: December 31, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

See attached continuation sheet.

B. Patent No.(s)

4399076

See attached continuation sheet.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marilyn A. Amento

Internal Address: Patent Law Department

Street Address: Cognis Corporation

2500 Renaissance Blvd.; Suite 200

City: Gulph Mills State: PA Zip: 19409

6. Total number of applications and patents involved: 30

7. Total fee (37 CFR 3.41): \$1,200



Enclosed

01-0690



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8. Deposit account number:

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Oct. 31, 2001

Date

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PATENT
REEL: 012435 FRAME: 0788

Application Number(s) or Patent Number(s)

() Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

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Exhibit D

PATENT ASSIGNMENT

WHEREAS HENKEL CORPORATION, a Delaware corporation having its principal place of business at 2200 Renaissance Boulevard, Suite 200, Gulph Mills, Pennsylvania 19406 (hereafter the "Assignor") owns the patents and patent applications listed on the attached Schedule B and any inventions covered thereby, as well as any other letters patent which may issue for the inventions in any country, including all continuations, divisions, continuations-in-part, certificates of re-examination, extensions, substitutes or reissues and any rights of priority resulting from the filing of the patents and patent applications listed in Schedule B (hereafter referred to as the "Patents");

AND WHEREAS, pursuant to a Contribution Agreement dated as of December 23, 1999, between the Assignor and Cognis Corporation, a Delaware corporation having its principal place of business at 5051 Estecreek Drive, Cincinnati, Ohio 45232-1446 (hereafter "Assignee") is desirous of acquiring the Assignor's entire right, title and interest in and to the Patents;

NOW, THEREFOR, in exchange for the consideration set forth in the Contribution Agreement, the receipt and sufficiency of which is hereby acknowledged, the Assignor hereby sells, assigns and transfers its entire right, title and interest in and to the Patents, the same to be held and enjoyed by the Assignee for its use and enjoyment and for the use and enjoyment of its

successors, assigns or other legal representatives as the same would have been held and enjoyed by the Assignor if the assignment and sale had not been made;

AND the Assignor hereby agrees to do such things and execute such further lawful documents, assurances, applications and other instruments as may be required to give effective legal and registered title to the Assignee in and to the Patents, all without further consideration, but at the sole expense of the Assignee.

DATED this 31st day of December, 1999.

HENKEL CORPORATION (ASSIGNOR)

By:

Name:

Title

John S. Kumpf
President
12/17/99

COGNIS CORPORATION (ASSIGNEE)

By:

Name:

Title:

Robert J. Butz
President
12/23/99

Schedule B – Patent Rights

Part 3 – Pending & Granted Applications (As of 12/14/99)

Patent Rights Transferred Include Rights to All Inventions Described or Claimed in the Docket Numbers Listed and All Foreign Counterparts Thereof

Docket #	Title	US Patent Number
00081076	Glycosidic Surfactants	
02000020	Color Stabilization Of Glycosides	
E 00005	Improved Multigrade Synthetic Hydrocarbon Engine Oils	4992183
E 00640	Vinylcyclopropane Monomers and Oligomers	4321406
E 00735	Process for the Production of Polybasic Acid Esters with Recovery of Boron Trifluoride	4973743
E 00743B	Polyesters for Use in Blood Partitioning	5124434
E 07703A	Copolyesters Useful in Blood Separation Assemblies	
E 07905	Process for the Preparation of Vinylcyclopropane Derivatives	
E 08002	Improved Polyester Gasket Plasticizers Suitable for Use with Internally Plasticized Appliance Coatings	5576367
E 08003	Process For the Production Of Carboxylic Amides Using Alkyltin Catalysts	4277410
E 08004	Rubber Compositions and Method Of Vulcanizing Same In Ultrahigh-Frequency Field	4335227
E 08005	Lubricant Compositions Having Improved Anti-Deposition Properties	5047159
E 08008	Process For the Preparation Of Supported Nickel Catalysts	4317748
E 08009	Process For the Preparation Of Vinylcyclopropane Derivatives	4328168
E 08010	Process For the Preparation Of Vinylcyclopropane Derivatives	4328169
E 08101	Amidoamine Oxides Of Polymeric Fatty Acids	4370273
E 08102	Process For Reducing the Polyunsaturates Content In Mixtures Of Unsaturated Fatty Acids And/Or Fatty Acid Esters	4327030
E 08104	Carboxyphenylalkanoic Acids and Lower Alkyl Esters Thereof	4356315
E 08106	Process for Enhancing the Fragrance Qualities of Ethylene Glycol Monoaryl Ethers	4404407
E 08109	Process For the Production Of Macrocyclic Esters and Lactones Utilizing Mixed Metal Catalysts	4393223
E 08110	Process For Raisin Production	4433002
E 08113	2-Vinyl- and 2-Ethylcyclopropane Monocarboxylates	4418202
E 08202	Substituted- Cyclopropyl Sulfones	4446077
E 08204	1,1-Disubstituted 2-Vinyl- and 2-Ethylcyclopropanes	4399076
E 08205	Improved Process for the Production of Ethylene Glycol Monoaryl Ethers	
E 08206	Di- and Tripenaerythritol Esters Of Isostearic Acid	4477383
E 08208	Process for the Trimerization of Unsaturated Fatty Acids	4508652
E 08209	Process for the Depolymerization of Polyesters Using Dawsonite as the Catalyst	
E 08213	Decomposition Of Polycarbonates To Form Terminally Unsaturated Alcohols	
E 08214	Furan Derivatives Useful as Aroma Compounds	4536349
E 08306	Process for the Production of Macrocyclic Esters and Lactones Using Double Metal Salt Catalysts	4499288
E 08309	2,3-Dihydrofurans Useful as Fungicides	

E 08315	Low Temperature Hydraulic Fluids Based on Two Centistoke Synthetic Hydrocarbons	4519932
E 08317	Process for the Metathesis of Alkenyl Esters	
E 08401	Liquid Quaternary Ammonium Antistatic Compositions	4540521
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E 08403	Substituted- Cyclopropyl Sulfones	4469891
E 08405	Fiber Finishes	4624793
E 08406	Process for the Production of Fragrance Quality Ethylene Glycol Monoarylethers	4533759
E 08407	4-Alkylphenyl-2- Alkoxyethyl Ethers and Fragrance Compositions Containing the Same	
E 08408	Soap/Syndet Bars Filled with Fatty Acid Coated Reactive Filler	4574053
E 08411	Process for Separating Fatty Materials from Supported Nickel Catalysts	4584140
E 08506	Compositions and Process for Alfalfa Drying	
E 08508	Mist Lubricant Compositions	4589990
E 08508A	Mist Lubricant Compositions	
E 08509	Mist Lubrication Process	4601840
E 08601	Polyether Glycol Esters of Polycarboxylic Acids as Rheological Additives for Coal-Water Slurries	
E 08608	Copolyester Plasticizers For Polyvinyl Chloride	
E 08611	Liquid Copolyester Plasticizers Derived From 1, 4-Butanediol and a Dicarboxylic Acid Mixture	4689429
E 08612	Process for the Production of Macrocyclic Esters by the Depolymerization of Polyesters	4709058
E 08616	Efficient Cis to Trans Isomerization of 1,4 - Dihalobutene-2	4781807
E 08617	Synthesis Of High-Purity Dialkyl 2-Vinylcyclopropane-1, 1-Dicarboxylate	4713479
E 08703	Liquid Copolyester Plasticizers Derived From 1,4-Butanediol and a Dicarboxylic Acid Mixture	4698383
E 08704	Compositions and Process for Alfalfa Drying	4762724
E 08706	Copolyester Plasticizers For Polyvinyl Chloride	4824990
E 08803	Novel Soap Bar Composition	4820438
E 08901	Polyvinyl Chloride Compositions Plasticized with Copolyesters	4876304
M 03440	WATER SLURRIES OF CARBONACEOUS MATERIALS	
M 03483	SUSPENDING AGENT FOR REFRACTORY PAINTS	
M 03875	Process for Thermally Stabilizing Sterols	4263103
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M 04291	Surfactant	4476045
M 04297	Polycarbonate Processing	4487874
M 04299	Surfactant	4476044
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M 04322	Glycidyl Ether of Geminal-Bis(Hydroxymethyl) Hydrocarbons	4481348
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M 04360	Non-Dimer Polyamides Having Improved Water Solubility Useful as Flexographic/Gravure Ink Binders	
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M 04435	Process Of Preparing Hydroxyarylaldehydes	4599457
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M 05289	Modifiers for Aldoxime Extractant of Metal Values	
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M 05342	Process for the Production of Alkylpolyglycoside	5496932
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M 05351B	A Process for Making Alkyl Polyglycosides Having Improved Aesthetic and Tactile Properties	5677436
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