

01-14-2002

Docket No.: 0717.2019-000

RECC



HEET

1.8.02

101944328

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Jinlin Ye
Jyh-Chia Chen
Shirong Liao
Hong K. Choi
John C. C. Fan

2. Name and address of receiving party(ies)

Name: Kopin Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 695 Myles Standish Boulevard

Myles Standish Industrial Park

City: Taunton State: MA ZIP: 02780

Execution Date: 10/10/01, 11/5/01, 10/10/01, 10/3/01, 10/22/01,
respectively

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/866,442

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: N. Scott Pierce

Internal Address: _____

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: 530 Virginia Road

P.O. Box 9133

City: Concord State: MA ZIP: 01742-9133

6. Total number of applications and patents involved: ☒ 1

7. Total Fee (37 C.F.R. 3.41)..... \$ 40

☒ Enclosed
☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theresa A. Devlin

Name of Person Signing

Theresa A. Devlin
Signature

11/20/01
Date

Total number of pages including cover sheet, attachments, and document: ☒ 9

JointASSIGNMENT

WHEREAS, we, **Jinlin Ye, Jyh-Chia Chen, Shirong Liao, Hong K. Choi and John C.C. Fan** have invented a certain improvement in **METHOD OF MANUFACTURING GaN-BASED P-TYPE COMPOUND SEMICONDUCTORS AND LIGHT EMITTING DIODES** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **May 25, 2001** as Application No. **09/866,442**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **Kopin Corporation** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **695 Myles Standish Boulevard, Myles Standish Industrial Park, Taunton, Massachusetts 02780** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____

Jinlin Ye

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Jinlin Ye** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

J. C. C.

Jyh-Chia Chen

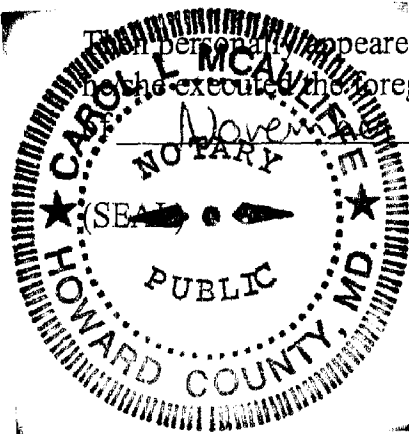
State/Commonwealth

of

Maryland

County of

Howard



Then personally appeared before me the above-named **Jyh-Chia Chen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 5 day of November, 2001.

Carol L. McAuliffe

Notary Public

Carol L. McAuliffe (print name)

My Commission expires 03/31/03

Inventor's Signature: _____

Shirong Liao

State/Commonwealth

of

County of

Then personally appeared before me the above-named **Shirong Liao** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Hong K. Choi

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Hong K. Choi** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

John C.C. Fan

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **John C.C. Fan** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

JointASSIGNMENT

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NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

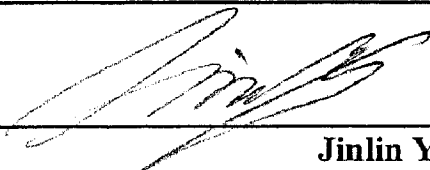
AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

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AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor's Signature: _____



Jinlin Ye

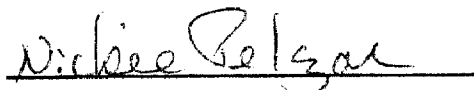
State/Commonwealth

of Massachusetts

County of Bristol

Then personally appeared before me the above-named **Jinlin Ye** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 10th day of October, 2001.

(SEAL)



Notary Public

NICKIE B. PELCZAR

(print name)

Notary Public

My Commission expires, 2004 / /

Inventor's Signature: _____

Jyh-Chia Chen

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Jyh-Chia Chen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: Shirong Liao

Shirong Liao

State/Commonwealth

of Massachusetts

County of Bristol

Then personally appeared before me the above-named **Shirong Liao** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 10th day of October, 2001.

(SEAL)

Nickie Pelczar

Notary Public

(print name)

NICKIE B. PELCZAR
My Commission expires ____/____/____
Notary Public

My Commission Expires May 14, 2004

Inventor's Signature: H. K. Choi

Hong K. Choi

State/Commonwealth

of Massachusetts

County of Bristol

Then personally appeared before me the above-named **Hong K. Choi** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 3rd day of October, 2001.

(SEAL)

Nickie Pelzar

Notary Public

(print name)

NICKIE B. PELCZAR
Notary Public

My Commission Expires May 14, 2004

Inventor's Signature: John C.C. Fan

John C.C. Fan

State/Commonwealth

of Massachusetts

County of Bristol

Then personally appeared before me the above-named **John C.C. Fan** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 22 day of October, 2001.

(SEAL)

Nickie Pelzar

Notary Public

(print name)

NICKIE B. PELCZAR

Notary Public

My Commission Expires 2004