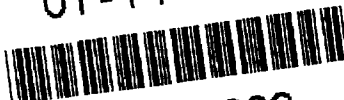


01-14-2002

101942622  
PATENTS ONLYForm PTO-1595  
(Rev. 03/01)

R.

OMB No. 0651-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

ALSTOM POWER N.V.

1:408

## 2. Name and address of receiving party(ies)

Name: ALSTOM (Switzerland) Ltd

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Street Address: Haselstrasse 16

CH-5401 Baden, Switzerland

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Execution Date: October 29, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/941,915

B. Patent No.(s)

01-14-2002

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Russell W. Warnock

Internal Address: \_\_\_\_\_

Street Address: 2000 Day Hill Road

City: Windsor State: CT Zip: 06095

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

03-2578

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur E. Fournier, Jr.

Name of Person Signing

  
Signature

October 29, 2001

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 4Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

01/14/2002 BTOM11 00000150 032578 09941915

PATENT  
REEL: 012441 FRAME: 0522

ASSIGNMENT

WHEREAS, ALSTOM POWER N.V., a Netherlands corporation, having a place of business at Hullenbergweg 393-395, 1101CS Amsterdam, The Netherlands, is the owner in the United States of America of the entire right, title and interest in and to the applications for patents listed in the Schedule attached hereto, and to the inventions disclosed therein.

WHEREAS, ALSTOM (Switzerland) Ltd, a joint stock company of Switzerland, having a place of business at Haselstrasse 16, CH-5401 Baden, Switzerland, is desirous of acquiring the entire right, title and interest in and to said applications for patents and to the inventions disclosed therein, including all rights of action and recovery for past infringement.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ALSTOM POWER N.V., does hereby sell, assign and transfer unto ALSTOM (Switzerland) Ltd, the entire right, title and interest in and to said applications for patents and to the inventions disclosed therein and including any reissues, additions, and extensions thereof together with all rights of action and recovery for past infringement thereof which are owned in whole or in part by ALSTOM POWER N.V., the same to be held and enjoyed by ALSTOM (Switzerland) Ltd, its successors and assigns or other legal representatives as fully and entirely as the same would have been held and enjoyed by ALSTOM POWER N.V. had this assignment not been made.

IN WITNESS, WHEREOF, ALSTOM POWER N.V. has caused this instrument to be executed by its duly authorized officer this 29 day of October, 2001.

ALSTOM POWER N.V.

By: Russell W. Warnock  
Russell W. Warnock

United States of America     )  
State of Connecticut         ) ss. Enfield  
County of Hartford           )

On this 29 day of October, 2001, before me, a notary public, personally appeared Russell W. Warnock to me known and known to me to be the person who signed the foregoing instrument as Attorney of ALSTOM POWER N.V., a Netherlands corporation of Hullenbergweg 393-395, 1101CS Amsterdam, The Netherlands). I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Janey Bruno  
Notary Public  
**JANEY BRUNO**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES APR. 30, 2006

Accepted this 29 day of October, 2001,

ALSTOM (Switzerland) Ltd

By: Arthur E. Fournier, Jr.  
Arthur E. Fournier, Jr.

United States of America     )  
State of Connecticut         ) ss. Enfield  
County of Hartford         )

On this 29 day of October, 2001, before me, a notary public, personally appeared Arthur E. Fournier, Jr. to me known and known to me to be the person who signed the foregoing instrument as Attorney of ALSTOM (Switzerland) Ltd, a joint stock company of Switzerland, having an office at Haselstrasse 16, CH-5401 Baden, Switzerland. I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Janey Bruno  
Notary Public

**JANEY BRUNO**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES APR. 30, 2006

**SCHEDULE**

**Inventions**

<b><u>DOCKET NO.</u></b>	<b><u>CTRY.</u></b>	<b><u>APPLICATION NO.</u></b>	<b><u>APPLICATION DATE</u></b>
M006260	US	09/941,915	29 AU 2001

PATENT

RECORDED: 01/04/2002

REEL: 012441 FRAME: 0525