

01-14-2002

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FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Ref. No. 00-624-A

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties: **1-7-02**
Daniel Yohannes, George Maynard, Jun Yuan, Lingsong Xie,
Kyungae Lee, Manuka Ghosh, George Luke, Xiaojun Liu, Arthur
Nagal, Lawrence Vincent, Kevin Currie and Zhe0Quing Wang
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Neurogen Corporation and Pfizer, Inc
Internal Address: _____

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other :
Execution Dates: October 10, 11, 15, 16, 17, 18 2001

Street Address: 35 Northeast Industrial Road
City: Branford State: Connecticut Zip: 06405
Street Address: 150 E. 42nd Street
City: New York State: New York Zip: 10017
Additional name(s) & address(es) attached? Yes No

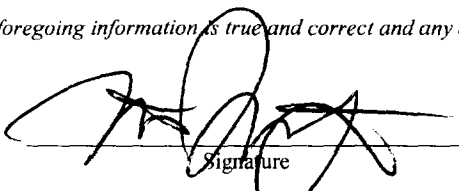
4. Application number(s) or patent number(s): **1**
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s)
09/924,174
Additional numbers attached? Yes No

B. Patent No.(s)
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Steven J. Sarussi
Company Name: McDonnell, Boehnen, Hulbert & Berghoff
Street Address: 300 South Wacker Drive, Suite 3200
City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: **1**
7. Total Fee (37 CFR 3.41) \$40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number: 13-2490

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Steven J. Sarussi
Printed Name of Person Signing

Signature
Date: October 23, 2001
Total number of pages including cover sheet, attachments, and document: **13**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

01/11/2002 TDIAZ1 00000209 09924174
01 FC:581 40.00 OP

PATENT
REEL: 012446 FRAME: 0211

ASSIGNMENT

Case No.: 00-624-A

Serial No.: 09/924,174

Inventors: Daniel Yohannes, George Maynard, Jun Yuan Linghong Xie, Kyungae Lee, Manuka Ghosh, George Luke, Xiaojun Liu, Arthur Nagal, Lawrence Vincent, Kevin Currie, and Zhe-Quing Wang

Date of Execution

of Application:

Filing Date: August 6, 2001

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

NEUROGEN CORPORATION, a corporation of the State of Delaware

And

PFIZER INC. a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

HETEROCYCLIC COMPOUNDS AS LIGANDS OF THE GABA_A RECEPTOR

and identified as:

Case No. 00-624-A

in the offices of MCDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.


The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 11 day of October, 2001.




Daniel Yohannes

State of Connecticut

County of New London

The foregoing instrument was acknowledged before me this 11th day of
October, 2001 by _____.



JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004

WITNESS my hand and seal this ___ day of _____, ____.

George Maynard

State of

County of

The foregoing instrument was acknowledged before me this _____ day of
_____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this ___ day of _____, ____.

Manuka Ghosh

State of

County of

The foregoing instrument was acknowledged before me this _____ day of

_____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this ___ day of _____, ____.

George Luke

State of

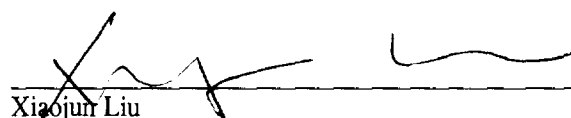
County of

The foregoing instrument was acknowledged before me this _____ day of

_____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this 10 day of October, 2001.



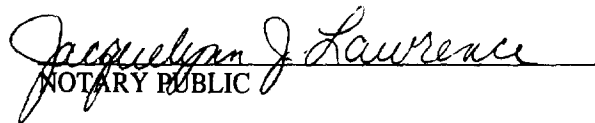
Xiaojun Liu

State of Connecticut

County of New London

The foregoing instrument was acknowledged before me this 10th day of

October, 2001 by _____.



NOTARY PUBLIC

JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004

WITNESS my hand and seal this 10 day of October, 2001.

Arthur Nagel
Arthur Nagel

State of Connecticut
County of New London

The foregoing instrument was acknowledged before me this 10th day of
October, 2001 by _____.

Jacquelyn Lawrence
NOTARY PUBLIC JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004

WITNESS my hand and seal this 10 day of October, 2001.

Lawrence Vincent
Lawrence Vincent

State of Connecticut
County of New London

The foregoing instrument was acknowledged before me this 10th day of
October, 2001 by _____.

Jacquelyn Lawrence
NOTARY PUBLIC JACQUELYNN J. LAWRENCE
NOTARY PUBLIC
MY COMMISSION EXPIRES
OCTOBER 31, 2004

WITNESS my hand and seal this ___ day of _____, _____.

Kevin Currie

State of
County of

The foregoing instrument was acknowledged before me this _____ day of
_____, _____ by _____.

NOTARY PUBLIC

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this ___ day of _____, _____.

Daniel Yohannes

State of

County of

The foregoing instrument was acknowledged before me this _____ day of _____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this 15 day of Oct, 2001.

George Maynard
George Maynard

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 15th day of October, 2001 by George Maynard.

Darlene Suarez
NOTARY PUBLIC

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

WITNESS my hand and seal this 16th day of October, 2001.

Jun Yuan
Jun Yuan

State of

County of

The foregoing instrument was acknowledged before me this 15th day of October, 2001 by Jun Yuan.

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

Darlene Suarez
NOTARY PUBLIC

WITNESS my hand and seal this 17th day of October, 2001.

Linghong Xie
Linghong Xie

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 17th day of October, 2001 by Linghong Xie.

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

Darlene Suarez
NOTARY PUBLIC

WITNESS my hand and seal this 18th day of October, 2001.

Kyungae Lee
Kyungae Lee

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 18th day of October, 2001 by Kyungae Lee.

Darlene Suarez
NOTARY PUBLIC

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

WITNESS my hand and seal this 17th day of October, 2001.

Manuka Ghosh
Manuka Ghosh

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 17th day of October, 2001 by Manuka Ghosh.

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

Darlene Suarez
NOTARY PUBLIC

WITNESS my hand and seal this 15th day of October, 2001.

George Luke
George Luke

State of

County of

The foregoing instrument was acknowledged before me this 15th day of October, 2001 by George Luke.

WITNESS my hand and seal this ___ day of _____, _____.

Darlene Suarez
NOTARY PUBLIC

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

Xiaojun Liu

State of

County of

The foregoing instrument was acknowledged before me this ___ day of _____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this ___ day of _____, _____.

Arthur Nagal

State of

County of

The foregoing instrument was acknowledged before me this _____ day of

_____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this ___ day of _____, _____.

Lawrence Vincent

State of

County of

The foregoing instrument was acknowledged before me this _____ day of

_____, _____ by _____.

NOTARY PUBLIC

WITNESS my hand and seal this 16th day of October, 2001.



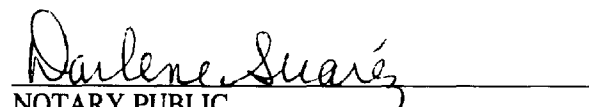
Kevin Currie

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 16th day of

October, 2001 by Kevin Currie.



NOTARY PUBLIC

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06

WITNESS my hand and seal this 15th day of October, 2001.

Zhe-Qing Wang
Zhe-Qing Wang

State of Connecticut

County of New Haven

The foregoing instrument was acknowledged before me this 15th day of October, 2001 by Zhe-Qing Wang.

Darlene Suarez
NOTARY PUBLIC

DARLENE SUAREZ
Notary Public, State of Connecticut
County of New Haven
My Commission Expires 5/31/06