

01-15-2002



To the Honorable Commissioner of Pa

101946251

Attached original documents or copy thereof.

1. Name of conveying party:

Ling Yuk Cheung

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

11/10/02

2. Name and address of receiving party

Name: Ultra Biotech Limited

Internal Address: 2nd Floor

Street Address: 60 Circular Road, Douglas

Country: Isle of Man ZIP: IM1 1SA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Dates: October 27, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. 09/797,493

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Z. Ying Li

Internal Address: _____

Street Address: Fish & Neave

1251 Avenue of the Americas

City: New York State: NY ZIP: 10020

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Z. Ying Li

Name of Person Signing

Signature

November 5, 2001

Date

Reg. No. 42,800

Total number of pages including cover sheet, attachments, and document: _____

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/15/2002 AAHMD1 00000027 09797493

01 FC:581

40.00 DP

PATENT
REEL: 012448 FRAME: 0798

ASSIGNMENT

WHEREAS, I, **LING YUK CHEUNG**, ASSIGNOR, permanent resident of **Hong Kong Special Administrative Region** with a post office address at **2 Dai Fu Street, Tai Po Industrial Estate, New Territories, Hong Kong**, am the inventor of the invention in **Methods and Compositions for Suppressing Growth of Pathogenic Microbes** as set forth and described in application for Letters Patent of the United States, Serial No. 09/797,493 filed on March 1 2001

And WHEREAS, **Ultra Biotech Limited**, having its registered office at **2nd floor, 60 Circular Road, Douglas, Isle of Man, IM1 1SA**, ASSIGNEE is desirous of obtaining my entire right, title and interest in, to and under the said invention and the said application:


NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same: the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner of Patent and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instruments.

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any fact known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

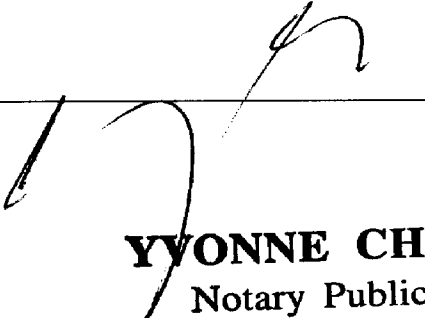
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 27 day of
Oct. 2001

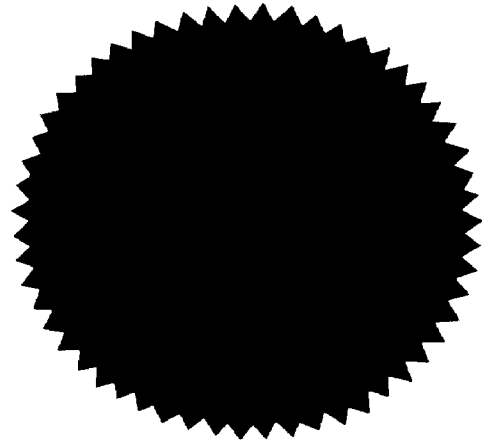
 L.S.

State of)
) SS.:
County of Hong Kong)

On 27/10/2001, before me, Yvonne Chua, Notary Public,
personally appeared **LING YUK CHEUNG**, personally known to me on the basis of satisfactory
evidence to be the person(s) whose name(s) is subscribed to the within instrument and
acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies),
and that his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

WITNESS my hand and official seal


YVONNE CHUA
Notary Public
Hong Kong SAR



轉讓書

鑑於本人即轉讓人張令玉為香港特別行政區永久居民，郵寄地址為香港新界大埔工業村大富街2號。本人為 Methods and Compositions for Suppressing Growth of Pathogenic Microbes 發明之發明人，並已在美國專利局申請備案，申請日期為二零零一年三月一日，案號為 09/797,493。

並鑑於 ULTRA BIOTECH LIMITED 註冊辦公地點為 ISLE OF MAN，願意獲取本人對上述發明及其申請書之一切權利、所有權和利益。

因此，以本人已親自收到的一美元 (\$1.00) 為約因，以及在此確認的其他良好和寶貴約因，本人即轉讓人當面向受讓人、其繼承人、法律代和受惠人出售、轉讓並授予以下所列的所有有關權利、擁有權發利益：上述發明及其在美國的續申的申請書，包括此專利的所有分部、續延和延的續專利，重新頒發和延期；在美國以外的所有國家為不此發明所提出的有關工業財產保護，包括但限於所有對與此發明有關的專利、實用新和外國觀設計申請，同時包括根據美國專利的、可實施的任任何內部法律及國際條款所提出的申請此及發明有關的任任何形式的工業產權保護，包括不計以及所有的延期、續延和重新頒發。

本人茲授權並請求美國專利和商標專員及美國外任何國家的官員，其職責為授權，頒發專利或任何其他保護工業財產的證明或形式，按國發照本轉讓書之條款和條件，向受讓人、其繼人、法律代表和代理人頒發此類專利或其他保護工業財產的證明或形式。

本人茲承認並同意本人擁有全權轉讓本轉讓書所含的全部權益，且本人尚未和不得簽署與本轉讓書有衝突的任何協議。

本人茲進一步承認並同意本人將向受讓人、其繼承人、法律代表和受轉讓人說明本人所知有關此發明的任何事實，在任何法律程序中作證，簽署所有合法文件，簽署所有分部、延續、重新頒發和外國申請，立下所有正當誓辭，並盡一切可能，促使上述受讓人、其繼承人、法律代表和代理人在所有國家為該發明獲得並執行適當的保護。

本人茲於二零零二年十月二十七日簽字蓋章，以資證明。

張金玉 L.S.

州)
郡 香港) S.S.:
)

本公證人 蔡映媚，於 2001 年 10 月 27 日依據其所出示的令人滿意的證據在此確認在上述文件上簽名之人士為 張金玉 本人，並確認簽名人承認其本人有權在此文件上簽名，此簽名人或其全權代表的簽字使此文件成立，生效。

本人茲簽名蓋章公證，以資證明。

YVONNE CHUA
Notary Public
Hong Kong SAR

