PATENT

Practitioner's Docket No. <u>U013393-4</u>

101949443

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignments

nmissioner of Patents and Trademarks

ashington, D.C. 20231

NOTE:

"Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

## CORRECTIVE ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

# IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL

(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

NOTE: See 37 C.F.R. §3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at

*67).* 

1. This assignment is for the following patent application or issued patent:

### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandator**y; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

X	deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for
	Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

with sufficient postage as first class mail.

as "Express Mail Post Office to Addressee"

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Mailing Label No (mandatory)

Date: October 30, 2001

John Richards

Signature

(type or print name of person certifying)

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement

will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

01/15/2002 DBYRME

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(Corrective Assignment (Document) Cover Sheet—page 1 of 6) 16-8

National application: SN: 09/829,410			filed on: April 9, 2001
		olication:	filed on
	-	oplication: PCT	
Patent	No:		Issued:
		(complete if applicable) which w	as previously assigned and recorded
		Date <u>August 13, 2001</u>	Reel <u>012076</u>
		]	Frame <u>0895</u>
	NOTE:	subsequent to, the execution of the pater identify the patent application by its date so that there can be no mistake as to the application under § 1.53(c) is executed to	filed under § 1.53(b) is executed concurrently with, or application, but before the patent application is filed, it must be of execution, name of each inventor, and title of the invention patent application intended. If an assignment of a provisional before the provisional application is filed, it must identify the inventor and title of the invention so that there can be no a intended. 37 C.F.R. § 3.21.
		(also complete the f	following, if applicable)
[]	and als	o for the applications and/or paten	ts
		shown on the attached list of FUI	
		APPLICATION(S) and/or PATE	NT(S) BEING ASSIGNED
			Number of pages added
	NOTE:	attached to the cover sheet to reduce the	ontained within a document, any listing may be copied and amount of typing necessary. A notation of this attachment can ty identification number on the cover sheet." Notice of June 24,
			ICATIONS AND/OR PATENTS DTAL FEE
	NOTE:	All requests to record documents must be application or patent against which the erecording fee is set in § 1.21(h). 37 C.F.	e accompanied by the appropriate fee; a fee is required for each document is recorded as identified in the cover sheet. The R. §3.41.
2.	A.	The total number of applications	and/or patents identified in this cover sheet is _1
	B.	The total fee is (37 C.F.R. § 1.216  1 Total number of applications and/or patents	(h)): a \$40.00 = \$ <u>40.00</u>
	C.	[ ] Please charge the sum of A duplicate of the	check for \$ e Account of \$ is cover sheet is attached.
	Please o	charge Account 12-0425 for any fe	ee deficiency or credit to account any overpayment.

NOTE: Do NOT enter both application AND patent number.

PATENT REEL: 012455 FRAME: 0060

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## NAME OF PARTY(IES) CONVEYING INTEREST

(37 C.F.R. § 3.31(a)(1))

	to b nam	e term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents e recorded, that person's attorney or registered agent, or a corporate officer where a corporation's ne appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § .07, 6th ed.
3.	The party(i	es) conveying interest is (are):
		DLOMON SUNDAR MANOHARAN ANJU LATA RAO
	NAN	ME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))
4.	The rights a	Are being conveyed to:  Name: INDIAN INSTITUTE OF TECHNOLOGY  Address: KANPUR-208016 UTTAR PRADESH, INDIA  DESCRIPTION OF INTEREST CONVEYED OR  TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))
5.	The accom; [ ] [ ] [ ] [ ] [ ] [ x]	panying document intends to accomplish:  an assignment.  a security agreement.  a merger.  a license.  a change of name.  a change of address.  other: Corrective Assignment (Document) Cover Sheet to correct Document Date  which should be JUNE 25, 2001  not " JUNE 6, 2001 "  which was previously recorded at:  Reel012076 Frame _0895

Attached hereto is a copy of the Notice of Recordation of October 19, 2001.

(Corrective Assignment (Document) Cover Sheet—page 3 of 6) 16-8

# NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

6.

7.

6.		Please address correspondence	e to:	
		Name:	JOHN RICHARDS	<del></del>
		Address:	c/o Ladas & Parry	<del></del>
			26 West 61st Street	
			New York, New York 10023	<del></del>
		Telephone No	o.: (212) 708-1915	
		DATE ASSIG	NMENT (DOCUMENT) EXEC (37 C.F.R. § 3.31(a)(7))	CUTED
7.	A.	[X] The attached assignment	ent (document) was executed on	JUNE 25, 2001. Date
OI	₹.	If there is more than one conveying passignment document for each conve	party who executed on different date eying party.	es, indicate the date of execution of the
	В.	[ ] The attached assignment v	was executed by	
			Name	
		on		
		on Date		
		[ ] The attached assignment		
			Name	
		on		
		Date		
		[ ] The attached assignment	was executed by	
			Name	
		on Date		
		Date		
		[ ] The attached assignment		
			Name	
		on Date		
		Date		
		[ ] The attached assignment	was executed by	
			Name	
		on		
		Date		

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# LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8.

9.

	NOTE:	"The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.
8.	The att	ached document:
0.	[X]	
		is not in the English language and an English translation signed by the individual making the
	LJ	translation is attached.
		The state of the s
		ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED
	NOTE:	See 37 C.F.R. § 3.24.
9.	Submit	ted herewith is:
٠.	[X]	
		a true copy of the original document, which I certify to be a true copy.
	l 1	a true copy of the original document, which is come a date copy.
	NOTE:	"If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the
		requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.
	NOTE:	"Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form."
		M.P.E.P., 6th ed., § 302.01.
		ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS
		(check item, if applicable)
10.	the	cause the purpose of the attached documents is to record a change of address of the assignee, particulars of the previously recorded assignments for each application and/or patent are own.
	NOTE:	"Attach Change of Address 16-6."
		Time of the second of the seco
		ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME
		(check item, if applicable)
11	[]Re	cause the purpose of the attached documents is to record a change of name of the assignee,
11.		particulars of the previously recorded assignments for each application and/or patent are
		own.
	NOTE:	"Attach Change of Name."
	NOIE.	Anuch Change of Nume.

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# CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. [ ] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

# **STATEMENT** (37 C.F.R. § 3.31(a)(9)) **AND SIGNATURE** (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date:	
	Name of party submitting document
	Signature of party submitting document
	(complete the following, if the party submitting the documen is applicant's attorney)
	SIGNATURE OF PRACTITIONER
Reg. No.: 31,053	JOHN RICHARDS
Tel. No.: (212) 708-1915	(type or print name of practitioner)
Customer No.: 00140	P.O. Address
	c/o Ladas & Parry
	26 West 61 <sup>st</sup> Street New York, N.Y. 10023

### TOTAL NUMBER OF PAGES BEING SUBMITTED

14.	The total	number of	f pages bein	g submitted	, including cover she	eet attachment(s)	, and documents
	are:						

Total number of pages submitted

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#### **PATENT**

For:	[ ] U.S. and/or [ ] Foreign Rights
For:	[x] U.S. Application or
	[ ] U.S. Provisional Application
For:	[ ] U.S. Patent
For:	[ ] PCT Application
By:	Multiple Inventors

## ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

## **ASSIGNORS** (Inventors):

Solomon Sundar MANOHARAN	INDIAN INSTITUTE (	OF TECHNOLOGY
(type or print name of inventors)	KANPUR-208016, UT	TAR PRADESH, INDIA
	Address	INDIAN
		Nationality
Manju Lata RAO	INDIAN INSTITUTE	OF TECHNOLOGY
	KANPUR-208016, UT	TAR PRADESH, INDIA
	Address	INDIAN
		Nationality
	Address	
		Nationality
	Address	
		Nationality
	_	
	Address	
		Nationality

(Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3.2

(if assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following)

Recorded on			Reel Frame
ASSIGNE	EE	and transfers to	KANPUR-208016, UTTAR PRADESH,
(type or prin	t name of ASS		Address INDIA
INDIAN Nationality			INDIA
_			
and the	successors	s, assigns and legal repre	esentatives of the ASSIGNEE
		(complete	e one of the following)
[x]	the en	tire right, title and intere	est
[]	an und	divided	percent (%) interest
for the Un	ited States	and its territorial possess	sions
		(check the following box, ij	f foreign rights are also to be assigned)
	iny and all	improvements which are	cluding all rights to claim priority, edisclosed in the invention entitled: COMPOSITE AND PROCESS FOR THE
PREPAR	RATION O	F THE SAME	
Name of	inventor(s)	Solomon Sundar	MANOHARAN, Manju Lata RAO
		(check and complet	te (a), (b), (c), (d), (e), (f) or (g))
and which	is found in	ı (37 C.F.R. § 3.21)	
(a)	[]	U.S. patent application	n executed on even date herewith
(b)	[ ]	U.S. patent application	n executed on
(c)	[]	U.S. provisional appli entitled invention.	cation naming the above inventor(s) for the above-
			label no.:
	[ ]	To comply with 37 C.	F.R. 3.21 for recordal of this assignment, I, an
			below, hereby authorize and request my attorney to
		known.	g date and application number when they become
(d)	[x]	U.S. application no	09/829,410
(-)	£3	filed onA	pril 9, 2001
(e)	[]	International applicati	on no. PCT//
(f)	[]	U.S. patent no.	issuedissued address to which correspondence is to be sent regarding
			enance fees is being sent senarately

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(also check (g), if foreign application(s) is also being assigned)

(g)	[ ]	and any legal equivalent thereof in a foreign country, including the right to
		claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or reexamination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

RECORDED: 01/08/2002

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked

	( selvan	95 a 4. 7 a a l
Solomon Sundar MANOHARAN	14/	this (x) 25.06.200
(type name of Assignor)	Signature of ASSIGNOR	date of signing
Manju Lata RAO	(x) Hongran	this (x) 25.06.2001
(type name of Assignor)	Signature of ASSIGNOR	date of signing
		this
(type name of Assignor)	Signature of ASSIGNOR	date of signing
		this
(type name of Assignor)	Signature of ASSIGNOR	date of signing
		this
(type name of Assignor)	Signature of ASSIGNOR	date of signing
	(type or print the name of th	
	authorized to sign on behal	f of ASSIGNOR)
	Title	
	Title	
NOTE: No witnessing, notarizati	ou an landing time in the second of the second in	waaning dan taan ta da
<del> </del>	on or legalization is necessary. If the assignment is new idence of execution. 35 USC 261. Use next page	
[ ] Not	arization or Legalization Page Added.	

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**PATENT** REEL: 012455 FRAME: 0067