MRD 11-09-01

FORM PTO-1619A Expires 06/30/99 OMB 0651-0027 01-16-2002



101950407

U.S. Department of Commerce Patent and Trademark Office PATENT

### RECORDATION FORM COVER SHEET

PATENTS ONLY			
TO: The Commissioner of Patents and Trademark			
Submission Type	Conveyance Type		
X New	X Assignment Security Agreement		
Resubmission (Non-Recordation) Document ID#	License Change of Name		
Correction of PTO Error Reel # Frame #	Merger Other		
Corrective Document	U.S. Government (For Use ONLY by U.S. Government Agencies)		
Reel # Frame #	Departmental File Secret File		
Conveying Party(ies)	X Mark if additional names of conveying parties attached Execution Date Month Day Year		
Name (line 1) Douglas A. Carlson	10/23/2001		
Name (line 2)	Execution Date		
Second Party	Month Day Year		
Name (line 1) Todd D. Creger	10/17/2001		
Name (line 2)			
Receiving Party	Mark if additional names of receiving parties attached		
Name (line 1) Caterpillar Inc.	If document to be recorded is an assignment and the		
, p	receiving party is not		
Name (line 2) Intellectual Property Dep	otato, an appointment		
Address (line 1) 100 N.E. Adams Street	of a domestic representative is attached.		
Address (line 2)	(Designation must be a separate document from		
Address (iiie 2)	Assignment.)		
Address (line 3) Peoria City	Illinois 61629-6490 Zip Code		
Domestic Representative Name and Ac			
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Name			
Address (line 1)			
Address (line 2)			
Address (line 3)			
Address (line 4)			
1	OR OFFICE USE ONLY		
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Public burden reporting for this collection of information is estimated to ave	erage approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and		

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

FORM PTO-1619C Expires 06/30/99

## RECORDATION FORM COVER SHEET CONTINUATION DATENTS ONLY

U.S. Depart	ment of Co	mmerce
Patent and	<b>Trademark</b>	Office

OMB 0651-0027 **PATENT** PATENTS ONLY Conveying Party(ies) Mark if additional names of conveying parties attached **Execution Date** Enter additional Conveying Parties Month Day Name (line 1) Wayne M. Ferrell 11/05/2001 Name (line 2) **Execution Date** Month Day Year Name (line 1) Javad (NMI) Hosseini 10/22/2001 Name (line 2) **Execution Date** Month Day Year Name (line 1) Kenneth P. Liesener 10/26/2001 Name (line 2) Receiving Party(ies) Mark if additional names of receiving parties attached Enter additional Receiving Party(ies) If document to be recorded Name (line 1) is an assignment and the receiving party is not domiciled in the United Name (line 2) States, an appointment of a domestic representative Address (line 1) is attached. (Designation must be a separate document from Address (line 2) Assignment.) Address (line 3) City State/Country Zip Code Name (line 1) If document to be recorded is an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a domestic representative is Address (line 1) attached. (Designation must be a separate document from Assignment.) Address (line 2) Address (line 3) City State/Country Zip Code Application Number(s) or Patent Number(s) Mark if additional numbers attached Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). Patent Application Number(s) Patent Number(s)

FORM PTO-1619C Expires 06/30/99 OMB 0651-0027

# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

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Conveying Pa		Mark if addi	tional names of conveying	parties attache	d Execution Date
Enter additional Co	onveying Parties				Month Day Year
Name (line 1) Sr	i K. Rangarajan				10/26/2001
Name (in a)					
Name (line 2)					Execution Date
					Month Day Year
Name (line 1)					
Name (line 2)					
realite (little 2)					Execution Date
Nome (Co. 4)					Month Day Year
Name (line 1)					
Name (line 2)				<del></del>	
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Receiving Par	rty(ies)		Mark if additional names	of receiving pa	rties attached
Enter additional R	eceiving Party(ies)		_		
Name (line 1)					If document to be recorded is an assignment and the
,					receiving party is not
Name (line 2)					domiciled in the United States, an appointment
Addroso (III. 4)	<del></del>			<del></del> 1	of a domestic representative
Address (line 1)					is attached. (Designation must be a separate
Address (line 2)				<del></del> -	document from Assignment.)
Address (line 2)	L		<del></del>		, ioo.g.m.o.m,
Address (line 3)					
Flaci C55 (iiie 5)	City		State/Country	Zip	Code
					Mark and the second of
Name (line 1)					If document to be recorded is an assignment and the
Name (line 2)			<del></del>		receiving party is not domiciled in the United
1141110 ( 17					States, an appointment of a
Address (line 1)					domestic representative is attached. (Designation must
					be a separate document from Assignment.)
Address (line 2)			<del> </del>		Assignment.,
Address (line 3)					
Address (line 3)	City		State/Country	Zi	p Code
Application N	umber(s) or Patent N	lumber(s)	Mark if additional r	umbers attache	bd
Enter either the	Patent Application Number or	the Patent Number	(DO NOT ENTER BOTH	numbers for the	same property).
Pat	tent Application Number	r(s)	1	Patent Nu	mber(s)
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FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number (314)	849-4079
Name Steve D. Lundquist		
Address (line 1) Caterpillar Inc.		
Address (line 2) Intellectual Property De	partment	
Address (line 3) 100 N. E. Adams Street		
Address (line 4) Peoria, IL 61629-6490		
Pages Enter the total number of pagincluding any attachments.	ges of the attached conveyance document	# 10
Patent Application Number or the Patent Application Number (s)    10   03 7601	Patent Number (DO NOT ENTER BOTH numbers for the second se	
Patent Cooperation Treaty (PCT)  Enter PCT application number only if a U.S. Application Number has not been assigned.	PCT PCT PCT	PCT PCT
Number of Properties Enter the total	al number of properties involved. #	
Fee Amount for	or Properties Listed (37 CFR 3.41): \$ 40.0	0
Method of Payment: Enclos Deposit Account (Enter for payment by deposit account or if additi	<u> </u>	129

### **Statement and Signature**

Docket No. 00-226

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as

Authorization to charge additional fees:

indicated herein.

Steve D. Lundquist

Name of Person Signing

Signature Signature

11/09/2001

|x|

Date

No

WHEREAS, DOUGLAS A. CARLSON, residing at Morton, Illinois, hereinafter referred to ASSIGNOR has invented together with Todd D. Creger, Wayne M. Ferrell, Javad (NMI) Hosseini, Kenneth P. Liesener and Sri K. Rangarajan a new and useful

METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 23, 2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Touglas A. Carlson	U 23 C	ot 01	
DOUGLAS A. CARLSON	Date		
IN WITNESS OF the execution hered	of by DOUGLAS A. CAR	USON we have h	ereunto set our
Mawing Sumie 230	ocro1 blunem	Topper 23	Oct 2001
Witness (1) Date	Witness (2)	THE STATE OF THE S	Date
Address: 606 GREWST BOX	325 Address:	414 W. Hudse	2n
ROANOKE IL 6150	61-0325 <u>F</u>	Peoria, IL	61604-1520

WHEREAS, TODD D. CREGER, residing at Geneva, Illinois, hereinafter referred to ASSIGNOR has invented together with Douglas A. Carlson, Wayne M. Ferrell, Javad (NMI) Hosseini, Kenneth P. Liesener and Sri K. Rangarajan a new and useful

METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 17,2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

DE TESTIMONY WHEREOF, AS ONLY OF THE STREET	SSIGNOR has executed this instrument.
IN WITNESS OF the execution hereof by  Full for 10/17/01	TODD D. CREGER we have hereunto set our hands.
Witness (1) Date	Witness (2) Date Address: 1643 Timber Trl.
Address: 13175 HENNING RD	Address: 1643 Timber Trl.
PLANO, 2L 60545	Wheaton, IL 60187

WHEREAS, WAYNE M. FERRELL, residing at Peoria, Illinois, hereinafter referred to ASSIGNOR has invented together with Douglas A. Carlson, Todd D. Creger, Javad (NMI) Hosseini, Kenneth P. Liesener and Sri K. Rangarajan a new and useful

### METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on November 5, 2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

NOR has executed this instrument.
11-5-01
Date
YNE M. FERRELL we have hereunto set our hands
Witness (2) Date , Address: SRIDHAR DEI VASI GAMANI
2632 W. NILLON LAKE OV. #31
REORIA, IL-61614

### <u>ASSIGNMENT</u>

WHEREAS, JAVAD (NMI) HOSSEINI, residing at Edelstein, Illinois, hereinafter referred to ASSIGNOR has invented together with Douglas A. Carlson, Todd D. Creger, Wayne M. Ferrell, Kenneth P. Liesener and Sri K. Rangarajan a new and useful

## METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 22, 2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

JAVAD (NMI) HOSSEINI Date

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

IN WITNESS OF the execution hereof by JAVAD (NMI) HOSSEINI we have hereunto set our hands.

Witness (1) Date Witness (2) Date

Address:

100 NE Adams & JON NE adams & JON NE adams 57

Peria I C 61629-9420 Peria, K 6/629-95/0

WHEREAS, KENNETH P. LIESENER, residing at Peoria, Illinois, hereinafter referred to ASSIGNOR has invented together with Douglas A. Carlson, Todd D. Creger, Wayne M. Ferrell, Javad (NMI) Hosseini and Sri K. Rangarajan a new and useful

METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 26, 2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

KENNETH P. LIESENER

Date

IN WITNESS OF the execution hereof by KENNETH P. LIESENER we have hereunto set our hands.

Complying	10/26/6	Part	10/26/6
Witness (1)	Date	Witness (2)	Date
Address:	Address:	Address:	
10535 N Church:// A.	1710 N Logan 5+.		
Peorica IC 6/6/5	Chillicothe, IL 6/523		

WHEREAS, SRI K. RANGARAJAN, residing at Peoria, Illinois, hereinafter referred to ASSIGNOR has invented together with Douglas A. Carlson, Todd D. Creger, Wayne M. Ferrell, Javad (NMI) Hosseini and Kenneth P. Liesener a new and useful

### METHOD AND APPARATUS FOR DETERMINING TORQUE AT DESIRED LOCATIONS ON A MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on October 26, 2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- 1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Sri Kuman Rangan	10/2	6/01	
SRI K. RANGARAJAN	Date	)	
IN WITNESS OF the execution her	eof by SRI K. RANGAR.	AJAN we have here	unto set our hands
Paul Seel 2 Totas 10	$\frac{1}{1} \frac{1}{26} \frac{1}{20} \frac{1}{1} $	T. Young -	10/26/2001
Witness (1) Dat	Witness (2)		Date
Witness (1) Day Address: 516 W. Sheridar	Address:	BALLIN Chaption	Le
Peor 14, IL. 61		Perin, cel 616	03
·		•	

PATENT
RECORDED: 11/09/2001 REEL: 012455 FRAME: 0496