

01-16-2002



Attorney Docket No. 407T-911200US

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(Rev. 6-93)

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Cover Sheet  
(Only)

U.S. Department of Commerce  
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To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Gattadahalli Anantharamaiah  
Additional name(s) of conveying parties attached? No

1.5.02

2. Name and address of receiving party(ies)  
Name: The Regents of the University of California  
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Internal Address:  
Street Address:  
1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200  
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3. Nature of conveyance:  
X Assignment Merger  
 Security Agreement  Change of Name  
 Other:  
Execution Date: November 3, 2000

4. Application Number(s) or Patent Numbers.  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No(s): 09/645,454  
B. Patent No(s):  
Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name:  
Law Offices of Jonathan Alan Quine  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1  
7. Total fee (37 CFR 3.41): -----\$40.00  
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*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*  
  
Tom Hunter  
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Signature  
  
December 6, 2001  
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10. Change Correspondence Address to that of Part 5? X Yes  No

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**ASSIGNMENT**U.C. Case No. 2000-462-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Gattadahalli Anantharamaiah

hereby sells, assigns and transfers to ASSIGNEE, The UAB Research Foundation, an Alabama Corporation having a place of business at 701 South 20<sup>th</sup> Street, Suite 1120G, Birmingham, Alabama 35294-0111, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**Orally Administered Peptides To Ameliorate Atherosclerosis**

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) XXX U.S. application serial no. 09/645,454, filed on August 24, 2000
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

\_\_\_\_\_ day of Nov 3, 2000, 2000

Signature of Inventor(s)

